# CARDIAC RHYTHM & HEART FAILURE

# **Product Performance Report**

Important Patient Management Information for Physicians

2021

1st Edition - Issue 84



# **CRHF Product Performance Report**

# 2021 1<sup>st</sup> Edition Issue 84

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Cutoff date for this edition is 31 Jan 2021 for Lead Study data and 07 May 2021 for all other data, unless otherwise stated.

## **Our Commitment to Quality**

Medtronic was founded in 1949 and has grown to become a global leader in medical technology. Seeing what a difference medical technology could make in the lives of patients inspired our founder to develop the Medtronic Mission, which remains unchanged today.

The third tenet of the mission is all about quality:

"To strive without reserve for the greatest possible reliability and quality in our products, to be the unsurpassed standard of comparison, and to be recognized as a company of dedication, honesty, integrity, and service."

Regardless of function, all CRHF employees play a role in product quality. Whether designing new therapies, sourcing components, manufacturing products, hiring talented people, assigning financial resources to project teams, or serving in one of the hundreds of other roles, every employee has an influence on product quality.

Product performance information is received from many sources through various channels. Medtronic monitors information from many sources from Research and Development through Manufacturing and Field Performance Vigilance.

When a device is returned to Medtronic, laboratory technicians and engineers assess overall device function. Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRHF maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

Analysis results are compared to original manufacturing records and design intent. Clinical observations are added to laboratory findings to help determine root cause. Each event is then compared to other events. If a pattern is detected, actions are taken to identify a common root cause, assess patient risk and an appropriate course of action.

Medtronic instituted the industry's first product performance reports in 1983 by publishing data on our chronic lead studies. Pacemakers and other devices followed as our performance reporting has constantly evolved based on customer needs and feedback. One thing has been a constant. It is our sincere commitment to communicate clearly, offering timely and appropriate product performance data and reliability information. This has always been and will continue to be our goal.

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We invite our customers to use these telephone numbers to call with suggestions, inquiries, or specific problems related to our products.

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Your Medtronic representative or international technical center at the number above.

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# Introduction

For 37 years, Medtronic has monitored performance via both returned product analysis and multicenter clinical studies.

This Product Performance Report (PPR) presents device survival estimates, advisory summaries, performance notes, and other information pertinent to assessing the performance of Medtronic implantable pulse generators (IPGs), implantable cardioverter defibrillators (ICDs), cardiac resynchronization therapy (CRT) devices, and implantable pacing and defibrillation leads.

This Product Performance Report has been prepared in accordance with International Standard ISO 5841-2:2000(E). As Transcatheter Pacing Systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart, TPS is subject to complications similar to pacing leads and malfunctions or battery depletion events similar to an implanted pulse generator (IPG). The TPS performance report has been developed to align with these guidelines to the extent possible due to the unique difference between TPS compared to a typical implantable device or lead.

The survival estimates provided in this report are considered to be representative of worldwide performance.

#### **Survival Estimates**

Medtronic, like other companies, monitors CRT, ICD, and IPG device performance using returned product analysis. We also monitor CRT, ICD, and IPG device performance using an active multicenter clinical study.

Returned product analysis is a passive approach to assessing product performance. This approach provides a suitable measure of product performance only when a significant number of explanted products are returned to the manufacturer. Returned product analysis provides a measure of hardware performance, but not necessarily the total clinical performance (e.g., the incidence of complications such as infection, erosion, muscle stimulation, etc. are not estimated).

The survival estimates provided in this report for CRT, ICD, and IPG devices are based on returned product analysis. This approach is suitable because a significant number of explanted generators are returned for analysis.

Lead performance is monitored differently. In contrast to CRT, ICD, and IPG devices, a very small percentage of leads are returned to the manufacturer due to the difficulty of explanting them. For leads, an active clinical study provides more accurate survival estimates compared to estimates based solely on returned product analysis.

Survival estimates for leads are based on clinical observations recorded via Medtronic's PAN Registry. This multicenter clinical study is designed to record clinical observations representative of the total clinical experience. Therefore, the lead survival estimates include both lead hardware failure and lead-related medical complications, and do not differentiate a lead hardware failure from other clinical events such as exit block, perforation, dislodgement, or concurrent pulse generator failure.

Transcatheter Pacing Systems are monitored differently. Transcatheter pacing systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart. To account for the shortfalls of returned product analysis due to a very small percentage of devices being returned, a study of de-identified product data on the Medtronic CareLink™ network is used. The number of devices enrolled and transmitting actively enables a population large enough to give a representative volume of normal battery depletions and to provide insight into the complications that may occur after the device was successfully implanted. TPS survival estimates include both product failures and device-related medical complications and do not differentiate product failures from these complications such as perforation, dislodgement or elevated pacing thresholds.

# Introduction continued

#### **ICD Charge Times**

Since May 2000, Medtronic has provided important information on charge time performance of ICDs. The information provided in this report shows how ICD charge time can vary during the time a device is implanted. The information is presented in graphical format showing charge time as a function of implant time. The data for charge times are collected from devices enrolled in the PAN registry.

#### **Customer Communications - Advisory Summaries**

This Product Performance Report includes summaries of all advisories applicable to the performance of the products included in the report. An advisory is added to the report when any product affected by the advisory remains in service and at risk of experiencing the behavior described in the advisory. The advisory will remain in the report until Medtronic estimates no product affected by the advisory remains active, or the risk of experiencing the behavior described in the advisory has passed.

For most advisories, the products subject to the advisory retain essentially the same survival probability as the products of the same model(s) not affected by the advisory. For those advisories where the survival probabilities of the affected and non-affected populations do differ significantly, Medtronic will provide separate survival data for each population. The separate survival data will remain in the report until Medtronic estimates no affected product remains in active service.

#### **Customer Communications- Performance Notes**

This report concludes with a number of Performance Notes developed by Medtronic to provide additional product performance information relevant to follow-up practice and patient management.

#### **How You Can Help**

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of the reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRTs, ICDs, IPGs, ICMs, and leads to Medtronic's Cardiac Rhythm and Heart Failure (CRHF) Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of explanted products from non-clinical sources, such as funeral homes, and will assume responsibility for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab. For information on how to contact the Lab, refer to the Contact Information page of this report.

We continually strive to improve this CRHF Product Performance Report. In keeping with this philosophy, we ask for your suggestions on the content and format of this report, as well as any information you have regarding the performance of Medtronic products. For information on how to comment on this report, see the Contact Information page.

# Introduction continued

#### **Overview of Survival Analysis**

Medtronic uses the Cutler-Ederer actuarial life table method for devices, standard actuarial method for TPS and Kaplan-Meier for leads to estimate the length of time over which they will perform within performance limits established by Medtronic. This probability to perform within performance limits over time is called the survival probability.

Devices and leads are followed until an event occurs where the device or lead ceases to operate within performance limits. The length of time from implant to the event is recorded for individual devices and leads in the population sample. The population sample for CRT, ICD, and IPG devices is made up of patients whose devices are registered as implanted in the United States. For leads, the population sample is the patients enrolled in our multicenter, international prospective Product Surveillance Registry. For TPS, the population is the de-identified devices on the Medtronic Carelink network.

For CRTs, IPGs and ICDs, the events can be normal battery depletion or a device malfunction. For leads, the events are complications as defined in the study protocol. For TPS, the events are complications or malfunctions as defined in the methods for estimating.

The actuarial life table method allows Medtronic to account for devices and leads removed from service for reasons unrelated to performance and for device and leads still in service. Devices and leads removed for reasons unrelated to performance or are still in service are said to be suspended. Examples of devices and leads removed from service for reasons unrelated to performance include:

- Removed to upgrade the device or lead
- No longer in service due to the death of the patient for reasons unrelated to the device or leads
- Implanted in patients who are lost to follow-up

For each suspension, the device or lead has performed within performance limits for a period of time, after which its performance is unknown.

#### **Confidence Intervals**

Since survival curves are based on a sample of the device and lead population, they are only estimates of survival. The larger the effective sample size, the more confident the estimate. A confidence interval can be calculated to assess the confidence in an estimate. In the Product Performance Report, Medtronic provides a 95% confidence interval. This can be interpreted as meaning that 95% of the time, the true survival of the device will fall somewhere in the interval.

#### **Survival Curves in the Product Performance Report**

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRTs, ICDs, and IPGs, and when the number entered is less than 50 for leads. The survival charts in the Product Performance Report show the effective sample size for each year interval where Medtronic has experience. When the effective sample size reaches 100 for CRTs, ICDs, and IPGs or when the number entered reaches 50 for leads, the next data point is added to the survival curve.

Although the report provides tabular data in one-year intervals, the device curves are actually computed and plotted using the Cutler-Ederer method and 1-month intervals (for CRT, ICD, and IPG devices), the TPS curves are actually computed and plotted using the standard actuarial method and 1-month intervals, and leads curves are computed and plotted using Kaplan-Meier, which uses individual survival times.

# Introduction continued

A number of references are available for additional information on survival analysis using the Cutler-Ederer life table  $method^1$  and for the Kaplan-Meier  $method^2$ 

 $_1$  Lee, Elisa T.(2003) Statistical Methods for Survival Data Analysis -3rd Edition (Wiley Series in Probability and Statistics).

<sup>&</sup>lt;sup>2</sup> Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

# Method for Estimating CRT, ICD, and IPG Device Performance

The performance of CRT, ICD, and IPG devices is expressed in terms of device survival estimates, where "survival" refers to the function of the device, not the survival of the patient. These survival estimates are intended to illustrate the probability that a device will survive for a given number of years without malfunction or battery depletion.

The survival estimates are determined from the analysis of Medtronic Cardiac Rhythm and Heart Failure (CRHF's) United States device registration data and US returned product analysis data. These data are presented graphically and numerically.

Because this analysis is based on returned product analysis, the performance data does not reflect any device-related medical complications such as erosion, infection, muscle stimulation, or muscle inhibition.

#### Categorization of Depleted and Malfunctioning Devices for Survival Analysis

For survival estimation, every device returned to Medtronic CRHF and analyzed in the CRHF Returned Product Analysis laboratory is assigned to one of three categories. The device 1) is functioning normally, 2) has reached normal battery depletion, or 3) has malfunctioned. This categorization is combined with data from our device registry for the total number of implants and the implant durations to create the survival curves presented on the following pages.

#### Definition of Malfunction

Medtronic CRHF considers a device as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction or battery depletion, the device must have been returned to Medtronic and analyzed.

Devices damaged after explant, damaged due to failure to heed warnings or contraindications in the labeling, or damaged due to interaction with other implanted devices (including leads) are not considered device malfunctions.

A device subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRHF and found, through analysis, to actually have performed outside the performance limits established by Medtronic.

Not all malfunctions expose the patient to a loss of therapy. Some malfunctions included in the following survival estimates may not have been detected at all by the physician or the patient. These malfunctions, however, are included in the survival estimates and provide important feedback to our product development organization.

To provide insight into the nature of malfunctions, each malfunction is categorized as Malfunction with Compromised Therapy Function or Malfunction without Compromised Therapy Function.

For this report, Normal Battery Depletion, Malfunction with Compromised Therapy Function, and Malfunction without Compromised Therapy Function are defined as follows:

#### Normal Battery Depletion – The condition when:

- (a) a device is returned with no associated complaint and the device has reached its elective replacement indicator(s) with implant time that meets or exceeds the nominal (50 percentile) predicted longevity at default (labeled) settings, or
- (b) a device is returned and the device has reached its elective replacement indicator(s) with implant time exceeding 80% of the expected longevity calculated using the available device setting information.
- (c) a device is taken out of service without an associated complaint and with evidence the battery reached its elective replacement indicator(s).

# Method for Estimating CRT, ICD, and IPG Device Performance continued

Medtronic CRHF establishes expected longevity by statistically characterizing the power consumed by the device and the power available from the device battery. This characterization is applied to a number of parameter configurations to derive a statistical mean longevity value and standard deviation for each parameter configuration. The statistical mean value minus three standard deviations is used as the expected longevity for determining if a battery depleted normally. The actual longevity achieved for any device while implanted will depend on the actual programmed parameters and patient factors, and may differ significantly from these estimates.

#### Malfunction with Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that compromised pacing or defibrillation therapy (including complete loss or partial degradation), while implanted and in service, as confirmed by returned product analysis.

Examples: Sudden loss of battery voltage; accelerated current drain such that low battery was not detected before loss of therapy; sudden malfunction during defibrillation therapy resulting in aborted delivery of therapy, intermittent malfunction where therapy is compromised while in the malfunction state.

#### Malfunction without Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that did not compromise pacing or defibrillation therapy, while implanted and in service, as confirmed by returned product analysis.

Examples: Error affecting diagnostic functions, telemetry function, data storage; malfunction of a component that causes battery to lose power quickly enough to cause premature battery depletion, but slowly enough that the condition is detected through normal follow-up before therapy is lost; mechanical problems with connector header that do not affect therapy.

#### **Expanded Malfunction Detail**

The malfunctions are further divided into categories that identify the subject area of the malfunction. The malfunctions are divided into the following subject areas:

Electrical Component – Findings linked to electrical components such as integrated circuits, resistors, capacitors, diodes, etc.

Electrical Interconnect – Findings linked to the connections between electrical components such as wires, solder joints, wire bonds, etc.

Battery – Findings linked to the battery and its components

Software/Firmware – Findings linked to software or firmware function

Possible Early Battery Depletion – Findings where the actual reported implant time is less than 80% of the expected longevity calculated using the available device setting information with no device malfunction observed. There may not be sufficient device setting information to determine conclusively if battery depletion was normal or premature in the absence of a specific root cause finding. However, returned devices meeting the above criteria are conservatively classified as Possible Early Battery Depletion malfunctions.

Other – Findings related to other components such as insulators, grommets, setscrews, and packaging, and findings where analysis is inconclusive.

#### **Returned Product Analysis Process**

Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a device is returned with a performance concern from a customer, the general analysis process includes a preliminary analysis of the device in its as-received condition, followed by an automated functional test using test equipment equivalent to the equipment used in manufacturing.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRHF maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

#### **Statistical Methods for Survival Analysis**

Of the several different statistical methods available for survival analysis, the Standard Actuarial Method, with suspensions assumed distributed evenly within the intervals (Cutler-Ederer Method), is used to determine survival estimates for CRT, IPG and ICD devices. Implant times are calculated from the implant date to the earlier of the explant date or the cutoff date of the report. From this data an estimate of the probability of device survival is calculated at each monthly interval.

On the following pages, each graph includes a survival curve where events include malfunctions and normal battery depletions. This survival curve is a good representation of the probability a device will survive a period of time without malfunction and without battery depletion. For example, if a device survival probability is 95% after 5 years of service, then the device has a 5% chance of being removed due to battery depletion or malfunction in the first 5 years following implant.

In addition, a second curve is included to show survival excluding normal battery depletion. This curve is a good representation of the probability for a device to survive without malfunction. This curve includes only malfunctions as events and excludes normal battery depletion.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRT, ICD, and IPG devices. The survival charts in the Product Performance Report show the effective sample size for each year interval where we have experience. When the effective sample size reaches 100, the next data point is added to the survival curve.

Although the report provides tabular data in one-year intervals, the curves are actually computed and plotted using one-month intervals.

The data in the tables are rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more malfunctions or battery depletions. This occurs because, even with the malfunctions or battery depletions, the data rounds to 100%.

#### Sample Size and How the Population and Population Samples Are Defined

The population sample from which the survival estimates are derived is comprised of the devices registered as implanted in the United States as of the report cutoff date. The number of registered implants, as well as an estimate of the number that remain in active service, is listed for each model. To be included in the population, the device must have been registered with Medtronic's registration system and implanted for at least one day.

# Method for Estimating CRT, ICD, and IPG Device Performance continued

This sample based on US implants is considered to be representative of the worldwide population, and therefore the survival estimates shown in this report should be representative of the performance worldwide of these models.

A CRT, ICD, or IPG model or model family will be included in this report when it has accumulated at least 10,000 implant months and will remain in the report as long as at least 500 devices remain active.

#### Methods Used to Adjust for Underreporting of Malfunction and Battery Depletion

The tables on the following pages show the actual number of malfunctions and battery depletions recorded by the analysis lab for US registered devices. Since not all devices are returned to Medtronic CRHF for analysis, these numbers underestimate the true number of malfunctions and battery depletions. To more accurately estimate the device survival probabilities, the number of malfunctions and battery depletions used to plot each interval of the "Including Normal Battery Depletion" survival curves is adjusted (multiplied) by a factor that is based on an estimate of the magnitude of underreporting. The magnitude of underreporting is estimated by comparing data in Medtronic's Device And Registrant Tracking (DART) system with data from Returned Product Analysis.

The DART system is an important element of Medtronic's Quality System. The DART system is designed to meet or exceed the US FDA's device tracking requirements set forth by the Safe Medical Devices Act. In the United States, over 98% of Medtronic's CRT, ICD, and IPG implants become registered in the DART system.

Because pacemakers do not cure the patient's underlying health problem, when a pacemaker stops functioning (due to either normal battery replacement or malfunction) it is replaced with a new pacemaker. Therefore, the replacement recorded in the DART system is a good indication that the previous pacemaker experienced either battery depletion or malfunction. The fraction of replaced devices that are subsequently returned can be used to estimate the correction factor for the under reporting of the combination of battery depletion and malfunction.

Note that devices of patients who have expired do not factor into the calculation of the correction. It is possible some proportion of these devices experienced battery depletion or malfunction. Since these are not counted into the correction factor based on the return rate of replaced devices, a correction factor based only on the return rate of replaced devices may still underestimate the true rate of battery depletion and malfunction. However, devices that are replaced because the patient is receiving a system upgrade or are removed because the patient no longer needs it (e.g., due to heart transplant) do contribute to the calculation of the correction factor and therefore impart an opposite bias.

Also note that this method of calculating the correction factor cannot distinguish between devices that are removed due to malfunction and those due to normal battery depletion. It might seem intuitive that devices that unexpectedly malfunction should be much more likely to be returned to the manufacturer than a device with ordinary normal battery depletion. But this has not been conclusively demonstrated. Therefore, this method only provides a correction factor reflecting the combination of battery depletion and malfunction.

No adjustment for underreporting is applied to the malfunction-free survival curve because a method for estimating malfunction-only underreporting has not been developed.

# Adjustments to Registered Implants to Compensate for Unreported Devices Removed from Service

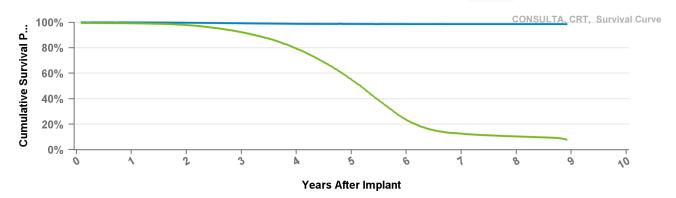
Devices are at times removed from service for reasons other than device malfunction or battery depletion. Examples are devices removed from service due to non-device related patient mortality and devices removed due to changes in the patient's medical condition. Because an accurate estimate of device survival depends on an accurate estimate of the number of devices in service, it is important not to overstate the number of devices in service.

# Method for Estimating CRT, ICD, and IPG Device Performance continued

Medtronic addresses this under reporting to ensure the number of devices in service is not overstated. Regular updates obtained from the Social Security Administration about deceased persons are used to update Medtronic's DART data about patients who have died but whose deaths had not been reported to Medtronic. In addition, the patient mortality rate derived from our DART system is monitored and compared to published mortality rates for comparable patient populations. If, during calculation of the survival curves, the patient mortality indicated by the data in DART is significantly different from published rates, an adjustment is applied to correct the difference. The correction factor for under reporting devices is also applied to account for devices that were removed and not reported or returned.

# D204TRM Consulta CRT-D

US Market Release	Jan-12	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	2,099	Battery Malfunction	1
Estimated Active USA Implants	544	Electrical Component	1
Normal Battery Depletions	720	Poss Early Battery Depltn	1
		Therapy Function Compromised	0



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 107 mo
Excluding NBD	100.0%	99.7%	99.3%	98.9%	98.8%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.9%	92.3%	79.3%	55.1%	23.3%	12.6%	10.5%	7.9%
Effective Sample Size	57371	52182	45280	34760	19524	6228	2505	1417	106

# D214TRM Consulta CRT-D

**US Market Release** 

**CE Approval Date** 

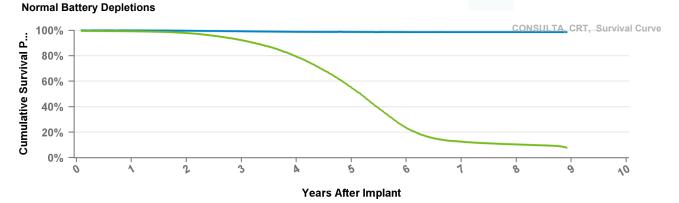
Registered USA Implants

Jul-10

**Total Malfunctions** 

**Therapy Function Not Compromised** 

Estimated Active USA Implants Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	at 107 mo
Excluding NBD	100.0%	99.7%	99.3%	98.9%	98.8%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.9%	92.3%	79.3%	55.1%	23.3%	12.6%	10.5%	7.9%
Effective Sample Size	57371	52182	45280	34760	19524	6228	2505	1417	106

#### Consulta CRT-D D224TRK

US Market Release	Sep-08	Total Malfunctions	602
CE Approval Date		Therapy Function Not Compromised	571
Registered USA Implants	65,980	Battery Malfunction	2
Estimated Active USA Implants	10,801	Electrical Component	65
Normal Battery Depletions	18,905	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	496
		Software Malfunction	6
		Therapy Function Compromised	31
		Battery Malfunction	5
		Electrical Component	26

CONSULTA, CRT, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 3 5 6 10 **Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 107 mo
Excluding NBD	100.0%	99.7%	99.3%	98.9%	98.8%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.9%	92.3%	79.3%	55.1%	23.3%	12.6%	10.5%	7.9%
Effective Sample Size	57371	52182	45280	34760	19524	6228	2505	1417	106

#### **D234TRK** Consulta CRT-D

**US Market Release CE Approval Date** 

**Total Malfunctions** 

Mar-08 **Registered USA Implants** 3

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

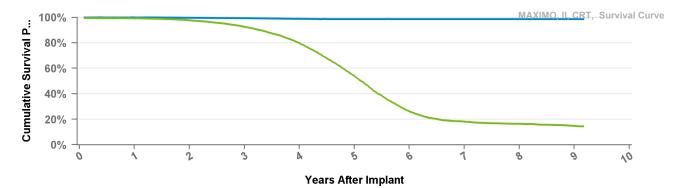
CONSULTA, CRT, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 2 3 5 6 1 8 0

**Years After Implant** 

Years	1	2	3	4	5	6	7	8	at 107 mo
Excluding NBD	100.0%	99.7%	99.3%	98.9%	98.8%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.9%	92.3%	79.3%	55.1%	23.3%	12.6%	10.5%	7.9%
Effective Sample Size	57371	52182	45280	34760	19524	6228	2505	1417	106

## D264TRM Maximo II CRT-D

US Market Release	Jan-12	Total Malfunctions	1
CE Approval Date	Jul-10	Therapy Function Not Compromised	1
Registered USA Implants	15	Other Malfunction	1
Estimated Active USA Implants	4	Therapy Function Compromised	0
Normal Battery Depletions	5		

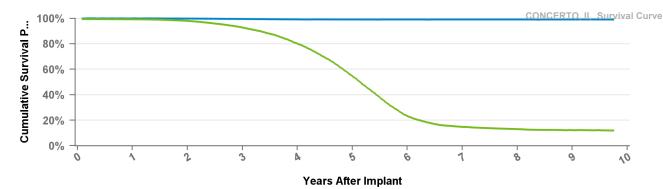


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 110 mo
Excluding NBD	100.0%	99.7%	99.3%	98.8%	98.7%	98.7%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.7%	92.6%	79.7%	53.9%	26.1%	18.1%	16.5%	14.8%	14.4%
Effective Sample Size	12810	11550	10046	7658	4051	1421	798	546	182	100

## D274TRK Concerto II CRT-D

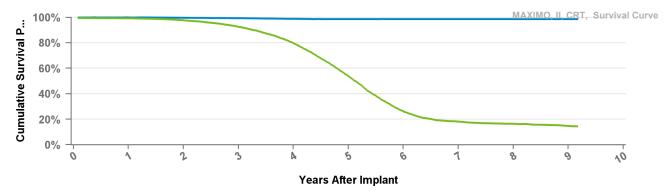
US Market Release	Aug-09	Total Malfunctions	186
CE Approval Date		Therapy Function Not Compromised	175
Registered USA Implants	30,173	Battery Malfunction	1
<b>Estimated Active USA Implants</b>	5,668	Electrical Component	22
Normal Battery Depletions	7,985	Poss Early Battery Depltn	151
		Software Malfunction	1
		Therapy Function Compromised	11
		Battery Malfunction	1
		Electrical Component	10



Years	1	2	3	4	5	6	7	8	9	at 117 mo
Excluding NBD	100.0%	99.8%	99.5%	99.2%	99.1%	99.1%	99.1%	99.1%	99.0%	99.0%
Including NBD	99.3%	98.0%	92.8%	80.1%	54.5%	23.2%	14.8%	13.1%	12.3%	12.0%
Effective	25182	22994	20030	15272	8092	2543	1268	998	831	192

# D284TRK Maximo II CRT-D

US Market Release	Sep-08	Total Malfunctions	135
CE Approval Date	Mar-08	Therapy Function Not Compromised	130
Registered USA Implants	15,250	Electrical Component	6
Estimated Active USA Implants	2,758	Poss Early Battery Depltn	124
Normal Battery Depletions	4,073	Therapy Function Compromised	5
		Electrical Component	5



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 110 mo
Excluding NBD	100.0%	99.7%	99.3%	98.8%	98.7%	98.7%	98.7%	98.7%	98.7%	98.7%
Including NBD	99.3%	97.7%	92.6%	79.7%	53.9%	26.1%	18.1%	16.5%	14.8%	14.4%
Effective Sample Size	12810	11550	10046	7658	4051	1421	798	546	182	100

Aug-08

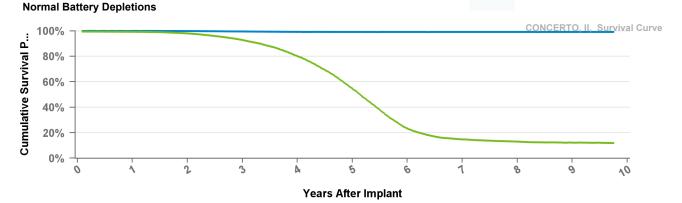
#### D294TRK (

# Concerto II CRT-D

US Market Release CE Approval Date Registered USA Implants Estimated Active USA Implants **Total Malfunctions** 

**Therapy Function Not Compromised** 

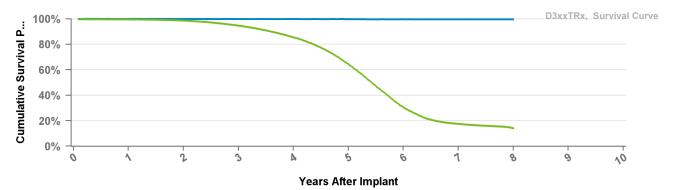
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	at 117 mo
Excluding NBD	100.0%	99.8%	99.5%	99.2%	99.1%	99.1%	99.1%	99.1%	99.0%	99.0%
Including NBD	99.3%	98.0%	92.8%	80.1%	54.5%	23.2%	14.8%	13.1%	12.3%	12.0%
Effective Sample Size	25182	22994	20030	15272	8092	2543	1268	998	831	192

# D314TRG Protecta XT CRT-D

US Market Release	Mar-11	Total Malfunctions	92
CE Approval Date		Therapy Function Not Compromised	73
Registered USA Implants	42,520	Battery Malfunction	7
Estimated Active USA Implants	10,894	Electrical Component	39
Normal Battery Depletions	10,391	Other Malfunction	2
		Poss Early Battery Depltn	25
		Therapy Function Compromised	19
		Battery Malfunction	11
		Electrical Component	8



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

## D314TRM Protecta XT CRT-D

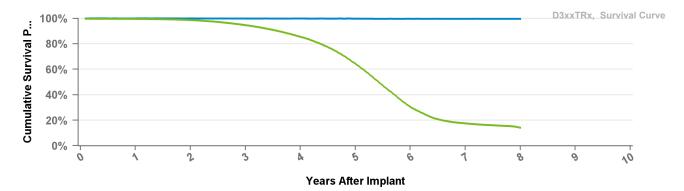
US Market Release	Nov-11
CE Approval Date	
Registered USA Implants	12,259
Estimated Active USA Implants	3,242
Normal Battery Depletions	3,471

Total Malfunctions20Therapy Function Not Compromised17Battery Malfunction4Electrical Component8Poss Early Battery Depltn5

Therapy Function Compromised

Battery Malfunction 1
Electrical Component 2

3



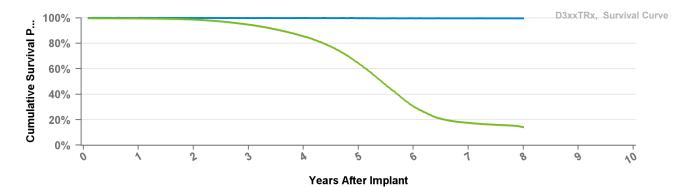
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

# D334TRG Protecta CRT-D

US Market Release	Mar-11
CE Approval Date	
Registered USA Implants	8,100
Estimated Active USA Implants	2,279
Normal Battery Depletions	2.118

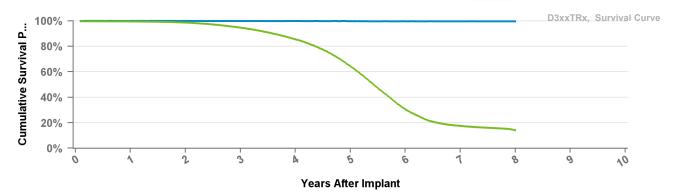
Total Malfunctions14Therapy Function Not Compromised11Electrical Component8Poss Early Battery Depltn3Therapy Function Compromised3Battery Malfunction1Electrical Component1Electrical Interconnect1



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

## D334TRM Protecta CRT-D

US Market Release	Nov-11	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	1,786	Battery Malfunction	3
Estimated Active USA Implants	503	Electrical Component	1
Normal Battery Depletions	562	Poss Early Battery Depltn	2
		Therapy Function Compromised	2
		Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

# D354TRG Protecta XT CRT-D

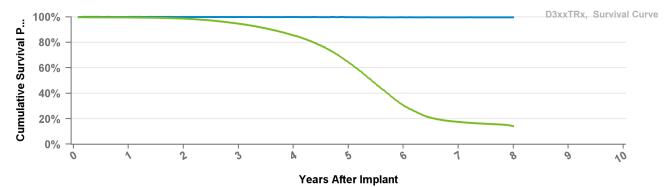
US Market Release
CE Approval Date Mar-10
Registered USA Implants 5

Registered USA Implants 5
Estimated Active USA Implants
Normal Battery Depletions 1

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

## D354TRM Protecta XT CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

rotal mananoth

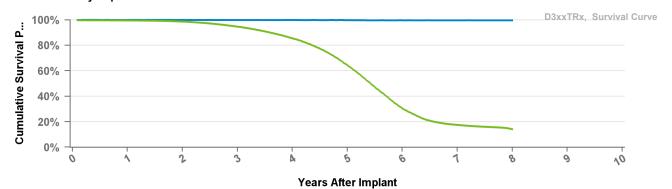
Registered USA Implants

Jul-10 Therapy Function Not Compromised

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

Mar-10

2

## D364TRG

#### Protecta CRT-D

**US Market Release** 

**Total Malfunctions** 

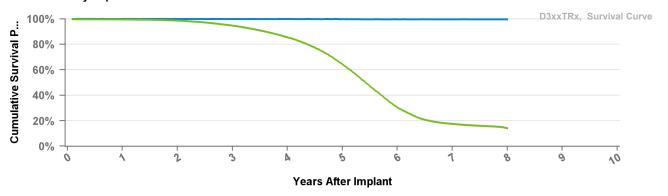
**CE Approval Date** 

**Therapy Function Not Compromised** 

Registered USA Implants

Therapy Function Compromised

Estimated Active USA Implants Normal Battery Depletions



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

## **D364TRM**

## Protecta CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Jul-10

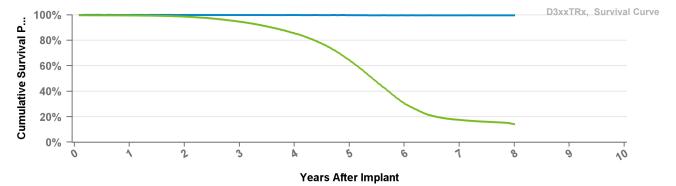
**Registered USA Implants** 

1 **Estimated Active USA Implants** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

## **D384TRG**

# Cardia CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Jan-11

**Therapy Function Not Compromised** 

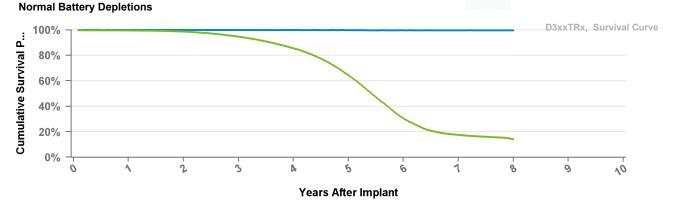
**Registered USA Implants** 

1

1

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

#### **D394TRG** Egida CRT-D

**US Market Release** 

**CE Approval Date** 

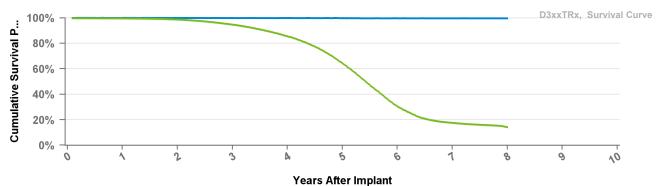
**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Registered USA Implants Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

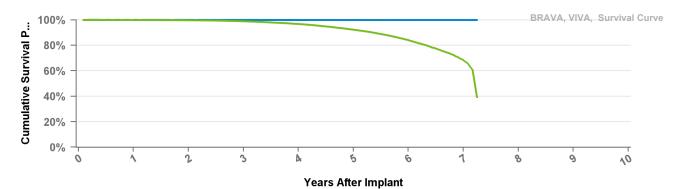
Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.6%	98.7%	94.7%	85.5%	64.4%	30.4%	17.6%	14.1%
Effective Sample Size	55131	50766	44646	35263	21228	7685	3168	199

Jan-11

#### DTBA1D1 Viva XT

**US Market Release** Jan-13 **CE Approval Date Registered USA Implants** 110,951 **Estimated Active USA Implants** 71,700 **Normal Battery Depletions** 6,509

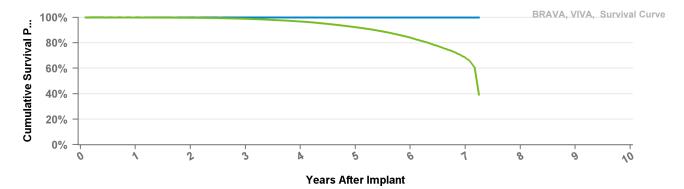
**Total Malfunctions** 114 **Therapy Function Not Compromised** 84 **Battery Malfunction** 16 **Electrical Component** 61 Other Malfunction 5 Poss Early Battery Depltn 2 **Therapy Function Compromised** 30 **Battery Malfunction** 22 **Electrical Component** 8



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

# DTBA1D4 Viva XT

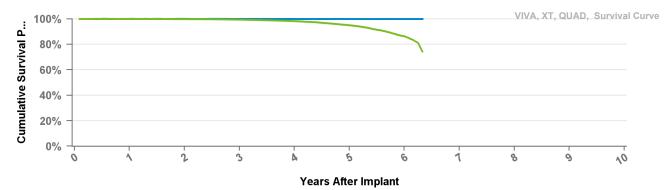
US Market Release	Jan-13	Total Malfunctions	60
CE Approval Date		Therapy Function Not Compromised	46
Registered USA Implants	38,910	Battery Malfunction	8
Estimated Active USA Implants	25,721	Electrical Component	32
Normal Battery Depletions	2,877	Poss Early Battery Depltn	6
		Therapy Function Compromised	14
		Battery Malfunction	8
		Electrical Component	6



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

# DTBA1Q1 Viva Quad XT

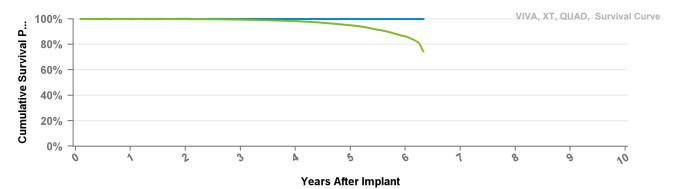
US Market Release	Jul-14	Total Malfunctions	18
CE Approval Date		Therapy Function Not Compromised	14
Registered USA Implants	21,319	Battery Malfunction	4
Estimated Active USA Implants	15,461	Electrical Component	6
Normal Battery Depletions	797	Other Malfunction	2
		Poss Early Battery Depltn	2
		Therapy Function Compromised	4
		Battery Malfunction	2
		Electrical Component	2



Years	1	2	3	4	5	6	at 76 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective	34859	32348	29728	26275	19607	5272	672

# DTBA1QQ Viva Quad XT

US Market Release	Jul-14	Total Malfunctions	73
CE Approval Date		Therapy Function Not Compromised	57
Registered USA Implants	53,701	Battery Malfunction	12
Estimated Active USA Implants	42,810	Electrical Component	34
Normal Battery Depletions	2,023	Electrical Interconnect	2
		Other Malfunction	3
		Poss Early Battery Depltn	6
		Therapy Function Compromised	16
		Battery Malfunction	12
		Electrical Component	4



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 76 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective	34859	32348	29728	26275	19607	5272	672

# DTBA2D1 Viva XT

US Market Release Total M
CE Approval Date Aug-16 Therapy

Registered USA Implants 2

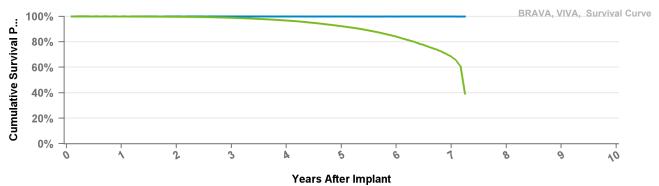
Estimated Active USA Implants

Normal Battery Depletions 2

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

#### DTBA2D4 Viva XT

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants Estimated Active USA Implants** 

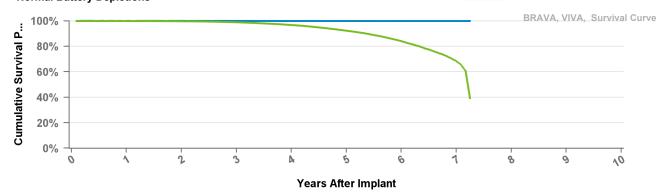
**Normal Battery Depletions** 

Aug-12

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

**Total Malfunctions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

#### DTBA2Q1

# Viva Quad XT

**US Market Release** 

**CE Approval Date** 

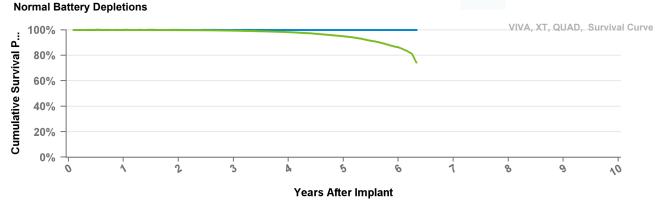
Sep-13

**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	at 76 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective Sample Size	34859	32348	29728	26275	19607	5272	672

# DTBA2QQ Viva Quad XT

**US Market Release** 

**CE Approval Date** 

Aug-12

**Total Malfunctions** 

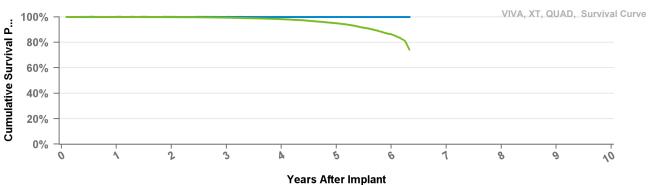
**Therapy Function Not Compromised** 

Registered USA Implants

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



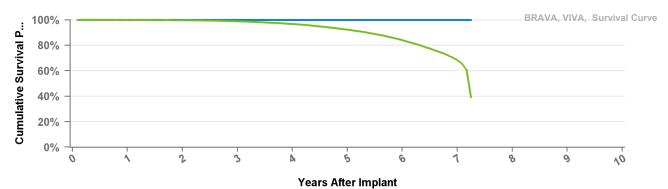
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 76 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective Sample Size	34859	32348	29728	26275	19607	5272	672

# DTBB1D1

# Viva S

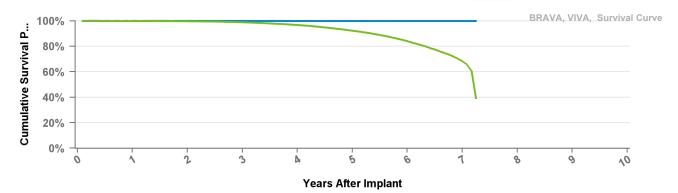
US Market Release	Jan-13	Total Malfunctions	32
CE Approval Date		Therapy Function Not Compromised	24
Registered USA Implants	27,536	Battery Malfunction	12
Estimated Active USA Implants	16,303	Electrical Component	6
Normal Battery Depletions	2,371	Other Malfunction	2
		Poss Early Battery Depltn	4
		Therapy Function Compromised	8
		Battery Malfunction	6
		Electrical Component	2



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

## DTBB1D4 Viva S

US Market Release	Jan-13	Total Malfunctions	14
CE Approval Date		Therapy Function Not Compromised	10
Registered USA Implants	8,812	Battery Malfunction	4
Estimated Active USA Implants	5,343	Electrical Component	4
Normal Battery Depletions	897	Other Malfunction	2
		Therapy Function Compromised	4
		Battery Malfunction	4

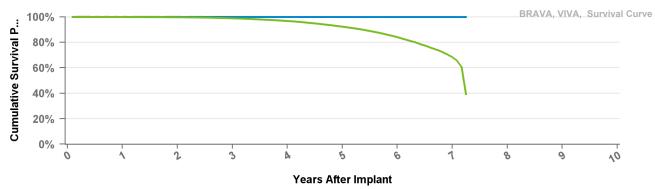


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

# DTBB1Q1 Viva Quad S

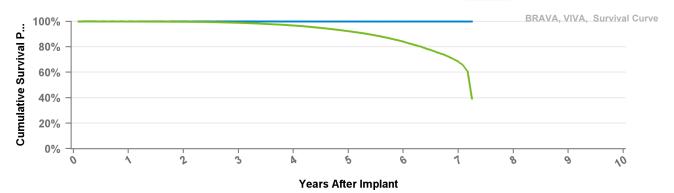
US Market Release	Jul-14	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	4,537	Electrical Component	2
Estimated Active USA Implants	3,280	Therapy Function Compromised	0
Normal Battery Depletions	199		



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

# DTBB1QQ Viva Quad S

US Market Release	Jul-14	Total Malfunctions	17
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	9,860	Battery Malfunction	2
Estimated Active USA Implants	7,722	Electrical Component	4
Normal Battery Depletions	488	Other Malfunction	3
		Poss Early Battery Depltn	4
		Therapy Function Compromised	4
		Electrical Component	4



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

# DTBB2D1

# Viva S

US Market Release CE Approval Date

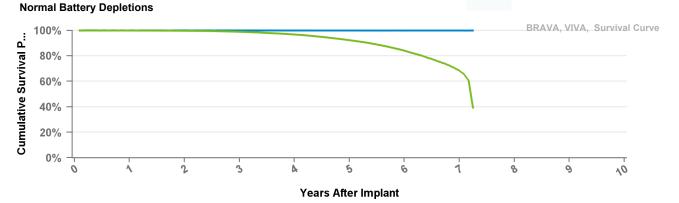
Aug-12

Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

#### DTBB2D4

Viva S

**US Market Release** 

**CE Approval Date** 

Aug-12

**Total Malfunctions** 

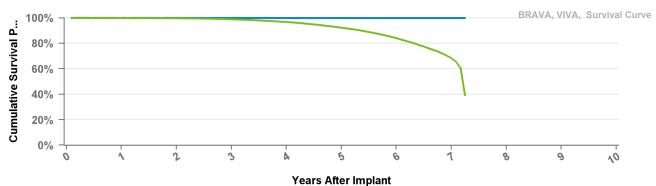
**Therapy Function Not Compromised** 

Registered USA Implants

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

## DTBB2QQ

# Viva Quad S

**US Market Release** 

CE Approval Date Aug

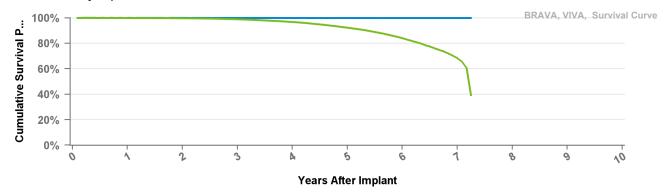
Registered USA Implants

Estimated Active USA Implants Normal Battery Depletions

Aug-12 Therapy Funct

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

#### DTBC2D1

#### Brava

**US Market Release** 

**CE Approval Date** 

Aug-12

**Therapy Function Not Compromised** 

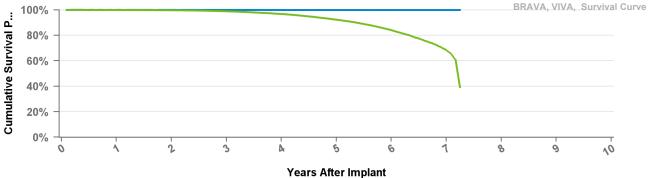
**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Total Malfunctions** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective	89789	83040	75640	64832	46828	25304	5149	322

Aug-12

#### DTBC2D4

#### Brava

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

BRAVA, VIVA, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 1 0 2 3 6 જ 10 **Years After Implant** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

## DTBC2Q1 Brava Quad

US Market Release

**Total Malfunctions** 

Sep-13

**CE Approval Date** 

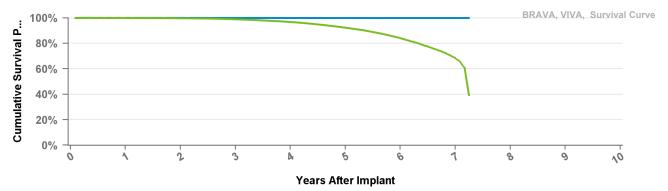
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

Aug-12

#### DTBC2QQ

#### **Brava Quad**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

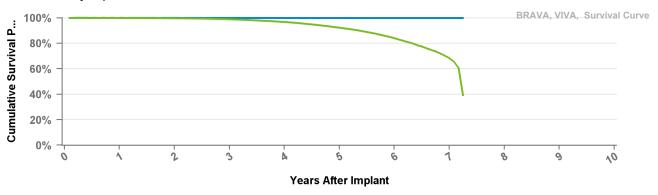
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

Therapy Function Compromised

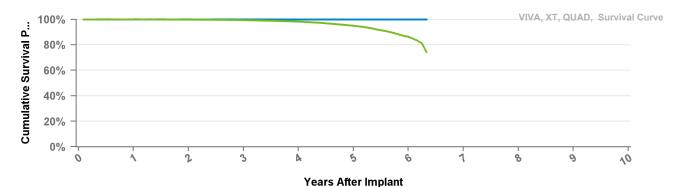
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	98.9%	96.8%	92.3%	84.1%	68.3%	39.1%
Effective Sample Size	89789	83040	75640	64832	46828	25304	5149	322

## DTBX1QQ Viva Quad C

**US Market Release** Jul-14 **Total Malfunctions** 2 **Therapy Function Not Compromised** 2 **CE Approval Date Registered USA Implants** 1,274 **Electrical Component** 2 **Estimated Active USA Implants** 480 **Therapy Function Compromised** 0 **Normal Battery Depletions** 296



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 76 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective Sample Size	34859	32348	29728	26275	19607	5272	672

#### DTBX2QQ

## Viva Quad C

US Market Release Jul-14

CE Approval Date

Registered USA Implants

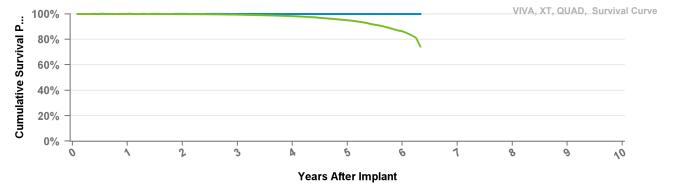
Estimated Active USA Implants

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



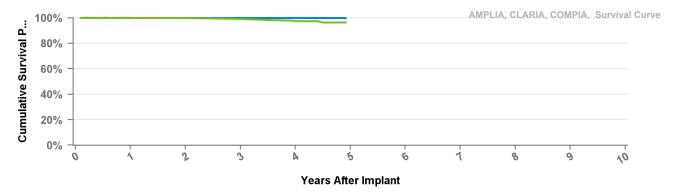
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

at 76

Years	1	2	3	4	5	6	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.4%	98.2%	95.0%	86.3%	74.1%
Effective Sample Size	34859	32348	29728	26275	19607	5272	672

# **DTMA1D1** Claria MRI

US Market Release	Dec-16	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	13,329	Electrical Interconnect	1
Estimated Active USA Implants	12,066	Other Malfunction	2
Normal Battery Depletions	54	Therapy Function Compromised	0

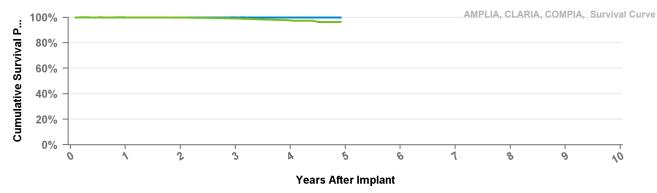


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

# DTMA1D4 Claria MRI

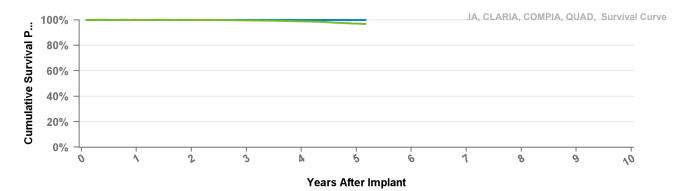
US Market Release	Dec-16	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	11,620	Electrical Component	2
Estimated Active USA Implants	10,713	Therapy Function Compromised	1
Normal Battery Depletions	40	Electrical Interconnect	1



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMA1Q1 Claria MRI

US Market Release	Dec-16	Total Malfunctions	
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	9,155	Electrical Interconnect	2
Estimated Active USA Implants	8,486	Other Malfunction	1
Normal Battery Depletions	7	Therapy Function Compromised	0

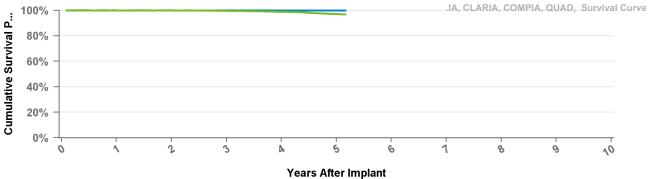


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

#### DTMA1QQ Claria MRI

US Market Release	Dec-16	Total Malfunctions	14
CE Approval Date		Therapy Function Not Compromised	10
Registered USA Implants	58,602	Electrical Component	6
Estimated Active USA Implants	55,544	Electrical Interconnect	1
Normal Battery Depletions	89	Other Malfunction	2
		Poss Early Battery Depltn	1
		Therapy Function Compromised	4
		Flectrical Component	4



Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

# DTMA2D1

# Claria MRI

**US Market Release** 

CE Approval Date

Aug-16

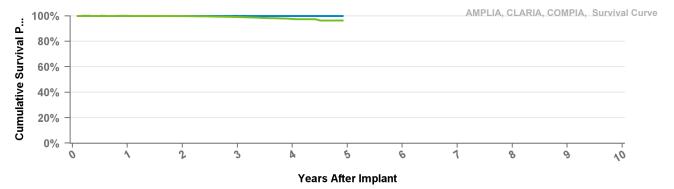
Total Malfunctions
Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMA2D4

#### Claria MRI

**US Market Release** 

CE Approval Date Feb-16

Registered USA Implants

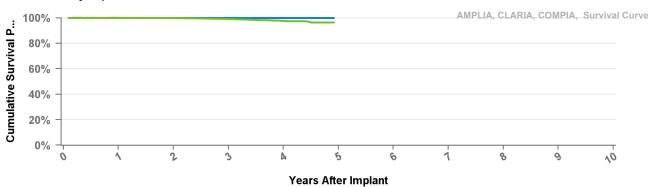
Estimated Active USA Implants

Normal Battery Depletions

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMA2Q1

# Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

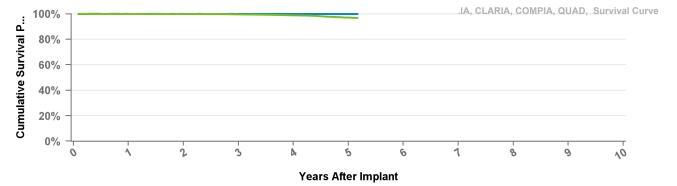
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Feb-16

Aug-16

Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

#### DTMA2QQ

#### Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

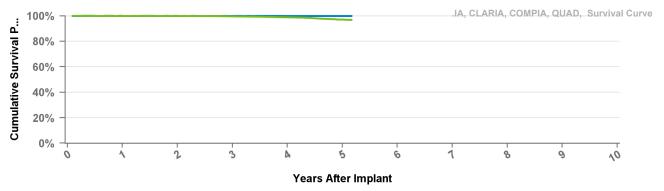
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

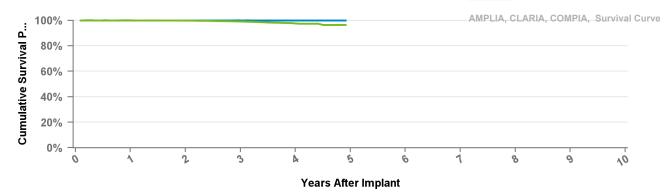
**Normal Battery Depletions** 



Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

# DTMB1D1 Amplia MRI

US Market Release	Dec-16	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	8,065	Battery Malfunction	2
Estimated Active USA Implants	7,157	Other Malfunction	1
Normal Battery Depletions	36	Therapy Function Compromised	2
		Battery Malfunction	2

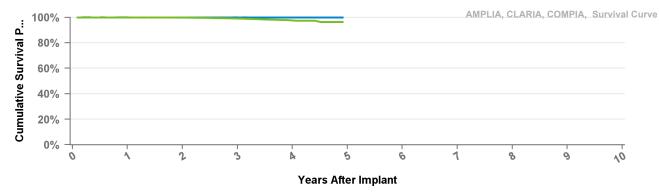


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective	26201	18631	10387	2835	122

# DTMB1D4 Amplia MRI

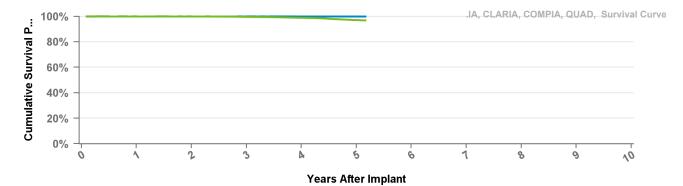
US Market Release	Feb-16	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	8,927	Electrical Component	3
Estimated Active USA Implants	7,725	Therapy Function Compromised	0
Normal Battery Depletions	79		



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMB1Q1 **Amplia MRI**

US Market Release	Dec-16	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	0
Registered USA Implants	4,873		
Estimated Active USA Implants	4,345	Therapy Function Compromised	2
Normal Battery Depletions	8	Battery Malfunction	2

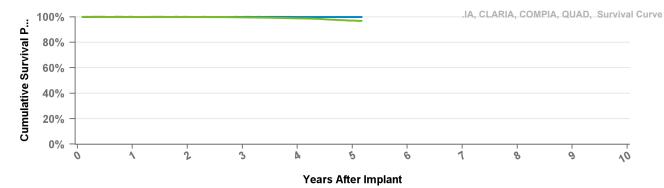


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective	77075	54197	33138	14795	1457	222

#### DTMB1QQ **Amplia MRI**

US Market Release	Feb-16	Total Malfunctions	44
CE Approval Date		Therapy Function Not Compromised	34
Registered USA Implants	45,985	Battery Malfunction	8
Estimated Active USA Implants	41,932	Electrical Component	14
Normal Battery Depletions	270	Other Malfunction	8
		Poss Early Battery Depltn	4
		Therapy Function Compromised	10
		Battery Malfunction	10



Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective	77075	54197	33138	14795	1457	222

# DTMB2D1 Amplia MRI

**US Market Release** 

**Total Malfunctions** 

Aug-16

**CE Approval Date** 

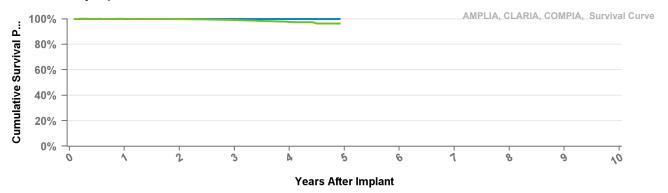
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMB2D4

# Amplia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

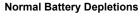
**Registered USA Implants** 

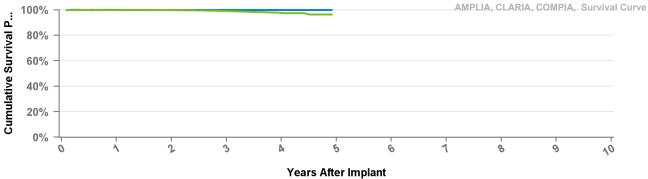
Feb-16

2

**Estimated Active USA Implants** 

**Therapy Function Compromised** 





Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMB2Q1 **Amplia MRI**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

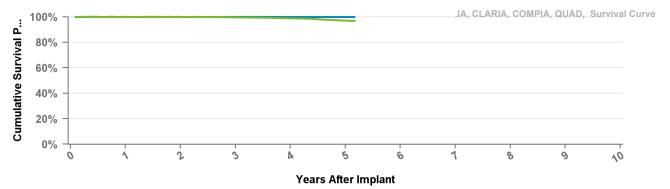
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Aug-16

Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

#### DTMB2QQ

# Amplia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

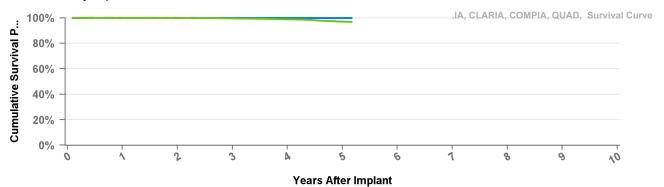
1 1

Feb-16

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

# DTMC1D1 Compia MRI

**Normal Battery Depletions** 

US Market Release Dec-16 Total Malfunctions
CE Approval Date Therapy Function Not Compromised
Registered USA Implants 1,066
Estimated Active USA Implants 958 Therapy Function Compromised

11

AMPLIA, CLARIA, COMPIA, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 2 ż 5 6 1 0 જ 10

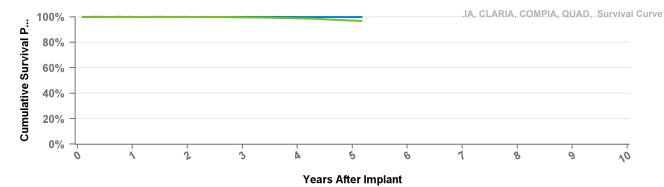
**Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

# DTMC1QQ Compia MRI

US Market Release	Feb-16	Total Malfunctions	4
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	5,273	Electrical Component	4
Estimated Active USA Implants	4,808	Therapy Function Compromised	0
Normal Battery Depletions	40		



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective	77075	54197	33138	14795	1457	222

Sample Size

#### DTMC2D1 Compia MRI

**US Market Release** 

Aug-16 **CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

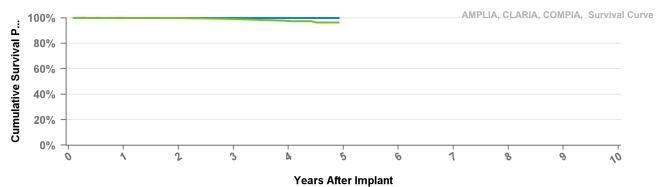
**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 





• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective	26201	18631	10387	2835	122

#### DTMC2D4

# Compia MRI

**US Market Release** 

**CE Approval Date** 

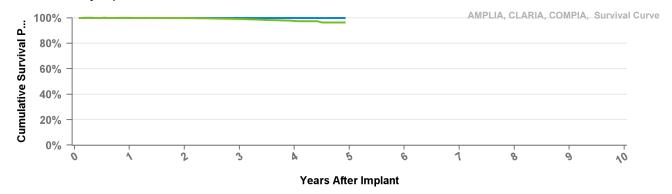
Feb-16

**Registered USA Implants Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.1%	97.6%	96.4%
Effective Sample Size	26201	18631	10387	2835	122

#### DTMC2QQ Compia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

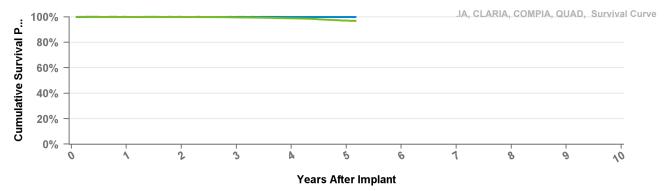
**Registered USA Implants** 

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Feb-16

1

1

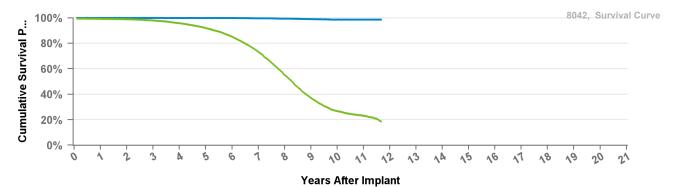
Years	1	2	3	4	5	at 62 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.6%	98.9%	97.2%	96.9%
Effective Sample Size	77075	54197	33138	14795	1457	222

# 8042 InSync III

US Market Release	Feb-03	Total Malfunctions	114
CE Approval Date	Feb-01	Therapy Function Not Compromised	65
Registered USA Implants	39,444	Battery Malfunction	53
Estimated Active USA Implants	4,290	Electrical Component	2
Normal Battery Depletions	5,209	Electrical Interconnect	3
		Other Malfunction	5
		Poss Early Battery Depltn	2
		Therapy Function Compromised	49

herapy Function Compromised 49
Battery Malfunction 37

Electrical Interconnect 12

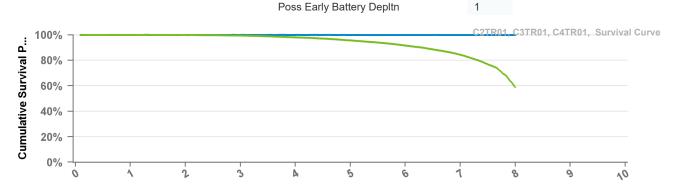


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 140 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.7%	99.4%	99.0%	98.7%	98.6%	98.6%
Including NBD	99.2%	98.9%	98.0%	95.8%	92.0%	85.2%	73.2%	55.1%	37.0%	26.8%	23.2%	18.6%
Effective Sample Size	30287	25918	22236	18990	15836	12105	8592	5514	3108	1969	905	102

# C2TR01 Syncra CRT-P

US Market Release	Mar-11	Total Malfunctions	7
CE Approval Date	May-10	Therapy Function Not Compromised	6
Registered USA Implants	10,230	Other Malfunction	1
Estimated Active USA Implants	5,331	Poss Early Battery Depltn	5
Normal Battery Depletions	606	Therapy Function Compromised	1



Years After Implant

Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.7%	91.6%	84.3%	59.1%
Effective	26673	23955	21393	18551	15266	10904	5557	384

# C3TR01 Consulta CRT-P

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

May-10

**Therapy Function Not Compromised** 

**Registered USA Implants** 

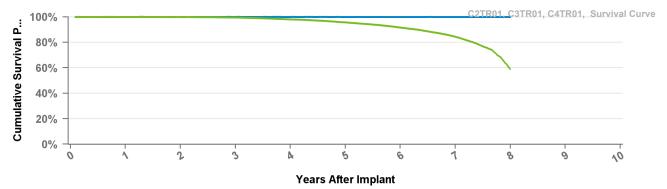
2

Estimated Active USA Implants

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 

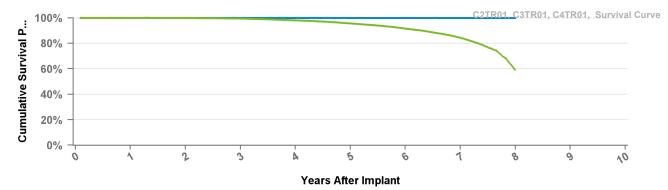


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.7%	91.6%	84.3%	59.1%
Effective Sample Size	26673	23955	21393	18551	15266	10904	5557	384

# C4TR01 Consulta CRT-P

US Market Release	Mar-11	Total Malfunctions	7
CE Approval Date		Therapy Function Not Compromised	5
Registered USA Implants	23,541	Poss Early Battery Depltn	5
Estimated Active USA Implants	14,103	Therapy Function Compromised	2
Normal Battery Depletions	1,207	Electrical Component	1
		Poss Early Battery Depltn	1



Years	1	2	3	4	5	6	7	at 96 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.7%	91.6%	84.3%	59.1%
Effective Sample Size	26673	23955	21393	18551	15266	10904	5557	384

#### **C5TR01** Viva CRT-P

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

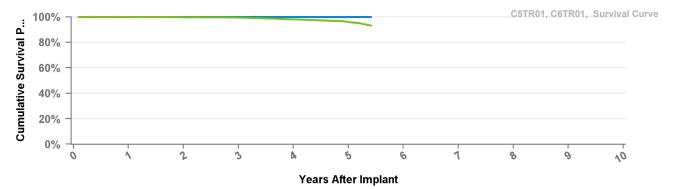
**Registered USA Implants** 

Apr-14

**Therapy Function Compromised** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

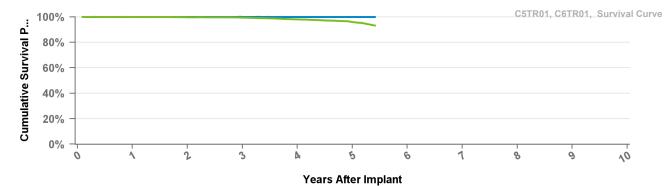


- Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	99.4%	98.0%	95.9%	93.1%
Effective Sample Size	7636	6856	6127	4810	1699	380

#### **C6TR01** Viva CRT-P

**US Market Release** Jul-14 **Total Malfunctions** 5 **CE Approval Date Therapy Function Not Compromised** 5 **Registered USA Implants** 9,299 Poss Early Battery Depltn 5 **Estimated Active USA Implants** 7,483 **Therapy Function Compromised** 0 **Normal Battery Depletions** 126

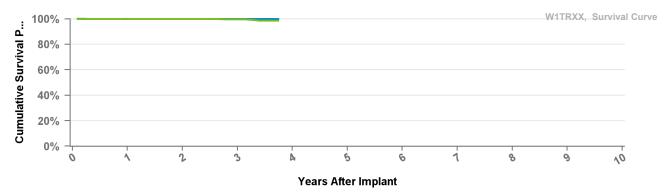


- Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	2	3	4	5	at 65 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	99.4%	98.0%	95.9%	93.1%
Effective Sample Size	7636	6856	6127	4810	1699	380

# W1TR01 Percepta CRTP MRI

US Market Release	May-17	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	7,503	Other Malfunction	1
Estimated Active USA Implants	7,013	Therapy Function Compromised	1
Normal Battery Depletions	6	Electrical Component	1

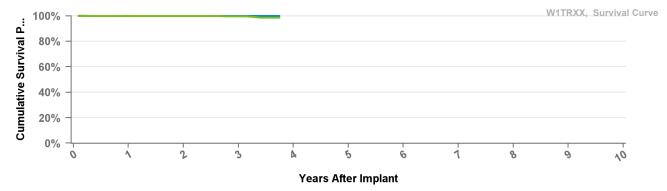


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 45 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective	7485	4168	1319	109

# W1TR02 Serena CRTP MRI

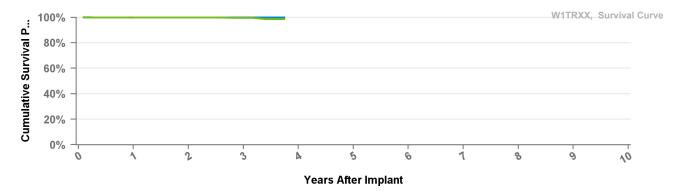
US Market Release	May-17	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,622	Other Malfunction	1
Estimated Active USA Implants	1,507	Therapy Function Compromised	0
Normal Battery Depletions	1		



Years	1	2	3	at 45 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective Sample Size	7485	4168	1319	109

# W1TR03 Solara CRTP MRI

US Market Release	May-17	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	2,564	Electrical Component	1
Estimated Active USA Implants	2,352	Therapy Function Compromised	0
Normal Battery Depletions	1		



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 45 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective Sample Size	7485	4168	1319	109

# W1TR04 Percepta CRTP MRI

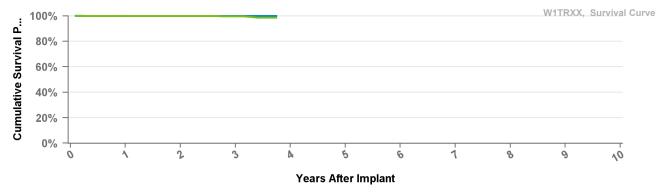
US Market Release Total Malfunctions

CE Approval Date Feb-17 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective Sample Size	7485	4168	1319	109

# W1TR05 Serena CRTP MRI

**US Market Release** 

CE Approval Date

**Total Malfunctions** 

CE Approvai Date

**Therapy Function Not Compromised** 

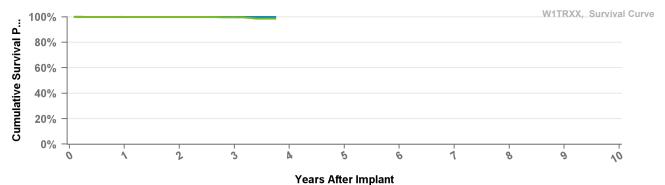
Registered USA Implants

Estimated Active USA Implants

Feb-17

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 45 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective	7485	4168	1319	109

#### **W1TR06**

# Solara CRTP MRI

**US Market Release** 

Feb-17

**Total Malfunctions** 

**CE Approval Date** 

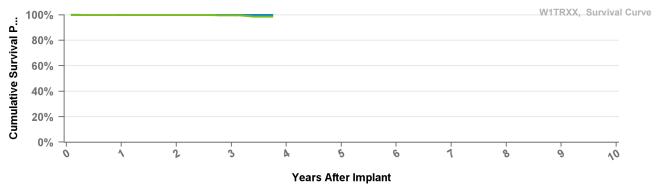
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

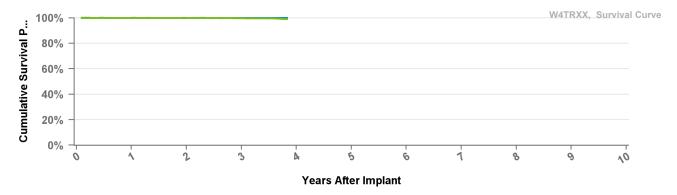
**Normal Battery Depletions** 



Years	1	2	3	at 45 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.7%	98.5%
Effective Sample Size	7485	4168	1319	109

# W4TR01 Percepta Quad CRTP MRI SureScan

US Market Release	May-17	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	28,381	Electrical Component	2
Estimated Active USA Implants	26,675	Other Malfunction	1
Normal Battery Depletions	7	Therapy Function Compromised	0

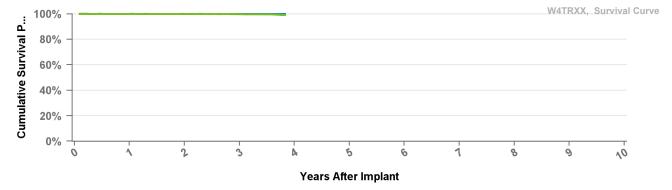


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

# W4TR02 Serena Quad CRTP MRI SureScan

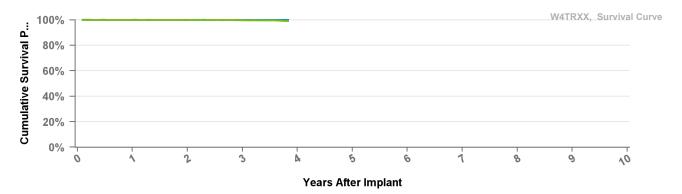
US Market Release	May-17	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	4,880	Electrical Component	1
Estimated Active USA Implants	4,551	Therapy Function Compromised	0
Normal Battery Depletions	4		



Years	1	2	3	at 46 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

# W4TR03 Solara Quad CRTP MRI SureScan

US Market Release	May-17	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	0
Registered USA Implants	7,026		
Estimated Active USA Implants	6,511	Therapy Function Compromised	2
Normal Battery Depletions	6	Electrical Component	1
		Poss Early Battery Depltn	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 46 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

**W4TR04** 

# Percepta Quad CRT-P MRI SureScan

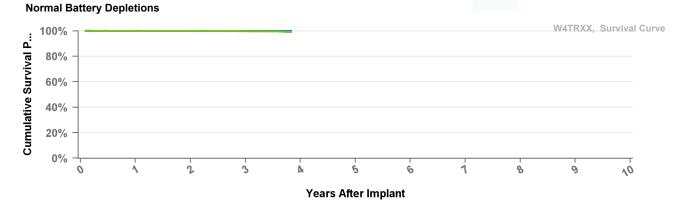
US Market Release Total Malfunctions
CE Approval Date Feb-17 Therapy Function N

Registered USA Implants

**Estimated Active USA Implants** 

Feb-17 Therapy Function Not Compromised

**Therapy Function Compromised** 



Years	1	2	3	at 46 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

#### **W4TR05**

# Serena Quad CRTP MRI SureScan

Feb-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

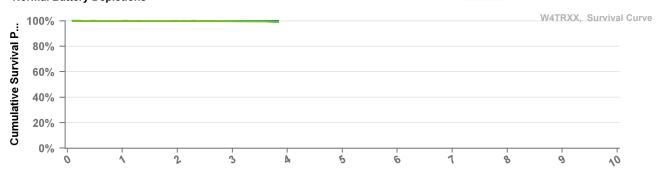
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



**Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 46 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

#### **W4TR06**

# Solara Quad CRTP MRI SureScan

Feb-17

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

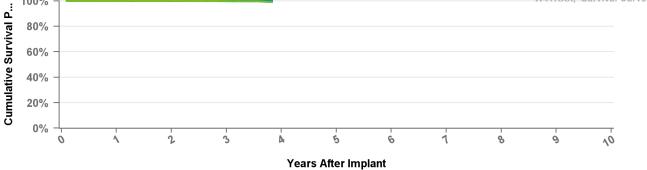
**Registered USA Implants** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 





• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 46 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.7%	99.2%
Effective Sample Size	25984	15403	6140	356

W4TRXX, Survival Curve

# 7232Cx Maximo VR

US Market Release	Oct-03	Total Malfunctions	72
CE Approval Date	Oct-03	Therapy Function Not Compromised	57
Registered USA Implants	43,491	Electrical Component	28
Estimated Active USA Implants	4,508	Other Malfunction	2
Normal Battery Depletions	10,302	Poss Early Battery Depltn	25
		Software Malfunction	2
		Therapy Function Compromised	15
		Electrical Component	12
		Electrical Interconnect	1

Other Malfunction

Poss Early Battery Depltn

1

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	at 175 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.3%	99.0%	98.6%	98.2%	96.6%	90.6%	82.1%	69.9%	44.3%	18.0%	13.3%	12.4%	11.8%	11.1%	9.9%
Effective	37910	33905	30200	26602	23406	20324	17146	13675	8064	2739	1663	1310	984	557	146

**Years After Implant** 

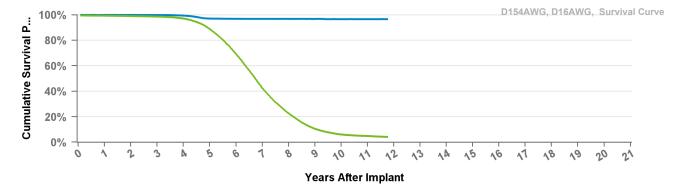
# D164AWG

**Normal Battery Depletions** 

# Virtuoso DR

US Market Release		Total Malfunctions
CE Approval Date	Mar-06	Therapy Function Not Compromised
Registered USA Implants	10	
Estimated Active USA Implants	3	Therapy Function Compromised

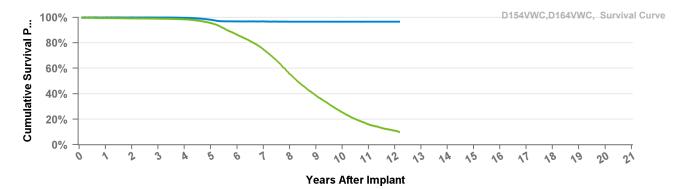
3



Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.9%	99.9%	99.4%	97.1%	96.9%	96.9%	96.8%	96.8%	96.7%	96.7%	96.7%
Including NBD	99.4%	99.1%	98.6%	97.1%	88.8%	69.4%	42.5%	22.6%	10.5%	6.1%	4.9%	4.2%
Effective Sample Size	62980	57723	52547	47688	40468	29333	16178	7355	2867	1346	851	181

# D164VWC Virtuoso VR

US Market Release		Total Malfunctions
CE Approval Date	Mar-06	Therapy Function Not Compromised
Registered USA Implants	6	Electrical Component
Estimated Active USA Implants	2	Therapy Function Compromised
Normal Battery Depletions	1	



1

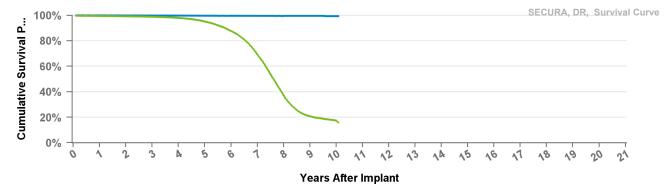
0

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 146 mo
Excluding NBD	100.0%	99.9%	99.9%	99.7%	98.1%	96.8%	96.8%	96.6%	96.6%	96.6%	96.5%	96.5%	96.5%
Including NBD	99.4%	99.2%	99.0%	98.4%	95.5%	86.3%	74.7%	55.7%	38.5%	25.4%	15.9%	11.0%	9.9%
Effective Sample Size	28339	25815	23497	21472	19074	15938	12850	8829	5501	3227	1726	378	126

#### D204DRM Secura DR

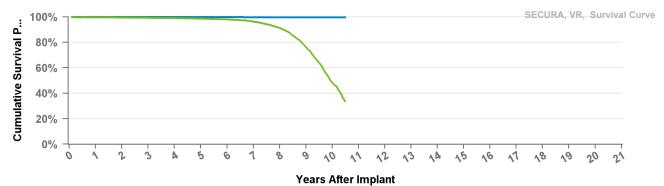
US Market Release	Jan-12	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,879	Other Malfunction	1
Estimated Active USA Implants	670	Therapy Function Compromised	4
Normal Battery Depletions	285	Battery Malfunction	2
		Electrical Component	2



Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.5%	99.3%	98.9%	98.0%	95.2%	87.7%	69.3%	37.3%	20.8%	17.3%	15.7%
Effective Sample Size	44698	41809	39246	36411	32584	26302	16939	6657	2308	377	130

# D204VRM Secura VR

US Market Release	May-12	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,185	Electrical Component	1
Estimated Active USA Implants	743	Therapy Function Compromised	2
Normal Battery Depletions	14	Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 126 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.3%	99.0%	98.6%	98.1%	96.2%	91.3%	76.5%	48.6%	33.5%
Effective Sample Size	17918	16712	15724	14651	13447	12203	10815	8400	4716	1432	203

# D214DRM Secura DR

US Market Release Total Malfunctions

CE Approval Date Jul-10 Therapy Function Not Compromised

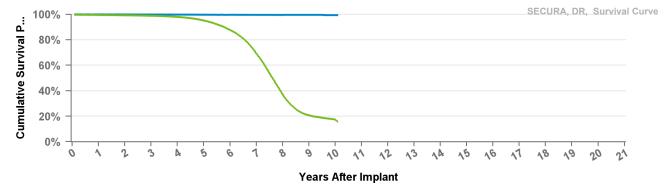
2

Registered USA Implants

Estimated Active USA Implants

**Normal Battery Depletions** 

Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.5%	99.3%	98.9%	98.0%	95.2%	87.7%	69.3%	37.3%	20.8%	17.3%	15.7%
Effective Sample Size	44698	41809	39246	36411	32584	26302	16939	6657	2308	377	130

# **D214VRM**

# Secura VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

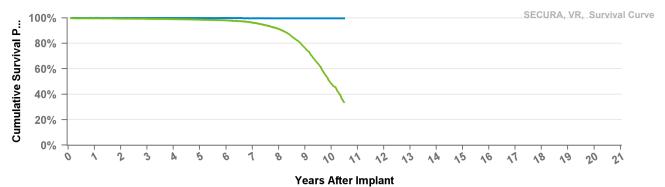
Dec-10 **Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

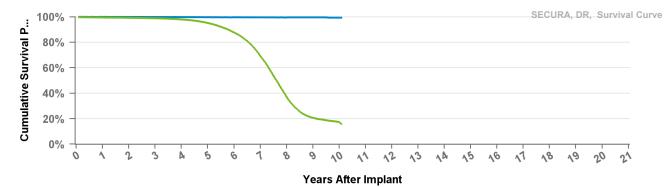
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	at 126 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.3%	99.0%	98.6%	98.1%	96.2%	91.3%	76.5%	48.6%	33.5%
Effective Sample Size	17918	16712	15724	14651	13447	12203	10815	8400	4716	1432	203

# D224DRG Secura DR

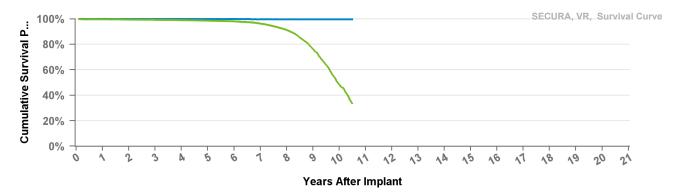
US Market Release	Sep-08	Total Malfunctions	152
CE Approval Date		Therapy Function Not Compromised	115
Registered USA Implants	49,918	Battery Malfunction	14
Estimated Active USA Implants	10,605	Electrical Component	38
Normal Battery Depletions	10,162	Other Malfunction	4
		Poss Early Battery Depltn	50
		Software Malfunction	9
		Therapy Function Compromised	37
		Battery Malfunction	21
		Electrical Component	13
		Other Malfunction	1
		Poss Early Battery Depltn	1
		Software Malfunction	1



Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.5%	99.3%	98.9%	98.0%	95.2%	87.7%	69.3%	37.3%	20.8%	17.3%	15.7%
Effective Sample Size	44698	41809	39246	36411	32584	26302	16939	6657	2308	377	130

# D224VRC Secura VR

US Market Release	Sep-08	Total Malfunctions	52
CE Approval Date		Therapy Function Not Compromised	35
Registered USA Implants	20,053	Battery Malfunction	14
Estimated Active USA Implants	5,836	Electrical Component	10
Normal Battery Depletions	1,936	Other Malfunction	1
		Poss Early Battery Depltn	8
		Software Malfunction	2
		Therapy Function Compromised	17
		Battery Malfunction	9
		Electrical Component	6
		Poss Early Battery Depltn	1
		Software Malfunction	1



Years	1	2	3	4	5	6	7	8	9	10	at 126 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.3%	99.0%	98.6%	98.1%	96.2%	91.3%	76.5%	48.6%	33.5%
Effective Sample Size	17918	16712	15724	14651	13447	12203	10815	8400	4716	1432	203

#### **D234DRG**

# Secura DR

2

1

**US Market Release** 

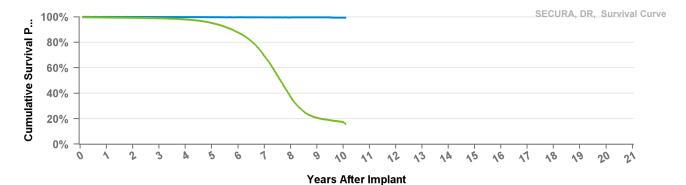
Mar-08 **CE Approval Date Registered USA Implants** 4

**Estimated Active USA Implants Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.5%	99.3%	98.9%	98.0%	95.2%	87.7%	69.3%	37.3%	20.8%	17.3%	15.7%
Effective	44698	41809	39246	36411	32584	26302	16939	6657	2308	377	130

Mar-08

3

# **D234VRC**

#### Secura VR

**US Market Release** 

**CE Approval Date** 

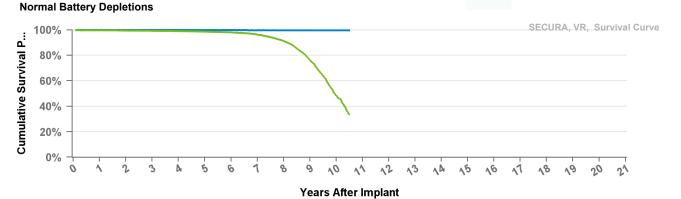
**Registered USA Implants** 

**Estimated Active USA Implants** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	at 126 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.3%	99.0%	98.6%	98.1%	96.2%	91.3%	76.5%	48.6%	33.5%
Effective Sample Size	17918	16712	15724	14651	13447	12203	10815	8400	4716	1432	203

#### D264DRM Max

# Maximo II DR

US Market Release CE Approval Date Jan-12 Jul-10 **Total Malfunctions** 

Registered USA Implants

Jul-10

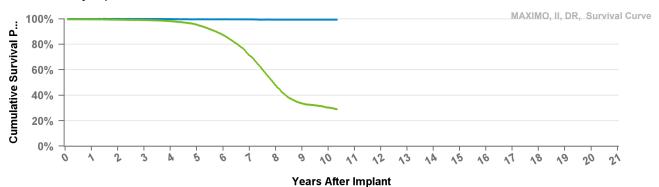
Therapy Function Not Compromised

**Estimated Active USA Implants** 

7 Therapy Function Compromised

Normal Battery Depletions

2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 124 mo
Excluding NBD	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.6%	99.4%	99.0%	98.2%	95.4%	87.2%	71.3%	47.8%	33.6%	30.3%	29.0%
Effective Sample Size	17327	16157	15179	14094	12605	10000	6235	2825	1385	493	152

# **D264VRM**

#### Maximo II VR

US Market Release

May-12

**Total Malfunctions** 

**CE Approval Date** 

Dec-10

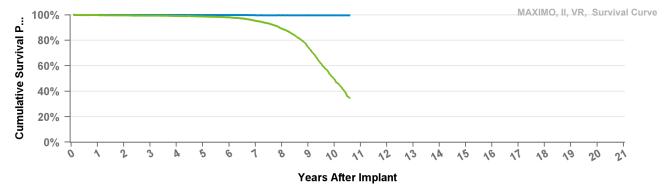
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

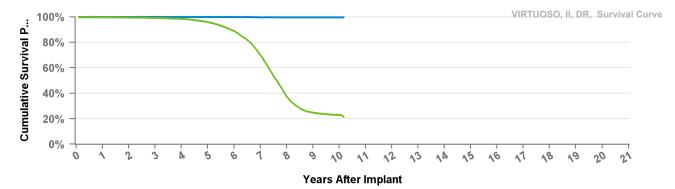
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	at 127 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.4%	99.2%	98.8%	98.1%	95.3%	89.1%	74.9%	49.7%	34.6%
Effective Sample Size	11024	10326	9708	9025	8293	7511	6566	5112	2910	917	138

# D274DRG Virtuoso II DR

US Market Release	Aug-09	Total Malfunctions	46
CE Approval Date		Therapy Function Not Compromised	29
Registered USA Implants	22,234	Battery Malfunction	10
Estimated Active USA Implants	5,081	Electrical Component	11
Normal Battery Depletions	4,284	Poss Early Battery Depltn	7
		Software Malfunction	1
		Therapy Function Compromised	17
		Battery Malfunction	14
		Electrical Component	2

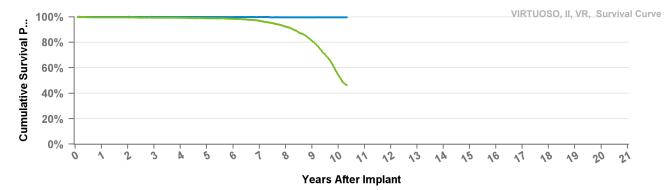


Other Malfunction

Years	1	2	3	4	5	6	7	8	9	10	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.7%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.1%	98.4%	95.8%	88.9%	70.1%	37.8%	24.8%	23.0%	21.3%
Effective Sample Size	19038	17867	16814	15617	13913	11167	7159	3093	1607	546	185

# D274VRC Virtuoso II VR

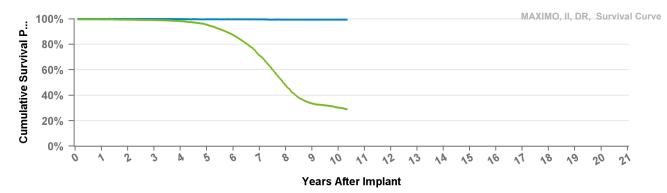
US Market Release	Aug-09	Total Malfunctions	21
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	9,128	Battery Malfunction	6
Estimated Active USA Implants	2,763	Electrical Component	4
Normal Battery Depletions	793	Poss Early Battery Depltn	2
		Software Malfunction	1
		Therapy Function Compromised	8
		Battery Malfunction	7
		Electrical Component	1



Years	1	2	3	4	5	6	7	8	9	10	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.6%	99.6%
Including NBD	99.6%	99.6%	99.4%	99.3%	98.9%	98.5%	96.9%	92.3%	81.0%	54.6%	46.3%
Effective Sample Size	7631	7159	6749	6283	5797	5269	4677	3824	2526	796	194

# D284DRG Maximo II DR

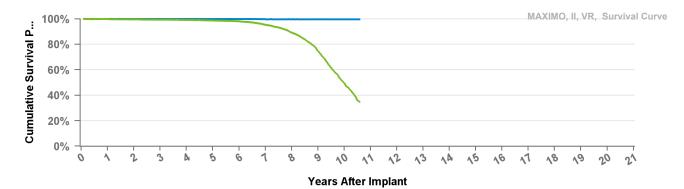
US Market Release	Sep-08	Total Malfunctions	71
CE Approval Date	Mar-08	Therapy Function Not Compromised	54
Registered USA Implants	20,098	Battery Malfunction	7
Estimated Active USA Implants	4,544	Electrical Component	15
Normal Battery Depletions	3,574	Other Malfunction	2
		Poss Early Battery Depltn	30
		Therapy Function Compromised	17
		Battery Malfunction	11
		Electrical Component	5
		Poss Early Battery Depltn	1



Years	1	2	3	4	5	6	7	8	9	10	at 124 mo
Excluding NBD	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.6%	99.4%	99.0%	98.2%	95.4%	87.2%	71.3%	47.8%	33.6%	30.3%	29.0%
Effective Sample Size	17327	16157	15179	14094	12605	10000	6235	2825	1385	493	152

# D284VRC Maximo II VR

CE Approval DateMar-08Therapy Function Not Compromised23Registered USA Implants13,036Battery Malfunction10Estimated Active USA Implants4,108Electrical Component6
<b>Estimated Active USA Implants</b> 4,108 Electrical Component 6
Normal Battery Depletions 1,406 Poss Early Battery Depltn 4
Software Malfunction 3
Therapy Function Compromised 9
Battery Malfunction 6
Electrical Component 2



Software Malfunction

1

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 127 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.4%	99.2%	98.8%	98.1%	95.3%	89.1%	74.9%	49.7%	34.6%
Effective Sample Size	11024	10326	9708	9025	8293	7511	6566	5112	2910	917	138

#### D294DRG Virtuoso II DR

US Market Release
CE Approval Date
Registered USA Implants

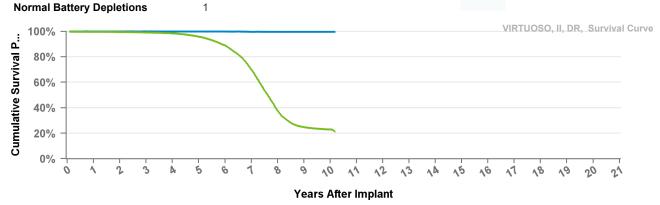
Date Aug-08 SA Implants 3

Estimated Active USA Implants Normal Battery Depletions

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	at 122 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.7%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.1%	98.4%	95.8%	88.9%	70.1%	37.8%	24.8%	23.0%	21.3%
Effective Sample Size	19038	17867	16814	15617	13913	11167	7159	3093	1607	546	185

# D294VRC Virtuoso II VR

**US Market Release** 

CE Approval Date

Aug-08

Therapy Function Not Compromised

**Registered USA Implants** 

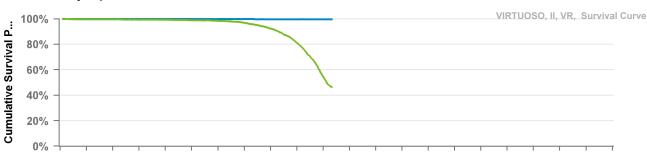
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

0

**Therapy Function Compromised** 

**Total Malfunctions** 



#### Years After Implant

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 124 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.6%	99.6%
Including NBD	99.6%	99.6%	99.4%	99.3%	98.9%	98.5%	96.9%	92.3%	81.0%	54.6%	46.3%
Effective Sample Size	7631	7159	6749	6283	5797	5269	4677	3824	2526	796	194

# D314DRG Protecta XT DR

US Market Release	Mar-11	Total Malfun
CE Approval Date		Therapy Fur
Registered USA Implants	34,848	Battery Ma
Estimated Active USA Implants	10,693	Electrical (
Normal Battery Depletions	4,143	Electrical I
		Other Malf
		Poss Early
		Thorany Fur

 Total Malfunctions
 74

 Therapy Function Not Compromised
 39

 Battery Malfunction
 7

 Electrical Component
 26

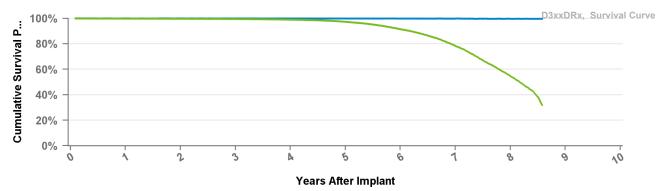
 Electrical Interconnect
 1

 Other Malfunction
 1

 Poss Early Battery Depltn
 4

 Therapy Function Compromised
 35

Battery Malfunction 28
Electrical Component 7



Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D314DRM Protecta XT DR

US Market Release	Nov-11	Total Malfunctions	22
CE Approval Date		Therapy Function Not Compromised	14
Registered USA Implants	13,926	Battery Malfunction	1
Estimated Active USA Implants	4,963	Electrical Component	12
Normal Battery Depletions	1,612	Other Malfunction	1
		Therapy Function Compromised	8
		Battery Malfunction	7

100% 80% 60% 40% 20% Years After Implant

**Electrical Component** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

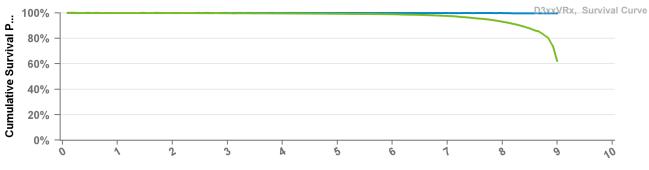
Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D314VRG Protecta XT VR

US Market Release	Mar-11
CE Approval Date	
Registered USA Implants	14,228
Estimated Active USA Implants	7,175
Normal Battery Depletions	582

Total Malfunctions30Therapy Function Not Compromised20Battery Malfunction10Electrical Component9Other Malfunction1Therapy Function Compromised10

Battery Malfunction 9
Electrical Component 1

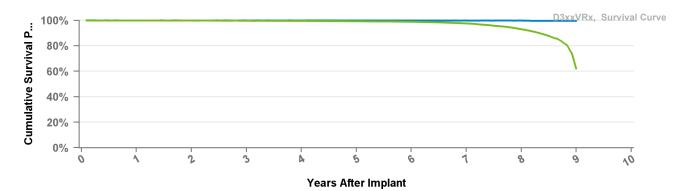


#### Years After Implant

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective	26003	24365	22958	21368	19650	17879	15625	10209	359

# D314VRM Protecta XT VR

US Market Release	May-12	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	7,376	Battery Malfunction	1
Estimated Active USA Implants	4,683	Electrical Component	2
Normal Battery Depletions	142	Poss Early Battery Depltn	1
		Therapy Function Compromised	4
		Battery Malfunction	2
		Electrical Component	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

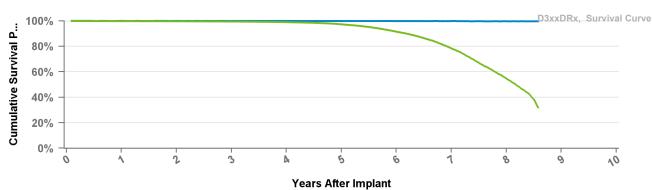
Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

# D334DRG Protecta DR

US Market Release	Mar-11
CE Approval Date	
Registered USA Implants	10,690
Estimated Active USA Implants	3,322
Normal Battery Depletions	1.664

Total Malfunctions20Therapy Function Not Compromised9Battery Malfunction2Electrical Component6Poss Early Battery Depltn1Therapy Function Compromised11Battery Malfunction8

Electrical Component 3



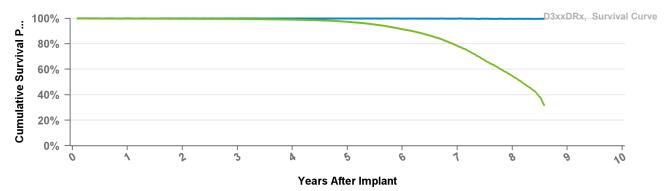
Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective	54493	51198	48041	44569	40085	32637	21413	7675	547

# D334DRM Protecta DR

**Normal Battery Depletions** 

US Market Release Nov-11 Total Malfunctions 1
CE Approval Date Therapy Function Not Compromised 0
Registered USA Implants 2,992
Estimated Active USA Implants 1,064 Therapy Function Compromised 1

1,064 Therapy Function Compromised 1 512 Battery Malfunction 1

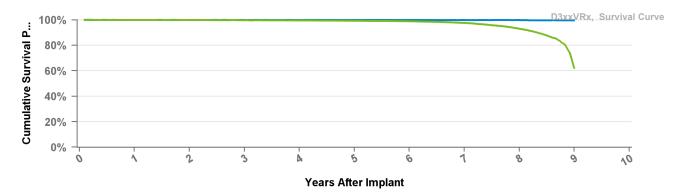


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D334VRG Protecta VR

US Market Release	Mar-11	Total Malfunctions	12
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	6,483	Battery Malfunction	2
Estimated Active USA Implants	3,422	Electrical Component	4
Normal Battery Depletions	292	Therapy Function Compromised	6
		Battery Malfunction	4



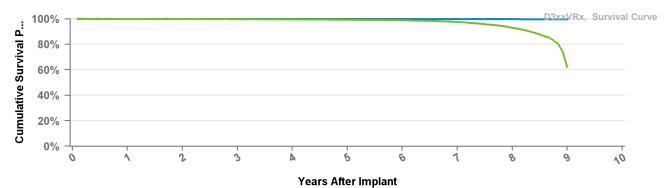
**Electrical Component** 

2

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

# D334VRM Protecta VR

US Market Release	May-12	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	2,162	Other Malfunction	1
Estimated Active USA Implants	1,381	Therapy Function Compromised	2
Normal Battery Depletions	55	Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

# D354DRG Protecta XT DR

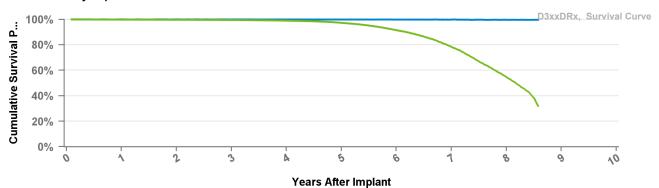
US Market Release Total Malfunctions

CE Approval Date Mar-10 Therapy Function Not Compromised

Registered USA Implants 5

Estimated Active USA Implants 2 Therapy Function Compromised

Normal Battery Depletions 1



Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D354DRM Protecta XT DR

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

Jul-10

Jul-10

2

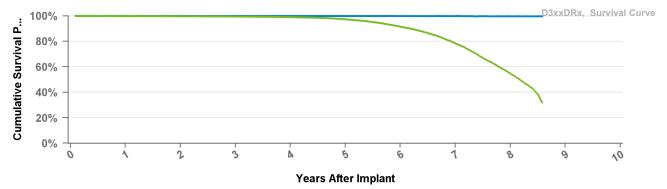
**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

Mar-10

#### **D354VRG**

# Protecta XT VR

**US Market Release** 

**Total Malfunctions** 

CE Approval Date

**Therapy Function Not Compromised** 

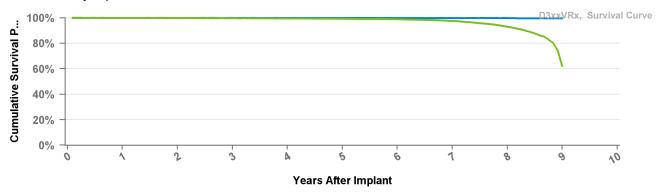
**Registered USA Implants** 

2

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

# D354VRM Protecta XT VR

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

Total Mananotion

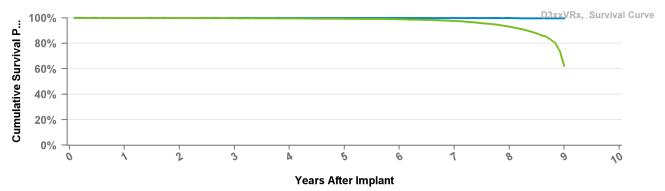
Registered USA Implants

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

Mar-10

Dec-10

1

0

#### **D364DRG**

## Protecta DR

**US Market Release** 

**Total Malfunctions** 

CE Approval Date

**Therapy Function Not Compromised** 

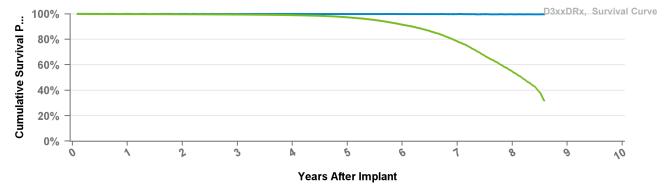
**Registered USA Implants** 

3 2

**Therapy Function Compromised** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 



Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D364DRM Protecta DR

US Market Release

**Total Malfunctions** 

CE Approval Date

Jul-10

Registered USA Implants

**Therapy Function Not Compromised** 

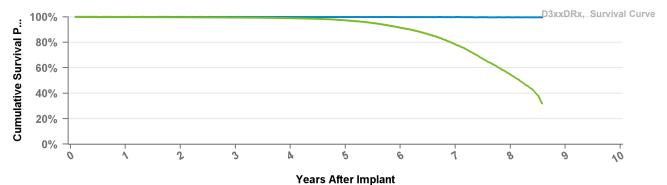
Estimated Active USA Implants

1

1

**Therapy Function Compromised** 

Normal Battery Depletions



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective	54493	51198	48041	44569	40085	32637	21413	7675	547

Mar-10

# **D364VRG**

## Protecta VR

**US Market Release** 

**Total Malfunctions** 

CE Approval Date

**Therapy Function Not Compromised** 

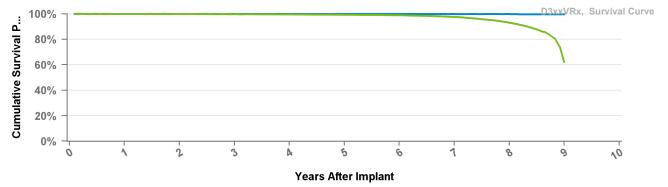
**Registered USA Implants** 

2

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

#### **D364VRM** Protecta VR

**US Market Release** 

**Total Malfunctions** 

Dec-10

**CE Approval Date Registered USA Implants** 

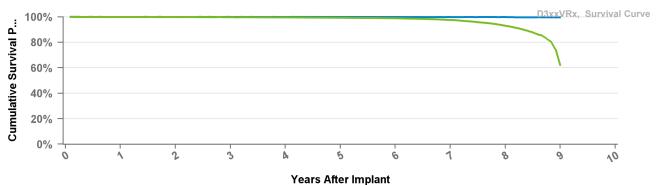
**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

4 2

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

#### **D384DRG**

## Cardia DR

**US Market Release** 

Jan-11

**Total Malfunctions** 

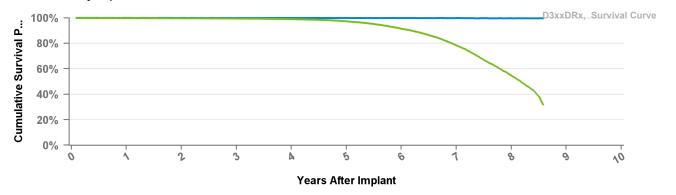
**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Therapy Function Compromised** 

**Estimated Active USA Implants Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

## D384VRG

# Cardia VR

**US Market Release** 

**CE Approval Date** 

Registered USA Implants

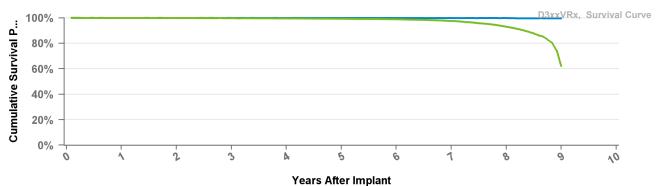
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

Jan-11

Jan-11

## **D394DRG**

# Egida DR

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

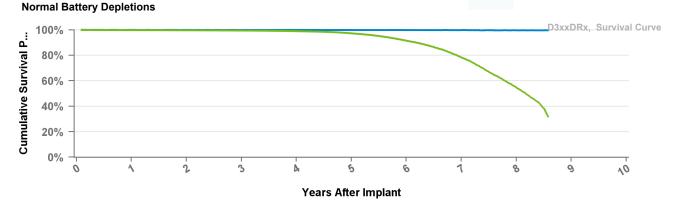
gistered USA implants

intered LICA Implemen

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 103 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.3%	91.5%	78.3%	54.7%	31.8%
Effective Sample Size	54493	51198	48041	44569	40085	32637	21413	7675	547

# D394VRG Egida VR

**US Market Release** 

**CE Approval Date** 

Jan-11

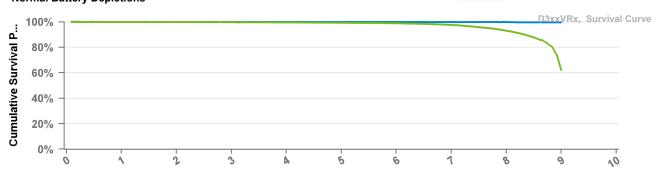
**Total Malfunctions** 

**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

Normal Battery Depletions

**Therapy Function Compromised** 



**Years After Implant** 

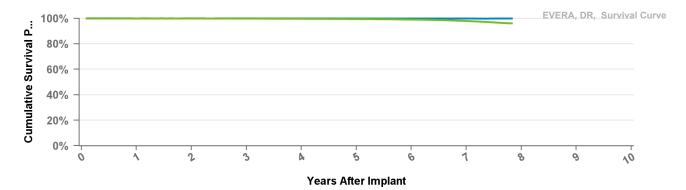
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%
Including NBD	99.9%	99.8%	99.7%	99.6%	99.3%	98.9%	97.6%	93.0%	62.2%
Effective Sample Size	26003	24365	22958	21368	19650	17879	15625	10209	359

# DDBB1D1 Evera XT

US Market Release Apr-13
CE Approval Date
Registered USA Implants 82,055
Estimated Active USA Implants 63,429
Normal Battery Depletions 486

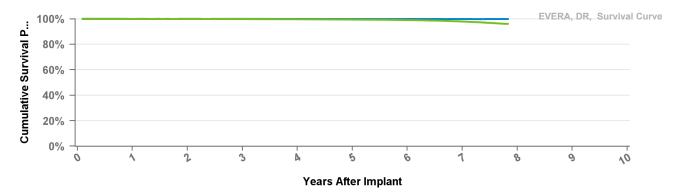
**Total Malfunctions** 110 **Therapy Function Not Compromised** 62 **Battery Malfunction** 36 22 **Electrical Component** Other Malfunction 4 **Therapy Function Compromised** 48 **Battery Malfunction** 40 **Electrical Component** 3 **Electrical Interconnect** 2 Other Malfunction 3



Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDBB1D4 Evera XT

US Market Release	Apr-13	Total Malfunctions	92
CE Approval Date		Therapy Function Not Compromised	56
Registered USA Implants	59,781	Battery Malfunction	38
<b>Estimated Active USA Implants</b>	49,007	Electrical Component	12
Normal Battery Depletions	266	Electrical Interconnect	2
		Other Malfunction	2
		Poss Early Battery Depltn	2
		Therapy Function Compromised	36
		Battery Malfunction	28
		Electrical Component	8



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDBB2D1 Evera XT

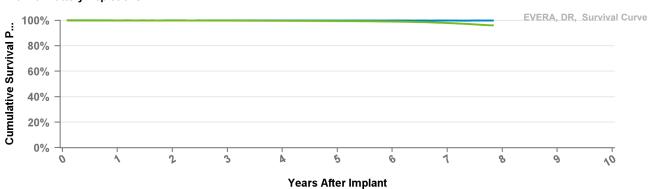
US Market Release Total Malfunctions

CE Approval Date Dec-12 Therapy Function Not Compromised

Registered USA Implants 4

Estimated Active USA Implants 2 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

#### DDBB2D4 **Evera XT**

**US Market Release** 

**CE Approval Date** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

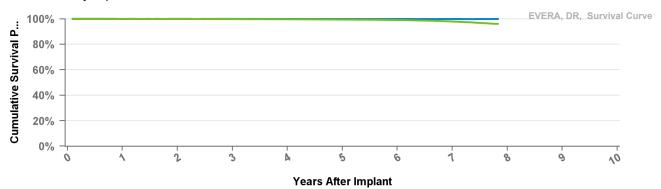
**Total Malfunctions** 

Dec-12

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



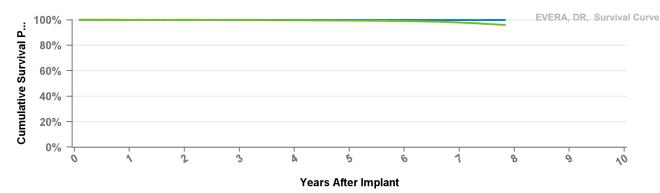


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

#### DDBC3D1 Evera S

US Market Release	Apr-13	Total Malfunctions	26
CE Approval Date	Dec-12	Therapy Function Not Compromised	14
Registered USA Implants	15,930	Battery Malfunction	10
Estimated Active USA Implants	12,326	Electrical Component	4
Normal Battery Depletions	93	Therapy Function Compromised	12
		Battery Malfunction	8
		Electrical Component	4



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

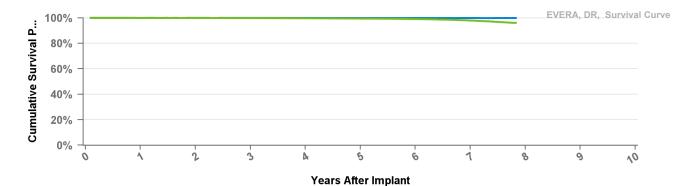
#### DDBC3D4 Evera S

US Market Release	Apr-13	Total Malfunctions
CE Approval Date	Dec-13	Therapy Function Not Compromised
Registered USA Implants	11,779	Battery Malfunction
Estimated Active USA Implants	9,539	Electrical Component
Normal Battery Depletions	48	Therapy Function Compromised
		Battery Malfunction

4 4 romised 10 8 Poss Early Battery Depltn 2

18

8

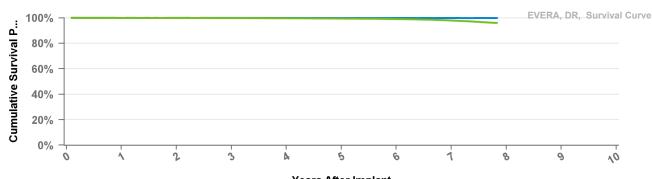


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

#### **Evera MRI XT** DDMB1D1

US Market Release	Oct-16	Total Malfunctions	19
CE Approval Date		Therapy Function Not Compromised	14
Registered USA Implants	40,725	Battery Malfunction	7
Estimated Active USA Implants	37,173	Electrical Component	3
Normal Battery Depletions	20	Electrical Interconnect	2
		Other Malfunction	2
		Therapy Function Compromised	5
		Battery Malfunction	2
		Electrical Component	3

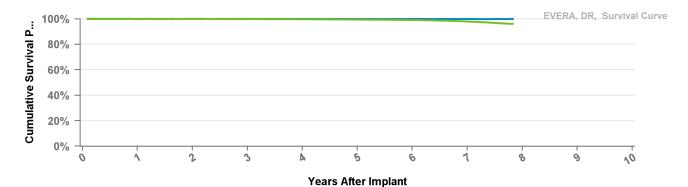


# **Years After Implant**

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDMB1D4 Evera MRI XT

US Market Release	Sep-15	Total Malfunctions	64
CE Approval Date		Therapy Function Not Compromised	44
Registered USA Implants	115,605	Battery Malfunction	16
Estimated Active USA Implants	106,370	Electrical Component	24
Normal Battery Depletions	93	Electrical Interconnect	3
		Other Malfunction	1
		Therapy Function Compromised	20
		Battery Malfunction	18
		Electrical Component	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDMB2D1 Evera MRI XT

US Market Release Total Malfunctions

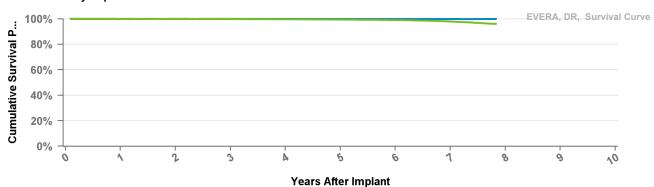
CE Approval Date Sep-16 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants 1 Therapy Function Compromised

1

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDMB2D4 Evera MRI XT

**US Market Release** 

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**Total Malfunctions** 

Mar-14

**CE Approval Date** 

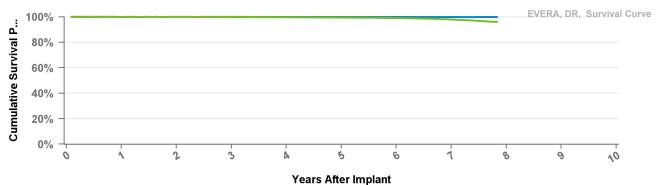
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

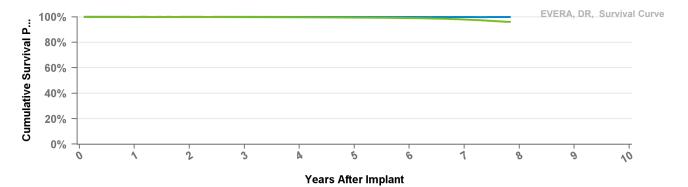
Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

2

# DDMC3D1 Evera MRI S

**Normal Battery Depletions** 

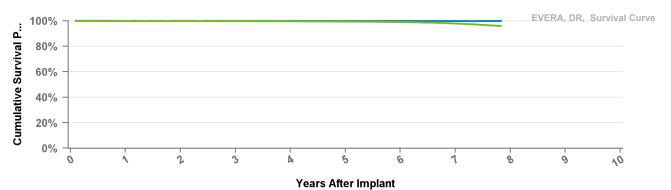
Oct-16	Total Malfunctions	1
Sep-16	Therapy Function Not Compromised	1
3,741	Electrical Component	1
3,437	Therapy Function Compromised	0
	Sep-16 3,741	Sep-16 Therapy Function Not Compromised 3,741 Electrical Component



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

#### DDMC3D4 **Evera MRI**

US Market Release	Sep-15	Total Malfunctions	7
CE Approval Date	Mar-14	Therapy Function Not Compromised	4
Registered USA Implants	8,067	Battery Malfunction	2
Estimated Active USA Implants	7,390	Electrical Component	2
Normal Battery Depletions	2	Therapy Function Compromised	3
		Battery Malfunction	2
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DDMD3D1

Primo

Mar-18

**Total Malfunctions** 

**US Market Release CE Approval Date** 

Nov-17

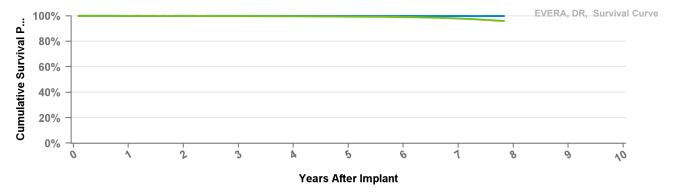
**Therapy Function Not Compromised** 

**Registered USA Implants** 

246

**Estimated Active USA Implants** 230 **Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

#### DDMD3D4 Primo

**US Market Release** 

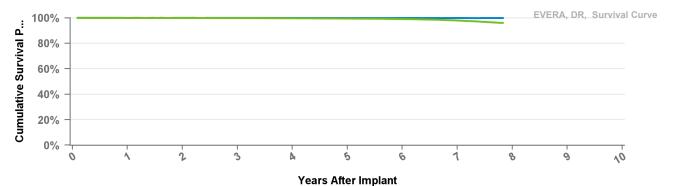
Nov-17 **Therapy Function Not Compromised CE Approval Date** 

Mar-18

**Registered USA Implants** 516

**Therapy Function Compromised Estimated Active USA Implants** 497

**Normal Battery Depletions** 



**Total Malfunctions** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

## DDME3D1

## Mirro

**US Market Release** Mar-18 **Total Malfunctions** 

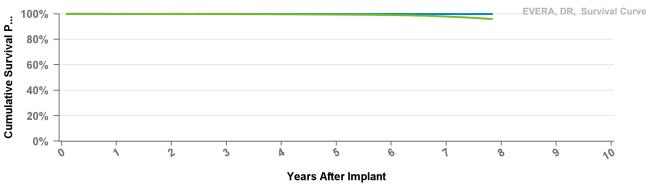
**CE Approval Date** Nov-17 **Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

## DDME3D4 Mirro

US Market Release

Mar-18

**Total Malfunctions** 

CE Approval Date

Nov-17

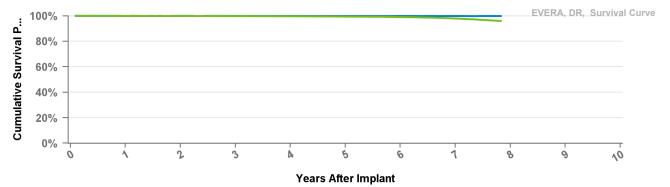
**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

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**Therapy Function Compromised** 

**Normal Battery Depletions** 

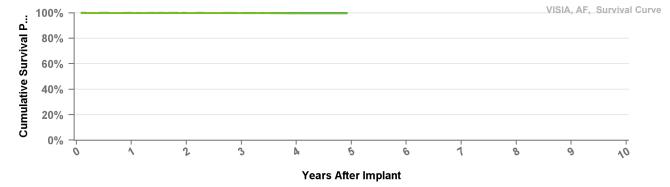


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 94 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.5%	99.1%	97.9%	96.2%
Effective Sample Size	188082	156541	123436	90885	60543	35791	14410	584

# DVAB1D1 Visia AF

2 **US Market Release** Jan-16 **Total Malfunctions CE Approval Date Therapy Function Not Compromised** 2 2 **Registered USA Implants** 5,022 **Battery Malfunction Estimated Active USA Implants** 4,351 **Therapy Function Compromised** 0 **Normal Battery Depletions** 9



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

# DVAB1D4 Visia AF

US Market Release

Jan-16 Total Malfunctions

**CE Approval Date** 

**Therapy Function Not Compromised** 

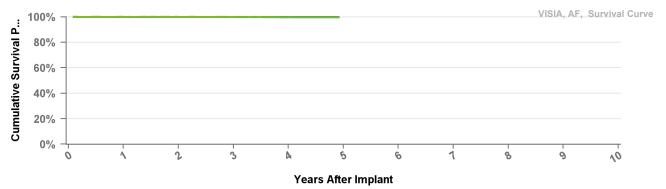
Registered USA Implants

3,440

Estimated Active USA Implants

3,112 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

## **DVAB2D1**

# Visia AF XT

Oct-15

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

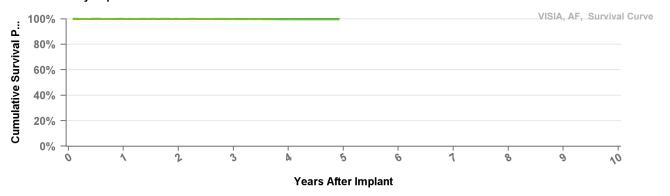
**Therapy Function Not Compromised** 

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

#### DVAC3D1 Visia AF S

**US Market Release CE Approval Date** 

Jan-16

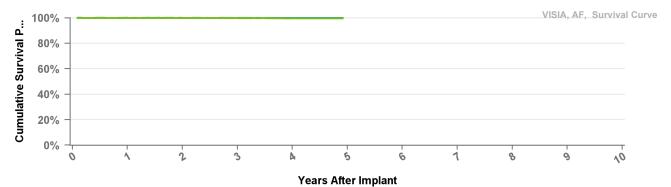
**Total Malfunctions** 

Oct-15 **Therapy Function Not Compromised** 

**Registered USA Implants Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

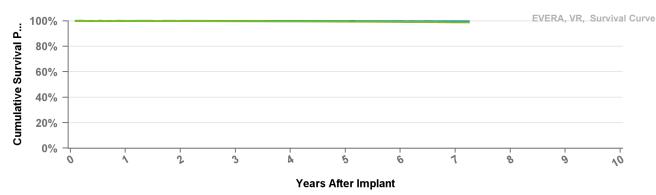
Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective	56762	41735	26126	10688	142

#### DVBB1D1 Evera XT

Sample Size

**US Market Release** Apr-13 **CE Approval Date Registered USA Implants** 32,203 **Estimated Active USA Implants** 24,811 **Normal Battery Depletions** 40

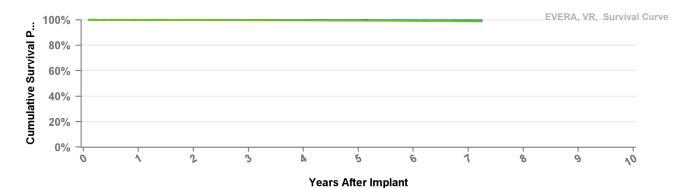
**Total Malfunctions** 73 **Therapy Function Not Compromised** 55 **Battery Malfunction** 42 **Electrical Component** 13 **Therapy Function Compromised** 18 **Battery Malfunction** 14 **Electrical Component** 4



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective	53615	50316	47415	44225	36346	19044	4202	1034

# DVBB1D4 Evera XT

US Market Release	Apr-13	Total Malfunctions	111
CE Approval Date		Therapy Function Not Compromised	77
Registered USA Implants	44,731	Battery Malfunction	52
<b>Estimated Active USA Implants</b>	37,031	Electrical Component	14
Normal Battery Depletions	70	Other Malfunction	9
		Poss Early Battery Depltn	2
		Therapy Function Compromised	34
		Battery Malfunction	32
		Electrical Component	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

Dec-12

# DVBB2D1 Evera XT

US Market Release

CE Approval Date

Registered USA Implants

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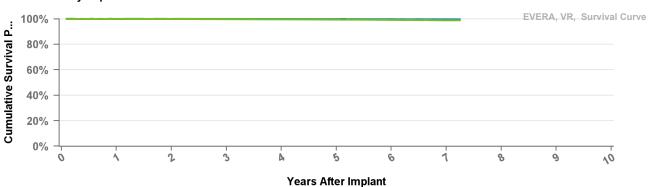
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

#### DVBB2D4 **Evera XT**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Dec-12

**Registered USA Implants** 

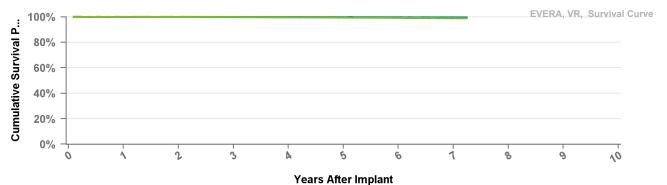
**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 

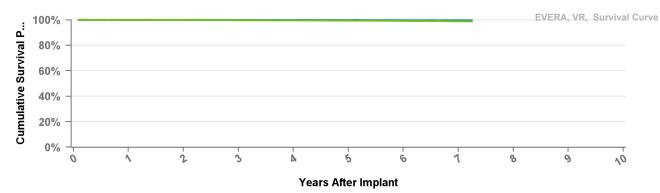


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective	53615	50316	47415	44225	36346	19044	4202	1034

#### DVBC3D1 Evera S

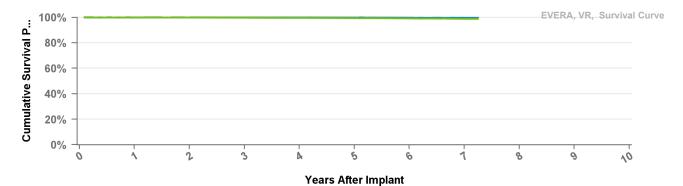
US Market Release	Apr-13	Total Malfunctions	40
CE Approval Date	Dec-12	Therapy Function Not Compromised	30
Registered USA Implants	8,955	Battery Malfunction	26
Estimated Active USA Implants	6,871	Electrical Component	4
Normal Battery Depletions	10	Therapy Function Compromised	10
		Battery Malfunction	8
		Electrical Component	2



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

# DVBC3D4 Evera S

US Market Release	Apr-13	Total Malfunctions	22
CE Approval Date	Dec-12	Therapy Function Not Compromised	16
Registered USA Implants	11,075	Battery Malfunction	10
Estimated Active USA Implants	9,118	Electrical Component	6
Normal Battery Depletions	10	Therapy Function Compromised	6
		Battery Malfunction	6



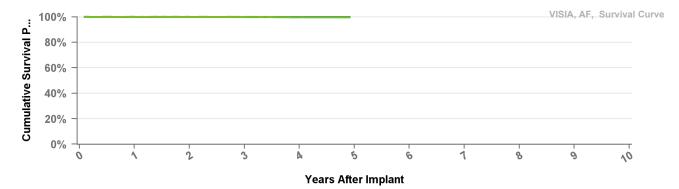
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

# DVFB1D1

# Visia MRI AF

US Market Release	Oct-16	Total Malfunctions	12
CE Approval Date		Therapy Function Not Compromised	9
Registered USA Implants	18,032	Battery Malfunction	6
Estimated Active USA Implants	16,675	Electrical Component	1
Normal Battery Depletions	9	Other Malfunction	2
		Therapy Function Compromised	3
		Battery Malfunction	2
		Electrical Component	1



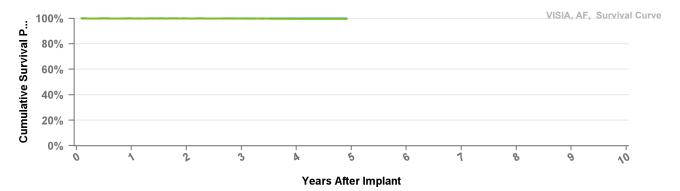
Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective	56762	41735	26126	10688	142

# DVFB1D4 Visia MRI AF

US Market Release	Jan-16	Total Malfunctions
CE Approval Date		Therapy Function Not Compromised
Registered USA Implants	58,665	Battery Malfunction
<b>Estimated Active USA Implants</b>	54,217	Electrical Component
Normal Battery Depletions	11	Other Malfunction
		Therapy Function Compromised

Battery Malfunction 8
Electrical Component 4

51392412312



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

# DVFB2D1 Visia MRI AF XT

US Market Release

CE Approval Date Sep-16

Registered USA Implants

**Estimated Active USA Implants** 

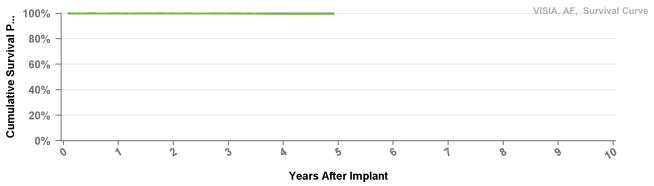
**Normal Battery Depletions** 

Sample Size

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective	56762	41735	26126	10688	142

# DVFB2D4 Visia MRI AF XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Oct-15

**Therapy Function Not Compromised** 

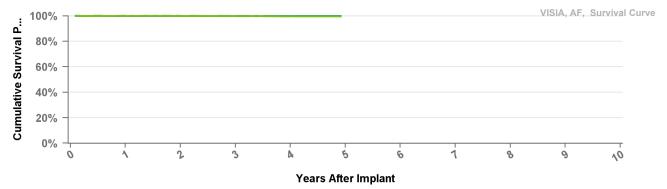
**Registered USA Implants** 

**Estimated Active USA Implants** 

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

#### DVFC3D1

# Visia MRI AF S

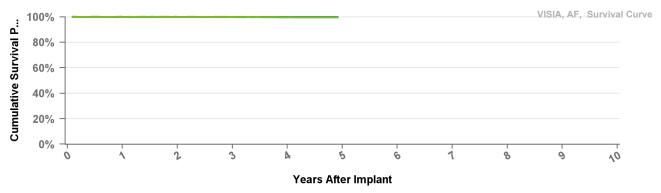
US Market Release Oct-16 Total Malfunctions

CE Approval Date Sep-16 Therapy Function Not Compromised

Registered USA Implants 1,524

Estimated Active USA Implants 1,454 Therapy Function Compromised

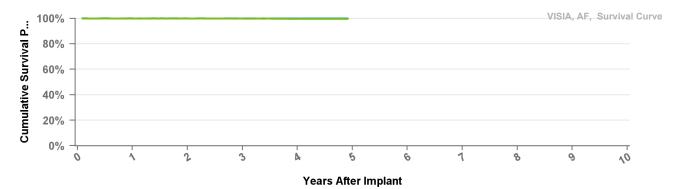
**Normal Battery Depletions** 



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

# DVFC3D4 Visia MRI AF S

US Market Release	Jan-16	Total Malfunctions	2
CE Approval Date	Oct-15	Therapy Function Not Compromised	2
Registered USA Implants	3,159	Battery Malfunction	2
Estimated Active USA Implants	2,979	Therapy Function Compromised	0
Normal Battery Depletions	2		

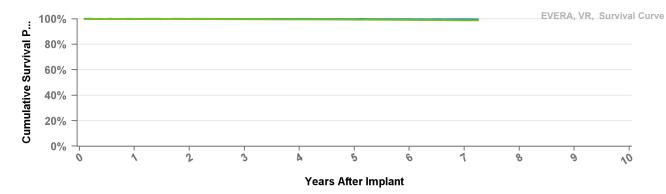


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	100.0%	99.9%	99.8%	99.7%	99.7%
Effective Sample Size	56762	41735	26126	10688	142

# **DVMB1D4** Evera MRI XT

US Market Release	Sep-15	Total Malfunctions	33
CE Approval Date		Therapy Function Not Compromised	19
Registered USA Implants	21,205	Battery Malfunction	10
Estimated Active USA Implants	18,583	Electrical Component	6
Normal Battery Depletions	14	Other Malfunction	3
		Therapy Function Compromised	14
		Battery Malfunction	14



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

## DVMB2D1

# **Evera MRI XT**

**US Market Release** 

**CE Approval Date** 

Sep-16

**Total Malfunctions Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

EVERA, VR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 2 3 5 6 1 0 10

**Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

## DVMB2D4

# **Evera MRI XT**

**US Market Release** 

**CE Approval Date** 

Mar-14

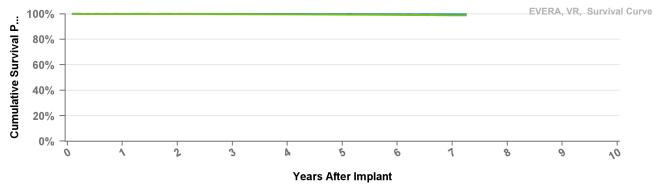
**Registered USA Implants** 

**Therapy Function Not Compromised** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

#### DVMC3D1 Evera MRI S

**US Market Release CE Approval Date** 

Oct-16

Sep-16

**Therapy Function Not Compromised** 

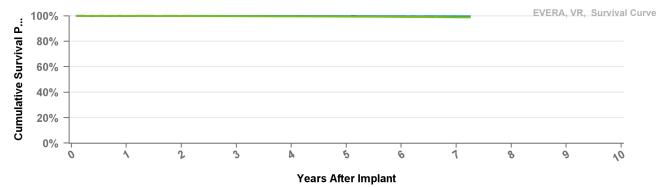
**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Total Malfunctions** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

#### DVMC3D4 Evera MRI S

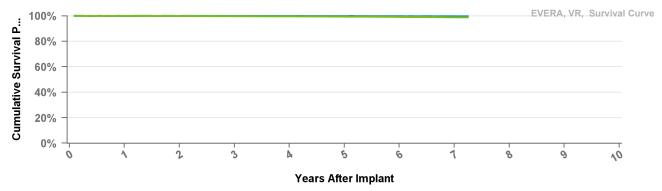
**US Market Release** Sep-15

**CE Approval Date** Mar-14 **Therapy Function Not Compromised** 

**Registered USA Implants** 6

**Therapy Function Compromised Estimated Active USA Implants** 4

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

## DVMD3D1 Pr

Primo

US Market Release

Mar-18

**Total Malfunctions** 

**CE Approval Date** 

Nov-17

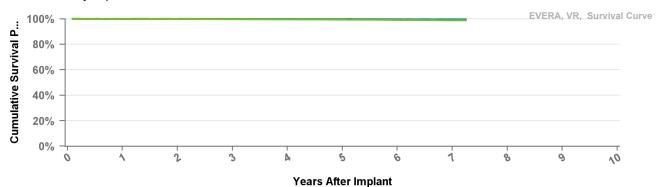
**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

140 136

Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

## DVMD3D4

#### **Primo**

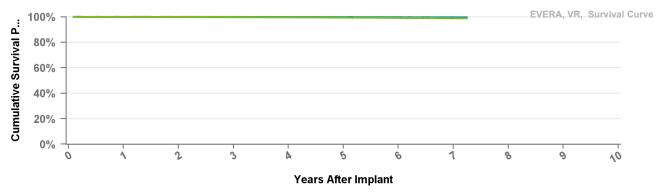
US Market Release Mar-18 Total Malfunctions

CE Approval Date Nov-17 Therapy Function Not Compromised

Registered USA Implants 269

Estimated Active USA Implants 261 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

## DVME3D1

## Mirro

**US Market Release** 

Mar-18

**Total Malfunctions** 

**CE Approval Date** 

Nov-17 **Therapy Function Not Compromised** 

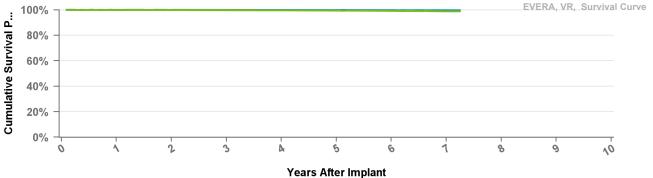
**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

100%



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

# **DVME3D4**

#### Mirro

**US Market Release** 

Mar-18

**Total Malfunctions** 

**CE Approval Date** 

Nov-17

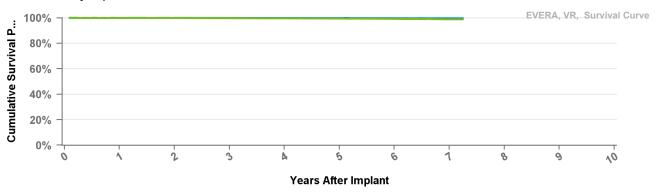
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

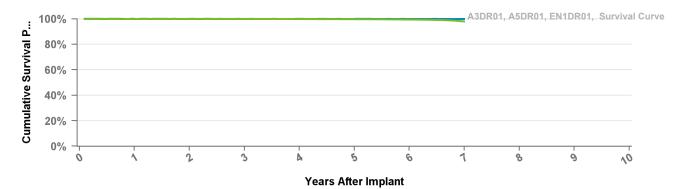
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	at 87 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.5%	99.2%	98.9%	98.9%
Effective Sample Size	53615	50316	47415	44225	36346	19044	4202	1034

#### A2DR01 Advisa DR MRI

US Market Release	Jan-13	Total Malfunctions	63
CE Approval Date		Therapy Function Not Compromised	58
Registered USA Implants	347,749	Battery Malfunction	1
Estimated Active USA Implants	310,504	Electrical Component	32
Normal Battery Depletions	593	Electrical Interconnect	3
		Other Malfunction	2
		Poss Early Battery Depltn	18
		Software Malfunction	2
		Therapy Function Compromised	5
		Electrical Component	5



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.5%	97.9%
Effective	316571	298406	280999	207949	124221	52521	1753

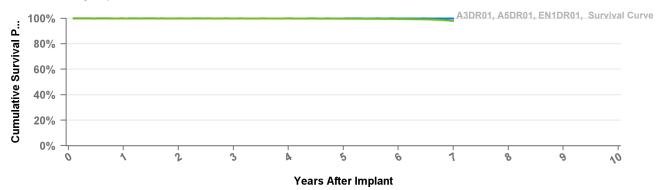
#### A3DR01 Advisa DR MRI

**US Market Release CE Approval Date** Jun-09 **Registered USA Implants** 18 **Estimated Active USA Implants** 9

**Normal Battery Depletions** 1 **Total Malfunctions** 

**Therapy Function Not Compromised** 

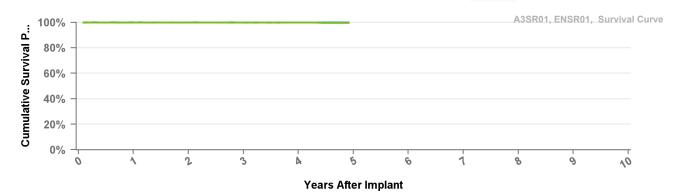
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.5%	97.9%
Effective	316571	298406	280999	207949	124221	52521	1753

# A3SR01 Advisa SR MRI

US Market Release	Mar-15	Total Malfunctions	9
CE Approval Date	Apr-14	Therapy Function Not Compromised	8
Registered USA Implants	28,653	Electrical Component	3
Estimated Active USA Implants	25,001	Electrical Interconnect	1
Normal Battery Depletions	20	Other Malfunction	2
		Poss Early Battery Depltn	2
		Therapy Function Compromised	1
		Electrical Component	1



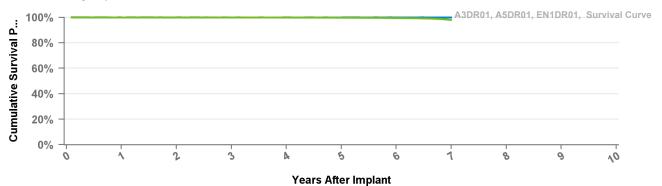
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.8%	99.7%
Effective Sample Size	23085	20198	17602	9405	707

# A5DR01 Advisa DR

US Market Release Total Malfunctions
CE Approval Date Jun-09 Therapy Function Not Compromised
Registered USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.5%	97.9%
Effective Sample Size	316571	298406	280999	207949	124221	52521	1753

# ADD01 Adapta D

US Market Release CE Approval Date Jul-06 Sep-05 1

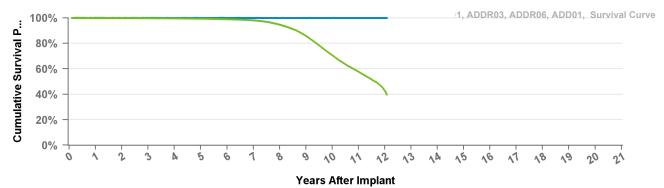
**Total Malfunctions** 

**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



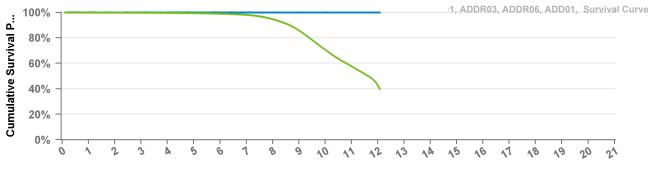
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 145 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.8%	99.7%	99.6%	99.4%	98.9%	98.0%	94.6%	85.8%	70.6%	57.6%	42.9%	39.8%
Effective Sample Size	400712	378221	357849	334260	307056	277429	241880	195680	138467	80021	33645	3030	1024

# ADDR01 Adapta DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	460,443
Estimated Active USA Implants	247,278
Normal Battery Depletions	32,679

**Total Malfunctions** 94 **Therapy Function Not Compromised** 66 **Electrical Component** 57 **Electrical Interconnect** 1 Other Malfunction 7 Poss Early Battery Depltn **Therapy Function Compromised** 28 **Electrical Component** 23 **Electrical Interconnect** 3 Other Malfunction 2



#### **Years After Implant**

Years	1	2	3	4	5	6	7	8	9	10	11	12	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.8%	99.7%	99.6%	99.4%	98.9%	98.0%	94.6%	85.8%	70.6%	57.6%	42.9%	39.8%
Effective Sample Size	400712	378221	357849	334260	307056	277429	241880	195680	138467	80021	33645	3030	1024

#### ADDR03 Adapta DR **US Market Release** Jul-06 2 **Total Malfunctions** 1 **CE Approval Date** Sep-05 **Therapy Function Not Compromised** 4,542 1 **Registered USA Implants Electrical Component Estimated Active USA Implants** 2,235 **Therapy Function Compromised** 1 **Normal Battery Depletions** 439 **Electrical Component** 1, ADDR03, ADDR06, ADD01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 3 Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 145 2 9 10 Years 11 12 mo 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% **Excluding NBD** Including NBD 99.8% 99.8% 99.7% 99.6% 99.4% 98.9% 98.0% 94.6% 85.8% 57.6% 42 9% 39.8% Effective 400712 378221 357849 334260 307056 277429 241880 195680 138467 80021 33645 3030 1024 Sample Size **ADDR06** Adapta DR **US Market Release** Jul-06 **Total Malfunctions** 1 **Therapy Function Not Compromised CE Approval Date** Sep-05 1 **Registered USA Implants** 3,504 **Electrical Component** 1 **Estimated Active USA Implants** 1,398 **Therapy Function Compromised** 0 **Normal Battery Depletions** 353 1, ADDR03, ADDR06, ADD01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% **Years After Implant** Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Including NBD** 99.8% 99.7% 99.6% 99.4% 98.9% 98.0% 94.6% 85.8% 70.6% 57.6% 42.9% 39.8% Effective 378221 357849 334260 307056 277429 241880 195680 138467 80021 33645 1024 Sample Size

6

100.0%

100.0%

5

100.0%

2

100.0%

3

100.0%

100.0%

Years

**Excluding NBD** 

100.0%

9

100.0%

8

100.0%

10

100.0%

11

100.0%

12

100.0%

at 145

mo

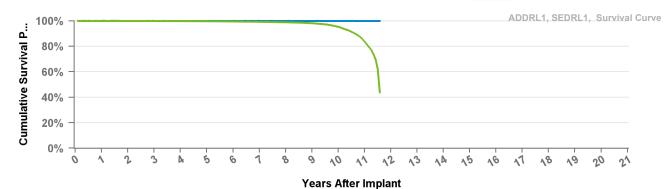
100.0%

# ADDRL1 Adapta L DR

US Market Release	Jul-06	To
CE Approval Date	Sep-05	Tł
Registered USA Implants	138,495	
Estimated Active USA Implants	98,407	
Normal Battery Depletions	2,778	
		Th

# Total Malfunctions23Therapy Function Not Compromised16Electrical Component13Electrical Interconnect1Poss Early Battery Depltn2Therapy Function Compromised7Electrical Component4

Electrical Component 4
Electrical Interconnect 1
Other Malfunction 2



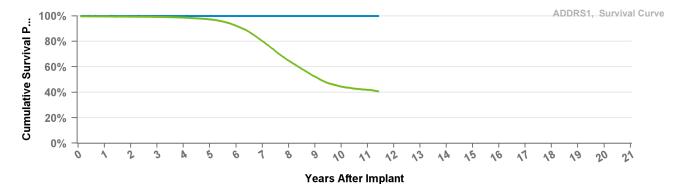
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.9%	99.8%	99.7%	99.5%	99.3%	98.9%	98.2%	95.3%	84.0%	43.6%
Effective Sample Size	120734	114043	108399	100224	88974	75863	60909	45132	30447	17353	5837	403

# ADDRS1 Adapta S DR

US Market Release	Jul-06
CE Approval Date	Sep-05
Registered USA Implants	49,265
Estimated Active USA Implants	22,269
Normal Battery Depletions	5 352

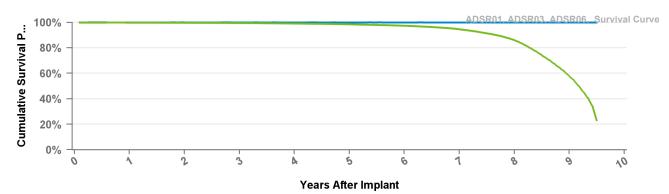
Total Malfunctions 14
Therapy Function Not Compromised 8
Electrical Component 5
Poss Early Battery Depltn 3
Therapy Function Compromised 6
Electrical Component 4
Other Malfunction 2



Years	1	2	3	4	5	6	7	8	9	10	11	at 137 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.5%	99.4%	99.2%	98.6%	97.3%	92.2%	80.1%	64.8%	52.3%	44.5%	41.9%	40.7%
Effective Sample Size	41417	38183	35230	32243	28791	24066	17352	10595	5951	2889	926	198

# ADSR01 Adapta SR

US Market Release	Jul-06	Total Malfunctions	17
CE Approval Date	Sep-05	Therapy Function Not Compromised	11
Registered USA Implants	93,356	Electrical Component	6
Estimated Active USA Implants	44,379	Electrical Interconnect	1
Normal Battery Depletions	4,580	Poss Early Battery Depltn	4
		Therapy Function Compromised	6
		Electrical Component	5
		Electrical Interconnect	1

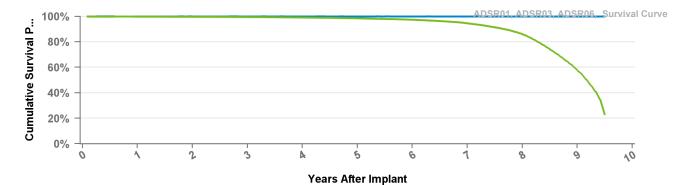


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.5%	99.1%	98.5%	97.4%	94.5%	86.0%	57.6%	23.1%
Effective Sample Size	73670	64778	57318	49746	42404	34987	25214	15177	4821	572

# ADSR03 Adapta SR

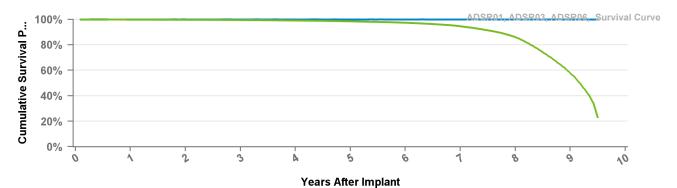
US Market Release Jul-06 Total Malfunctions
CE Approval Date Sep-05 Therapy Function Not Compromised
Registered USA Implants 2,111
Estimated Active USA Implants 853 Therapy Function Compromised
Normal Battery Depletions 153



Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.5%	99.1%	98.5%	97.4%	94.5%	86.0%	57.6%	23.1%
Effective Sample Size	73670	64778	57318	49746	42404	34987	25214	15177	4821	572

# ADSR06 Adapta SR

US Market Release	Jul-06	Total Malfunctions	2
CE Approval Date	Sep-05	Therapy Function Not Compromised	2
Registered USA Implants	2,874	Electrical Component	2
Estimated Active USA Implants	1,078	Therapy Function Compromised	0
Normal Battery Depletions	226		



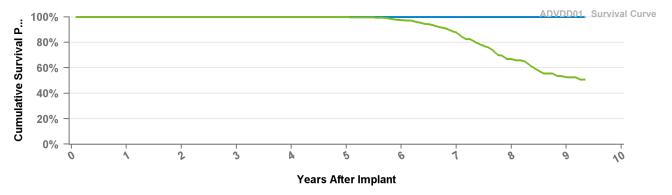
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.5%	99.1%	98.5%	97.4%	94.5%	86.0%	57.6%	23.1%
Effective Sample Size	73670	64778	57318	49746	42404	34987	25214	15177	4821	572

# ADVDD01 Adapta VDD

US Market Release Jul-06 Total Malfunctions
CE Approval Date Sep-05 Therapy Function Not Compromised
Registered USA Implants 1,427
Estimated Active USA Implants 635 Therapy Function Compromised

Normal Battery Depletions 98



Years	1	2	3	4	5	6	7	8	9	at 112 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	100.0%	100.0%	100.0%	97.4%	87.7%	66.9%	52.6%	50.7%
Effective Sample Size	1229	1153	1044	947	854	732	556	293	134	101

# ATDR01 Attesta DR MRI

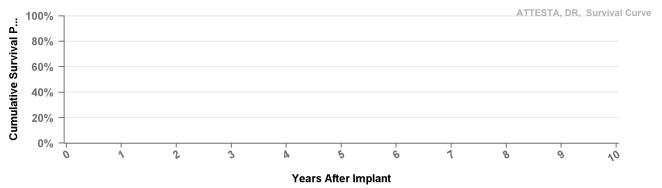
US Market Release Aug-17 Total Malfunctions

CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants 120

Estimated Active USA Implants 118 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years at 1 mo

Excluding NBD 100.0%

Including NBD 100.0%

Effective Sample Size

## ATDRL1 Attesta L DR MRI

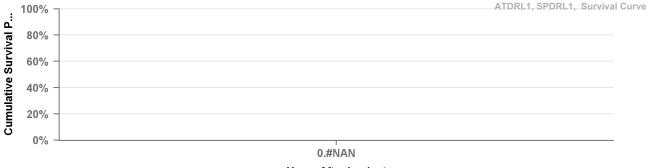
US Market Release Aug-17 Total Malfunctions

CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants 12

Estimated Active USA Implants 11 Therapy Function Compromised

**Normal Battery Depletions** 



**Years After Implant** 

•

Years

Excluding NBD

Including NBD

Effective
Sample Size

# ATDRS1 Attesta S DR MRI

US Market Release Aug-17 Total Malfunctions

CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants 85

Estimated Active USA Implants 82 Therapy Function Compromised

**Normal Battery Depletions** 



**Years After Implant** 

•

Years
Excluding NBD
Including NBD
Effective

Sample Size

# ATSR01 Attesta SR MRI

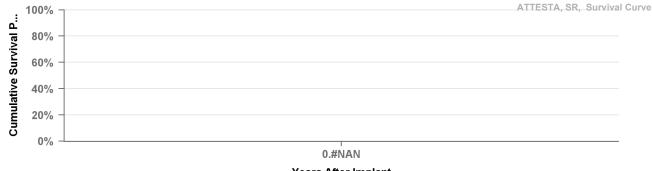
US Market Release Aug-17 Total Malfunctions

CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants 50

Estimated Active USA Implants 47 Therapy Function Compromised

**Normal Battery Depletions** 



Years After Implant

•

Years
Excluding NBD
Including NBD
Effective

Sample Size

# EN1DR01 Ensura MRI

US Market Release

**Total Malfunctions** 

CE Approval Date

Jun-10

**Therapy Function Not Compromised** 

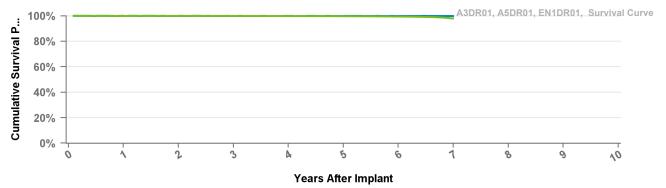
Registered USA Implants

**Estimated Active USA Implants** 

18 14

Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 84 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.5%	97.9%
Effective	316571	298406	280999	207949	124221	52521	1753

# EN1SR01 Ensura SR MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

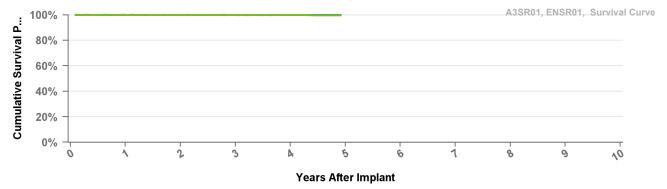
1 1

Apr-14

**Therapy Function Compromised** 

**Normal Battery Depletions** 

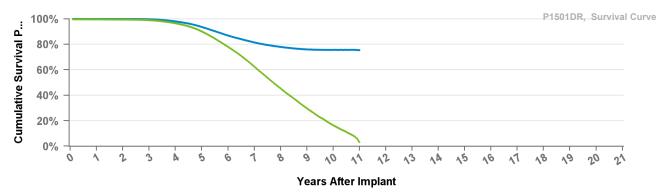
**Estimated Active USA Implants** 



Years	1	2	3	4	at 59 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.8%	99.7%
Effective Sample Size	23085	20198	17602	9405	707

# P1501DR EnRhythm DR

US Market Release	May-05	Total Malfunctions	15,094
CE Approval Date	Aug-04	Therapy Function Not Compromised	15,039
Registered USA Implants	109,921	Battery Malfunction	14,908
Estimated Active USA Implants	16,735	Electrical Component	59
Normal Battery Depletions	17,358	Electrical Interconnect	2
		Other Malfunction	1
		Poss Early Battery Depltn	69
		55	
	Battery Malfunction		6
		Electrical Component	38
		Electrical Interconnect	4
		Other Malfunction	5
		Poss Early Battery Depltn	2



Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	99.9%	99.9%	99.7%	98.0%	93.6%	86.9%	81.5%	77.9%	76.0%	75.6%	75.6%
Including NBD	99.6%	99.5%	99.0%	96.5%	90.1%	77.9%	62.4%	45.2%	29.6%	16.4%	3.2%
Effective	94550	88227	82173	75156	65200	51183	36806	23467	13357	6080	285

### RED01

### Relia D

**US Market Release** 

**CE Approval Date** 

May-08

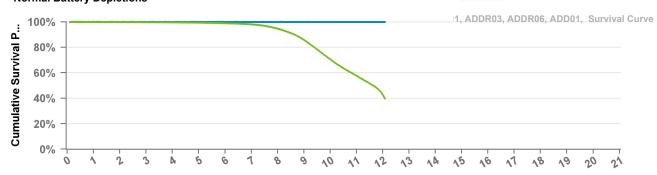
**Total Malfunctions Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



**Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 145 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.8%	99.7%	99.6%	99.4%	98.9%	98.0%	94.6%	85.8%	70.6%	57.6%	42.9%	39.8%
Effective	400712	378221	357849	334260	307056	277429	241880	195680	138467	80021	33645	3030	1024

### REDR01

### Relia DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

May-08

**Therapy Function Not Compromised** 

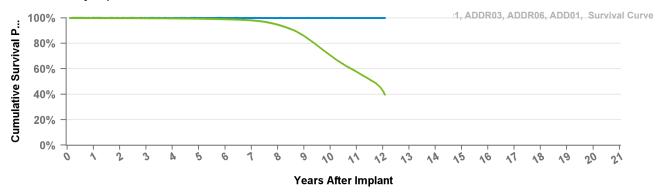
**Registered USA Implants** 

8 4

**Therapy Function Compromised** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 145 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.8%	99.7%	99.6%	99.4%	98.9%	98.0%	94.6%	85.8%	70.6%	57.6%	42.9%	39.8%
Effective Sample Size	400712	378221	357849	334260	307056	277429	241880	195680	138467	80021	33645	3030	1024

### RES01 Relia S

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

May-08

**Therapy Function Not Compromised** 

**Registered USA Implants** 

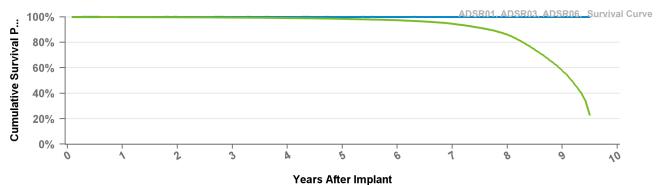
3

Estimated Active USA Implants

2

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.5%	99.1%	98.5%	97.4%	94.5%	86.0%	57.6%	23.1%
Effective Sample Size	73670	64778	57318	49746	42404	34987	25214	15177	4821	572

### RESR01

### Relia SR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

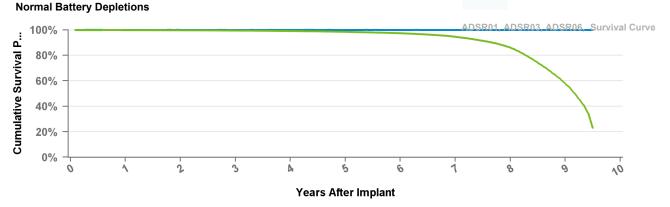
**Therapy Function Not Compromised** 

**Registered USA Implants** 

May-08 4

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.5%	99.1%	98.5%	97.4%	94.5%	86.0%	57.6%	23.1%
Effective Sample Size	73670	64778	57318	49746	42404	34987	25214	15177	4821	572

### REVDD01 Relia VDD

**US Market Release** 

**CE Approval Date** 

Registered USA Implants

**Estimated Active USA Implants** 

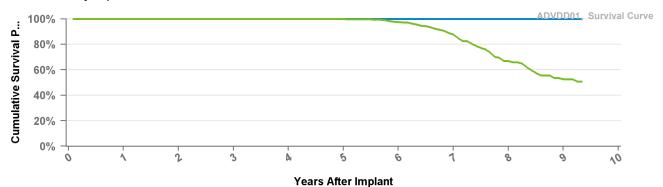
**Normal Battery Depletions** 

**Total Malfunctions** 

May-08

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

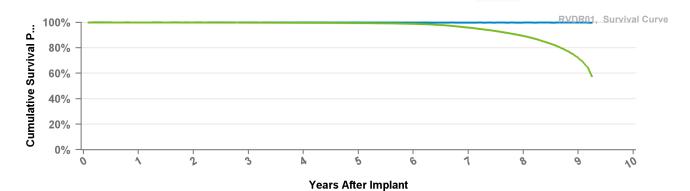


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 112 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	100.0%	100.0%	100.0%	97.4%	87.7%	66.9%	52.6%	50.7%
Effective Sample Size	1229	1153	1044	947	854	732	556	293	134	101

## RVDR01 Revo MRI SureScan

US Market Release	Feb-11	Total Malfunctions	109
CE Approval Date		Therapy Function Not Compromised	106
Registered USA Implants	69,176	Battery Malfunction	1
Estimated Active USA Implants	43,428	Electrical Component	40
Normal Battery Depletions	4,494	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	60
		Software Malfunction	3
		Therapy Function Compromised	3



**Electrical Component** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	95.9%	89.3%	72.0%	57.7%
Effective Sample Size	59637	56297	53468	50188	46289	42097	37081	28322	6941	1771

3

#### **SD303** Sigma 300 D **US Market Release** 2 Aug-99 **Total Malfunctions** 0 **CE Approval Date** Dec-98 **Therapy Function Not Compromised** 123 **Registered USA Implants Therapy Function Compromised** 2 **Estimated Active USA Implants** 18 2 Electrical Interconnect **Normal Battery Depletions** 8 SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 179 Years 2 3 5 6 8 9 10 11 12 13 14 mo **Excluding NBD** 100.0% 100.0% 100.0% 99.9% 99.9% 99.8% 99.7% 99.6% 99.5% 99.4% 99.3% 99.3% 99.2% 99.2% 99.2% 99.5% 99.3% 99.0% 98.5% 97.8% 89.3% 35.7% 20.9% 5.9% Including NBD 81.7% 70.1% Effective 86843 76842 67841 59576 52138 45547 39373 33910 29022 24039 18356 11127 2084 141 5431 Sample Size Sigma 300 DR **SDR303 US Market Release** 288 Aug-99 **Total Malfunctions CE Approval Date** Dec-98 **Therapy Function Not Compromised** 62 **Registered USA Implants** 104,556 **Electrical Component** 9 **Estimated Active USA Implants** 9,879 **Electrical Interconnect** 51 **Normal Battery Depletions** Other Malfunction 11,103 1 Poss Early Battery Depltn 1 **Therapy Function Compromised** 226 **Electrical Component** 7 **Electrical Interconnect** 218 Other Malfunction 1 100% SDR303, SDR306, SD303, Survival Curve Cumulative Survival P... 80% 60% 40% 20% 0% 1 જ 0, **43** Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 179 2 Years 3 5 6 8 9 10 11 12 13 14 mo **Excluding NBD** 100.0% 100.0% 100.0% 99.9% 99.9% 99.8% 99.6% 99.5% 99.4% 99.3% 99.2% 99.2% 99.2% 99.7% 99.3%

99.3%

67841

99.0%

59576

98.5%

52138

97.8%

45547

96.6%

39373

Including NBD

Sample Size

Effective

99.6%

86843

99.5%

76842

89.3%

29022

81.7%

24039

70.1%

18356

53.3%

11127

93.8%

33910

35.7%

5431

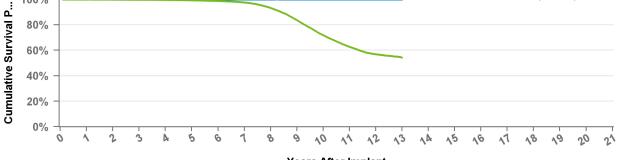
20.9%

2084

5.9%

141

#### SED01 Sensia D Jul-06 **US Market Release Total Malfunctions CE Approval Date** Sep-05 **Therapy Function Not Compromised Registered USA Implants** 9 **Therapy Function Compromised Estimated Active USA Implants** 4 **Normal Battery Depletions** 1 SEDR01, SED01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 0, **Years After Implant** Excluding Normal Battery Depletion • Including Normal Battery Depletion at 156 6 9 10 12 mo **Excluding NBD** 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 99.8% 99.8% 99.7% 99.5% 99.2% 98.7% 97.5% 93.2% 83.6% 71.8% Including NBD 62.7% 56.8% 54.2% **Effective** 123536 114128 106035 99181 91785 82103 70939 56986 40894 25724 13870 5339 118 Sample Size SEDR01 Sensia DR **Total Malfunctions US Market Release** Jul-06 32 **Therapy Function Not Compromised CE Approval Date** Sep-05 17 **Registered USA Implants** 149,313 **Electrical Component** 15 **Estimated Active USA Implants** 65,068 **Electrical Interconnect** 1 **Normal Battery Depletions** 11,233 Other Malfunction **Therapy Function Compromised** 15 6 **Electrical Component Electrical Interconnect** 3 5 Other Malfunction Poss Early Battery Depltn 1 SEDR01, SED01, Survival Curve 100% 80% 60% 40% 20%



## **Years After Implant**

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 156 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.8%	99.7%	99.5%	99.2%	98.7%	97.5%	93.2%	83.6%	71.8%	62.7%	56.8%	54.2%
Effective Sample Size	123536	114128	106035	99181	91785	82103	70939	56986	40894	25724	13870	5339	118

### SEDRL1 Sensia L DR

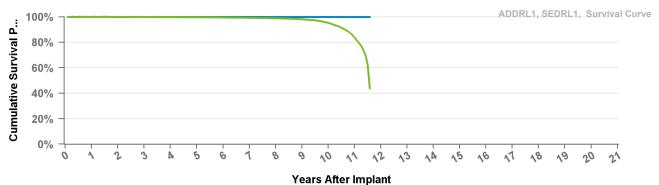
US Market Release Jul-06

CE Approval Date Sep-05 Therapy Function Not Compromised

Registered USA Implants 4

Estimated Active USA Implants 2 Therapy Function Compromised

**Normal Battery Depletions** 



**Total Malfunctions** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.9%	99.8%	99.7%	99.5%	99.3%	98.9%	98.2%	95.3%	84.0%	43.6%
Effective Sample Size	120734	114043	108399	100224	88974	75863	60909	45132	30447	17353	5837	403

### SES01

### Sensia S

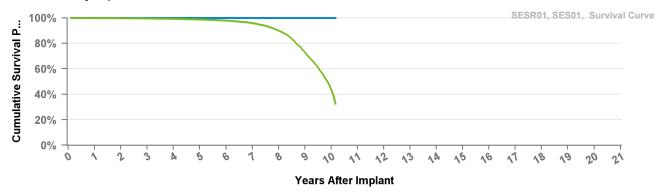
US Market Release Jul-06 Total Malfunctions

CE Approval Date Sep-05 Therapy Function Not Compromised

Registered USA Implants 10

Estimated Active USA Implants 3 Therapy Function Compromised

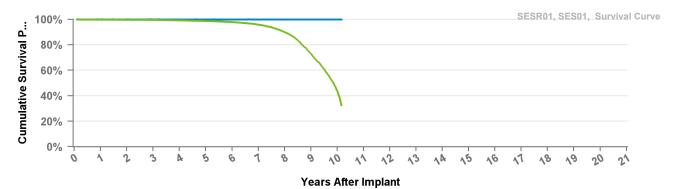
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	at 122 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.6%	99.2%	98.7%	97.9%	95.9%	89.9%	73.1%	43.8%	32.3%
Effective Sample Size	86473	76340	67807	59087	50607	42281	32431	22043	11226	1925	656

## SESR01 Sensia SR

US Market Release	Jul-06	Total Malfunctions	16
CE Approval Date	Sep-05	Therapy Function Not Compromised	12
Registered USA Implants	117,253	Electrical Component	7
<b>Estimated Active USA Implants</b>	50,924	Other Malfunction	1
Normal Battery Depletions	6,197	Poss Early Battery Depltn	4
		Therapy Function Compromised	4
		Electrical Component	3
		Electrical Interconnect	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 122 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.6%	99.2%	98.7%	97.9%	95.9%	89.9%	73.1%	43.8%	32.3%
Effective Sample Size	86473	76340	67807	59087	50607	42281	32431	22043	11226	1925	656

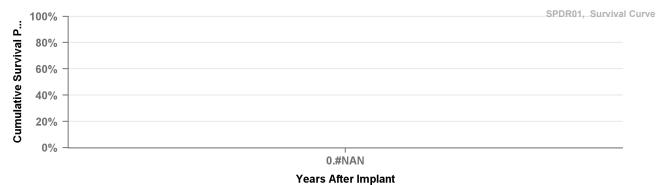
## SPDR01 Sphera DR MRI

US Market Release	Aug-17	Total Malfunctions
CE Approval Date	Jun-17	Therapy Function Not Compromised
Devilate and HOA local and	4	

Registered USA Implants 1

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



•

## Sphera L DR MRI SPDRL1 Aug-17 **US Market Release Total Malfunctions** Jun-17 **Therapy Function Not Compromised CE Approval Date Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ATDRL1, SPDRL1, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0.#NAN **Years After Implant** Years **Excluding NBD**

Including NBD

Effective
Sample Size

## SPSR01 Sphera SR MRI

US Market Release Aug-17 Total Malfunctions

CE Approval Date Jun-17 Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



•

Years

Excluding NBD

Including NBD

Effective
Sample Size

## SS303 Sigma 300 S

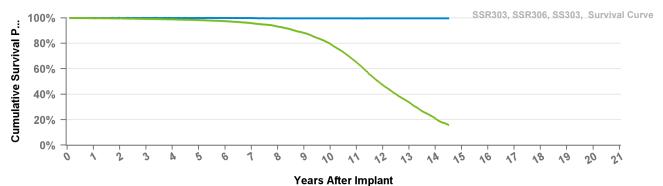
US Market Release Sep-99

CE Approval Date Dec-98 Therapy Function Not Compromised

Registered USA Implants 248

Estimated Active USA Implants 44 Therapy Function Compromised

**Normal Battery Depletions** 

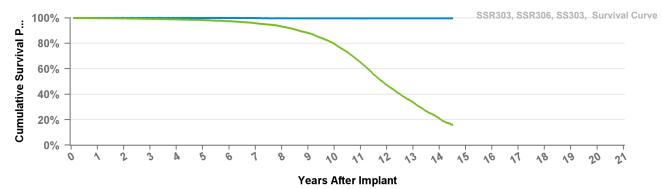


**Total Malfunctions** 

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	at 174 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.2%	98.8%	98.3%	97.4%	95.8%	93.1%	88.1%	79.5%	65.0%	47.2%	33.7%	20.9%	15.8%
Effective	40503	33401	27593	22879	18993	15742	13036	10798	8755	6712	4547	2540	1340	467	116

## SSR303 Sigma 300 SR

US Market Release	Aug-99	Total Malfunctions	58
CE Approval Date	Dec-98	Therapy Function Not Compromised	11
Registered USA Implants	51,261	Electrical Interconnect	10
Estimated Active USA Implants	3,829	Other Malfunction	1
Normal Battery Depletions	3,053	Therapy Function Compromised	47
		Electrical Component	3
		Electrical Interconnect	44



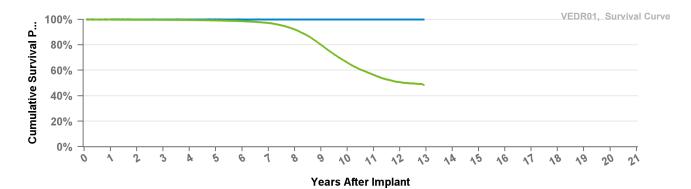
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.7%	99.5%	99.2%	98.8%	98.3%	97.4%	95.8%	93.1%	88.1%	79.5%	65.0%	47.2%	33.7%	20.9%	15.8%
Effective Sample Size	40503	33401	27593	22879	18993	15742	13036	10798	8755	6712	4547	2540	1340	467	116

at 174

## VEDR01 Versa DR

US Market Release	Jul-06	Total Malfunctions	24
CE Approval Date	Sep-05	Therapy Function Not Compromised	11
Registered USA Implants	118,780	Electrical Component	7
Estimated Active USA Implants	53,432	Electrical Interconnect	2
Normal Battery Depletions	10,570	Poss Early Battery Depltn	2
		Therapy Function Compromised	13
		Electrical Component	9
		Other Malfunction	4

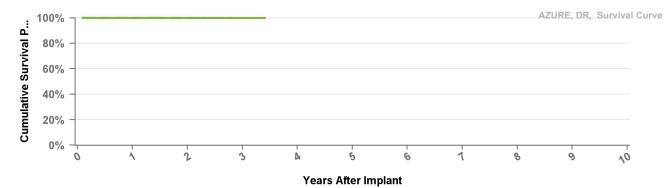


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 155 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.7%	99.6%	99.5%	99.2%	98.5%	97.1%	92.0%	80.0%	66.1%	56.4%	50.7%	48.3%
Effective Sample Size	100124	93402	87045	80031	72394	65728	57812	47254	33245	20146	10582	3863	248

## W1DR01 Azure XT DR

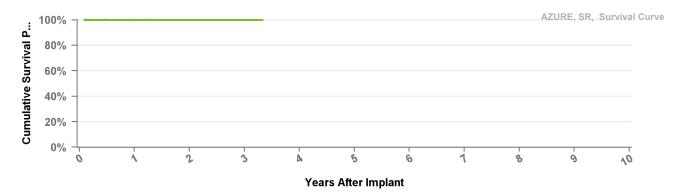
US Market Release	Aug-17	Total Malfunctions	63
CE Approval Date	Mar-17	Therapy Function Not Compromised	54
Registered USA Implants	316,950	Battery Malfunction	2
Estimated Active USA Implants	302,954	Electrical Component	27
Normal Battery Depletions	13	Other Malfunction	24
		Poss Early Battery Depltn	1
		Therapy Function Compromised	9
		Flectrical Component	9



Years	1	2	3	at 41 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%
Effective	212352	108673	19474	391

#### **W1SR01** Azure XT SR

US Market Release	Aug-17	Total Malfunctions	9
CE Approval Date	Mar-17	Therapy Function Not Compromised	8
Registered USA Implants	27,609	Battery Malfunction	1
Estimated Active USA Implants	25,561	Electrical Component	3
Normal Battery Depletions	3	Other Malfunction	4
		Therapy Function Compromised	1
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

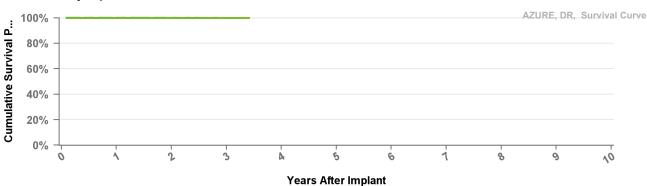
Years	1	2	3	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.9%
Effective Sample Size	20366	9941	1784	173

### **W2DR01**

## Azure XT DR

**US Market Release Total Malfunctions CE Approval Date** Mar-17 **Therapy Function Not Compromised Registered USA Implants** 2 **Therapy Function Compromised Estimated Active USA Implants** 

**Normal Battery Depletions** 



Years	1	2	3	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%
Effective Sample Size	212352	108673	19474	391

#### **W2SR01** Azure XT SR

**US Market Release** 

**Total Malfunctions** 

Mar-17

**CE Approval Date** 

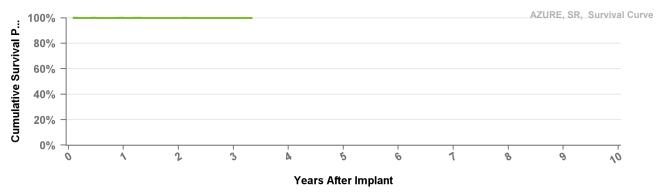
**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

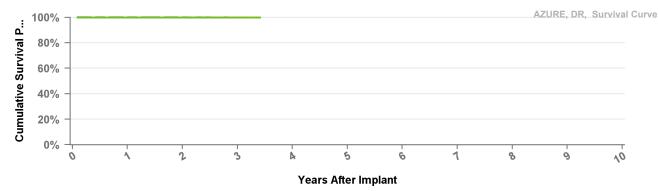


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 40 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.9%
Effective	20366	9941	1784	173

#### **W3DR01** Azure S DR

US Market Release	Aug-17	Total Malfunctions	5
CE Approval Date	Mar-17	Therapy Function Not Compromised	4
Registered USA Implants	36,289	Electrical Component	4
Estimated Active USA Implants	34,626	Therapy Function Compromised	1
Normal Battery Depletions	2	Electrical Component	1



Years	1	2	3	at 41 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.9%
Effective Sample Size	212352	108673	19474	391

### W3SR01 Azure S SR

US Market Release	Aug-17	Total Malfunctions	1
CE Approval Date	Mar-17	Therapy Function Not Compromised	1
Registered USA Implants	6,564	Electrical Component	1
Estimated Active USA Implants	6,096	Therapy Function Compromised	0
Normal Battery Depletions			

100% - 80% - 60% - 40% - 20% -

Years After Implant

6

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	at 40 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.9%
Effective Sample Size	20366	9941	1784	173

## X2DR01 Astra XT DR MRI SureScan

tal Malfunctions
t

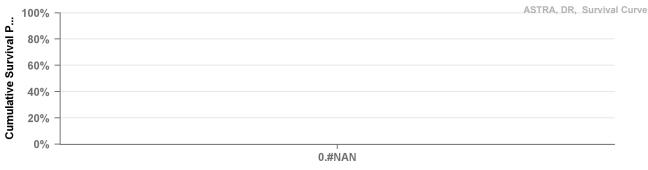
CE Approval Date Mar-17 Therapy Function Not Compromised

Registered USA Implants 2

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 

0%



**Years After Implant** 

Years
Excluding NBD
Including NBD
Effective

Sample Size

10

## **X2SR01** Astra XT SR MRI SureScan **US Market Release Total Malfunctions CE Approval Date Therapy Function Not Compromised** Mar-17 **Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ASTRA, SR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0.#NAN **Years After Implant** Years **Excluding NBD** Including NBD Effective Sample Size

#### Astra S DR **X3DR01**

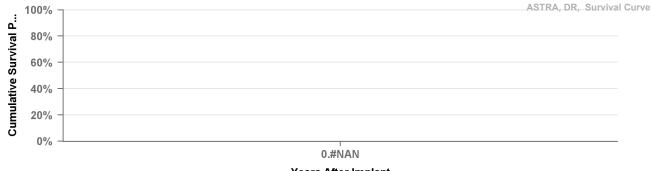
**US Market Release Total Malfunctions** 

**CE Approval Date Therapy Function Not Compromised** Mar-17

**Registered USA Implants** 

**Therapy Function Compromised Estimated Active USA Implants** 

**Normal Battery Depletions** 



**Years After Implant** 

Years **Excluding NBD** Including NBD

Effective Sample Size

## Astra S SR X3SR01

**US Market Release** 

**Total Malfunctions** 

Mar-17

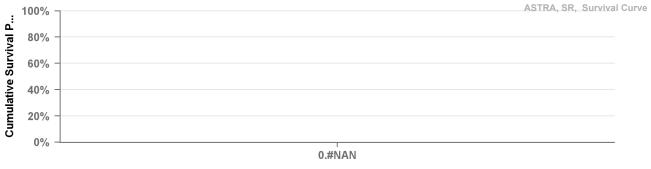
**CE Approval Date** 

**Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants Normal Battery Depletions** 

**Therapy Function Compromised** 



**Years After Implant** 

Years **Excluding NBD** 

Including NBD Effective Sample Size

## **Methods for Estimating Transcatheter Pacing Performance**

### **Micra VR Performance Analysis**

Transcatheter pacing systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart. Therefore, TPS is subject to complications similar to pacing leads (e.g. cardiac perforation) and malfunctions or battery depletion events similar to an implanted pulse generator (IPG). Although both transvenous systems and Micra IPG experience similar system level major complications, Micra has been shown to reduce the likelihood of major complications at a system level in post-approval registry data.

The performance report information is determined from the analysis of Medtronic Cardiac Rhythm Management (CRM) United States registration, complaint and CareLink<sup>TM</sup> network data.

### Shortfalls of using returned products to Estimate Micra VR Performance

Micra VR devices returned to Medtronic are analyzed to determine whether or not they meet performance limits established by Medtronic. Although returned product analyses are valuable for gaining insight into failure mechanisms, this data cannot be used by itself for determining the survival probability in this report because only a small fraction of Micra devices are explanted and returned to Medtronic for analysis. Some devices may be programmed off due to an adverse event, however, they are often not retrieved/ explanted. The devices that are retrieved and returned cannot be assumed to be statistically representative of the performance of the total population for a given model. For this same reason, devices that meet their expected longevity are also not expected to be returned to Medtronic CRM.

### The CareLink™ Network

To account for the shortfalls of returned product analysis, a study of de-identified product data on the Medtronic CareLink<sup>TM</sup> network is used. The number of devices enrolled and transmitting actively enables a population large enough to give a representative volume of normal battery depletions and to provide insight into the complications that may occur after the device was successfully implanted. As the intent of the product performance report is to provide visibility to long-term device performance, the devices reviewed from the CareLink<sup>TM</sup> Network have been implanted for at least 30 days.

### Categorization of Micra VR Qualifying Complications or Malfunctions for Survival Analysis on CareLink

For survival estimation, complication and premature battery depletion data from Medtronic's Complaint Handling System is adjudicated and subsequently cross-referenced with an assessment of device performance from the Medtronic CareLink<sup>TM</sup> network to categorize if the device is 1) functioning normally, 2) has reached normal battery depletion, or 3) has experienced a qualifying malfunction or complication. This categorization is combined with the CareLink<sup>TM</sup> data for the total number of implants and implant durations to create survival estimates for the likelihood of experiencing a qualifying complication or malfunction, and normal battery depletion. Ultimately, the data is summarized in two survival curves, one with only qualifying complications or malfunctions and the other including normal battery depletion.

### **Definition of Qualifying Complication or Malfunction**

A longevity analysis is completed for all de-identified devices followed on CareLink<sup>TM</sup> that have reached the Recommended Replacement Time (RRT), to identify devices that experienced possible early battery depletion. These are findings where the actual reported implant time is less than 80% of the expected longevity calculated using the available device diagnostic information.

Additionally, all reported Micra VR complaints are adjudicated by subject matter experts and medical safety personnel for inclusion as a product performance event given available information. These product performance events are then cross-referenced with the CareLink $^{\text{TM}}$  population for inclusion in the survival analysis.

## Methods for Estimating Transcatheter Pacing Performance continued

Product Performance events include, but are not limited to, these that occur 30 days after the implant procedure:

- Premature Battery Depletion
- Cardiac Perforation
- Dislodgement
- Failure to Capture
- Elevated Pacing Threshold

### **Normal Battery Depletion**

A longevity analysis is completed for all devices followed on CareLink that are at or within 6 months of RRT to identify devices were taken out of service due to normal battery depletion. The population that is within six months of RRT is assessed against the expected longevity of the product. Normal Battery Depletion is defined as the condition when the device has reached its elective replacement indicator(s) with implant time exceeding 80% of the expected longevity calculated using the available device diagnostic information.

Medtronic CRM establishes expected longevity by statistically characterizing the power consumed by the device and the power available from the device battery. This characterization is applied to a number of parameter configurations. The statistical mean value minus three standard deviations is used as the expected longevity for determining if a battery depleted normally. The actual longevity achieved for any device while implanted will depend on the actual programmed parameters and patient factors and may differ significantly from these estimates.

### **Statistical and Data Analysis Methods**

The performance is expressed in terms of device survival estimates, where "survival" refers to the function of the device, not the survival of the patient. These survival estimates are intended to illustrate the probability that a device will survive for a given number of years without a chronic device-related complication.

Active surveillance normally begins at the time of implant and continues until a product performance or censoring event occurs. Of the several different statistical methods available for survival analysis, PPR survival analysis is estimated using the Standard Actuarial Method, with suspensions assumed distributed evenly within the intervals (Cutler-Ederer Method), and incorporated data from these retrospectively enrolled devices.

The statistical technique uses data from existing devices while appropriately adjusting the device survival curves for the time the device was not actively followed in the registry. Thus, in some cases sample sizes may fluctuate from one time interval to the next interval.

The survival estimates is the probability that a device is free of a product performance event or normal battery depletion at a given time point. For example, if a survival probability is 95% after 5 years of service, then the device has a 5% chance of experiencing a related complication or battery depletion in the first 5 years following implant.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 devices. The survival charts in the Product Performance Report show the effective sample size for each year interval where we have experience. When the effective sample size reaches 100, the next data point is added to the survival curve.

Because the de-identified information pulled from the CareLink network allows for assessment of all devices that were taken out of service there are no adjustments done for underreporting of malfunctions or battery depletion.

## Methods for Estimating Transcatheter Pacing Performance continued

### **Definition of Analysis Dataset**

To be included in the US survival analysis dataset, the product must have been successfully implanted and on the CareLink network for at least 30 days.

### US Reports of Acute Observations (Occurring within First Month of Service)

In the first weeks following implantation, physiologic responses and performance can vary until long-term stability is attained. Acute (defined as the first month after implant) performance may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. After a period of time, the implant and performance stabilizes. It is for this reason that the CareLink analysis, which is intended to measure long-term performance, do not include complications that occur within the first 30 days after implant.

Information about the clinical experience in the first month of service is included in our reporting. The source for this information is from the Medtronic complaint handling system database for events reported to Medtronic. The information is summarized in tables titled "Acute Observations".

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Observation categories. The categories are:

- 1. Cardiac Perforation
- 2. Dislodgement
- 3. Failure to Capture
- 4. Failure to Sense
- Elevated Pacing Threshold

Although multiple observations are possible for any given Micra, only one observation is reported per device. The observation reported is the observation highest on the list. The order of observations is based on the potential severity of the event. For example, if an Event Report includes observations for both Cardiac Perforation and Elevated Pacing Thresholds, Cardiac Perforation is reported.

The event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The product may have remained implanted and in service.

## Methods for Estimating Transcatheter Pacing Performance continued

### **Day of Implant Observations**

Due to the procedural differences with Micra products compared to transvenous leads and IPGs, information about the clinical experience on the day of implant is included in our reporting. The source for this information is from the Medtronic complaint handling system database for events reported to Medtronic. The information is summarized in tables titled "Day of Implant Observations."

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Lead Observation categories. The categories are:

- 1. Cardiac Perforation
- 2. Dislodgement
- 3. Failure to Capture
- 4. Failure to Sense
- Elevated Pacing Threshold

Although multiple observations are possible for any given Micra, only one observation is reported per device. The observation reported is the observation highest on the list. The order of observations is based on the potential severity of the event. For example, if an Event Report includes observations for both Cardiac Perforation and Elevated Pacing Thresholds, Cardiac Perforation is reported.

The event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The product may have remained implanted and in service.

### MC1VR01 Micra VR

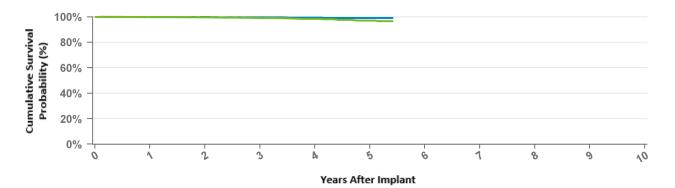
US Market Release Apr-16
CE Approval Date Apr-15
Registered USA Implants 44,993

### **CareLink Population**

Enrolled	25,500
Active	21,292
Cumulative Follow-Up Months	450,673
Normal Battery Depletions	48

### **CareLink Qualifying Malfunctions/Complications**

Premature Battery Depletion	8
Cardiac Perforation	6
Dislodgement	1
Failure to Capture	3
Elevated Pacing Threshold	27



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 65 mo
Excluding NBD	99.9%	99.8%	99.6%	99.5%	99.2%	99.2%
Including NBD	99.8%	99.6%	99.1%	98.4%	97.2%	96.5%
Effective Sample Size	16544	7494	2422	401	168	114

### \*Acute Observations (N = 44,993)

Cardiac Perforation	12
Dislodgement	12
Failure to Capture	40
Failure to Sense	7
Elevated Pacing Threshold	116

### \*Day of Implant Observations (N = 44,993)

•	•
Cardiac Perforation	193
Dislodgement	99
Failure to Capture	69
Failure to Sense	50
Elevated Pacing Threshold	167

The rate of perforation for commercially released Micra VR devices continues to perform acceptably within levels observed within the post-approval clinical study registry. Overall, clinical studies have demonstrated a reduction in the risk of major complications of 63% through 12 months¹ and 57% through 36 months² relative to transvenous pacing systems.

<sup>&</sup>lt;sup>1</sup> El-Chami et al. Heart Rhythm 2018 15(12): 1800-1807.

<sup>&</sup>lt;sup>2</sup> Piccini et al. Heart Rhythm 2020 17(5 Supplement) D-PO02-089

<sup>\*</sup> Data in these tables is sourced direct from the MDT complaint handling database summarizing observations reported to Medtronic relative to the registered US implant population.

## **Method for Estimating Lead Performance**

Medtronic Cardiac Rhythm and Heart Failure (CRHF) has tracked lead survival for over 36 years with its multicenter, global chronic lead studies.

### **Leads Performance Analysis**

Implanted leads operate in the challenging biochemical environment of the human body and the body's response to foreign objects. Implanted leads are also subject to mechanical stresses associated with heart motion, body motion, and patient anatomy.

In this environment, pacemaker and defibrillation leads cannot be expected to last forever. While IPGs and ICDs have a battery that will deplete after a predictable length of time, a lead's longevity cannot be predicted easily based on mechanical measurements, nor are there simple indicators that a lead is approaching the end of its service life. Therefore, regular monitoring while implanted, and evaluation of lead integrity upon IPG or ICD replacement, is necessary to determine if a lead may be approaching the end of its service life.

### Shortfalls Of Using Returned Product And Complaints To Estimate Lead Performance

Leads and lead segments returned to Medtronic are analyzed to determine whether or not they meet performance limits established by Medtronic. Although returned product analyses are valuable for gaining insight into lead failure mechanisms, this data cannot be used by itself for determining the survival probability of leads because only a small fraction of leads are explanted and returned for analysis. Some leads are modified due to adverse device effect, however may not be explanted. Additionally, those leads that are returned cannot be assumed to be statistically representative of the performance of the total population for a given lead model. Partial or total lead extraction can result in significant damage to a lead, making a definitive analysis of a suspected failure, and its cause, impossible.

To account for the under reporting inherent with lead survival analysis based solely on returned product, some manufacturers add reported complaints where adverse product performance is evident but the product itself has not been returned. The improvement to the accuracy of survival estimates depends on the degree to which all complaints are actually communicated to the manufacturer. Since not all complaints are communicated to the manufacturer, adding complaints to the survival analysis does not completely solve the under reporting problem.

Lead survival probabilities are more appropriately determined through a prospective clinical surveillance study that includes active follow up with the patients. Although Medtronic monitors returned product analysis and complaints, these are not used to determine lead survival estimates.

Medtronic consolidated all cardiac rhythm surveillance registries into the PAN Registry. The PAN Registry is a patient centric surveillance platform which follows patients implanted with Medtronic cardiac rhythm product(s). The Product Performance Report (PPR) tracks PAN Registry enrolled patients to monitor lead performance status in vivo. The PAN Registry is designed to record clinical observations representative of the total clinical experience. Lead survival estimates include both lead hardware failure and lead-related clinical events that are classified as product performance events, and do not differentiate a lead hardware failure from other clinical events such as Failure to capture, perforation, dislodgement, or concurrent pulse generator failure.

### **PAN Registry**

Medtronic has been monitoring the performance of its cardiac therapy products with a multicenter study since 1983 and has evaluated the performance of more than 131,000 leads, with data reported from countries around the world. Throughout this time period, Medtronic has continually worked to adapt systems and processes to more effectively monitor product performance following market release. The following summarizes current registry requirements.

Medtronic's product surveillance registry is a world-wide study that has a prospective, non-randomized, observational design. A key purpose of the registry is to provide continuing evaluation and periodic reporting of the long-term reliability and performance of Medtronic market-released cardiac rhythm therapy products. Product-related adverse events, indicating the status of the product, are collected to measure product survival probabilities. The data gathered may also be used to support the design and development of new cardiac therapy products. The registry is designed to continue indefinitely, encompassing new products as they become commercially available.

To ensure a sufficiently large and representative source of data, participating clinical sites must meet prespecified selection criteria. Patients are enrolled upon implantation of a Medtronic Cardiac rhythm product. Every effort is made to ensure participants are representative of the range of clinical environments in which Medtronic cardiac rhythm products are used. Eligible products for enrollment include Medtronic market-released cardiac rhythm therapy products for which additional information to further characterize product performance following market release is desired. Number of enrollments is reviewed regularly to ensure adequate sample size is obtained for each individual product. Enrollment may be capped and follow-up discontinued when sufficient duration and precision is achieved to effectively characterize product survivability.

Enrolled patients are followed in accordance with the standard care practices of their care provider from their implant date until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, follow-up windows consistent with typical care practices have been established with a minimum annual follow-up requirement. Product-related adverse events, system modifications and changes in patient status (e.g. death and withdrawal from the study) are required to be reported upon occurrence. This active surveillance model ensures a robust dataset for effectively monitoring product performance.

Patients are eligible for enrollment if:

- Patient is intended to be implanted or is within 30 days post-implant of a Medtronic marketreleased cardiac lead connected to a market-released CRT, ICD, or IPG device, and the lead is used for a pacing, sensing, or defibrillation application, or
- Patient participated in a qualifying investigational study of a Medtronic cardiac rhythm product
  that is now market-released; complete implant and follow-up data are available; and the data can be
  appropriately and legally released

Each site is require to inform Medtronic whenever a lead event has occurred, a lead is modified, or when a patient is no longer participating. Timely, accurate, and complete reporting and analysis of safety information for surveillance is crucial for the protection of patients, clinicians, and the sponsor Medtronic continually evaluates the quality and integrity of the data through a combination of on-site and centralized monitoring activities.

### **Lead Complications**

Chronic lead performance is characterized by estimating lead related complication free survival probabilities. For analysis purposes, the complication criteria, which align with the AdvaMed 'Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads', are defined below. These criteria do not, however, enable a lead integrity or "hardware" failure to be conclusively differentiated from other clinical events such as an undetected lead dislodgement, perforation, or concurrent pulse generator failure manifested as a sensing or capture problem.

All reported lead-related adverse events are classified by the reporting investigator and are adjudicated by an independent event adjudication committee <sup>1</sup>. A lead-related event with at least one of the following classifications that is adjudicated by the committee as a complication and occurs more than 30 days after implant is considered a product performance event and will contribute to the survival analysis endpoint. Events with an onset date of 30 days or less after the implant are considered procedure related and therefore are not included as product performance events. Product performance events include, but are not limited to:

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- Elevated pacing thresholds
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 2,000 ohms)
- Abnormal defibrillation impedance (based on lead model, but normal range is typically 20 200 ohms)
- Lead Insulation breach
- Lead Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement
- Structural Lead Failure

### **Data Analysis Methods**

The performance of leads is expressed in terms of lead survival estimates, where "survival" refers to the function of the lead, not the survival of the patient.. These survival estimates are intended to illustrate the probability that a lead will survive for a given number of years without a chronic lead-related complication.

Active surveillance normally begins at the time of implant and continues until a product performance or censoring event occurs. In some cases in the PAN Registry, active surveillance of a device starts after the device was implanted. The survival probability of such device is conditional on survival to the time when the device enters the Registry. This phenomenon is called Left-truncation<sup>2</sup>. PPR lead survival analysis is estimated using the Kaplan-Meier method, a statistical method to incorporate data from these retrospectively enrolled devices, left-truncated data, was applied. The statistical technique uses data from existing devices while appropriately adjusting the device survival curves for the time the device was not actively followed in the registry. Thus, in some cases sample sizes may fluctuate from one time interval to the next interval.

On the following pages, each graph includes a survival curve for each lead model. The survival estimates is the probability that a lead is free of a product performance event at a given time point. For example, if a survival probability is 95% after 5 years of service, then the lead has a 5% chance of experiencing a lead-related complication in the first 5 years following implant.

The data in the tables is rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more complications. This occurs because even with the complications, the data rounds to 100%.

The survival curves are statistical estimates. As sample size increases and performance experience accumulates, the estimation improves. Confidence intervals are provided as a way to indicate the degree of certainty of the estimates. Greenwood's formula is used to calculate the standard errors, and the log-log method is used to produce the 2-sided 95% confidence bounds.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the number of leads entering an interval is less than 50 leads. When the number of leads entering an interval reaches 50, the next data point is added to the survival

curve. For those lead models that do not have sufficient sample size, a survival curve will not be presented.

### **Definition of Analysis Dataset**

The survival estimates are derived from all device components successfully enrolled as of the data received cut-off date (e.g. date of data entry at a study site). The number of enrollments is listed for each lead model.

This sample is considered to be representative of the worldwide population, and therefore the survival estimates shown should be representative of the performance worldwide of these models.

### Criteria for Model Inclusion

Performance information for a model or model family will be published when more than 100 leads have been enrolled and no fewer than 50 leads followed for at least 6 months. Medtronic, at its discretion, may stop providing updated performance information on lead models that received original US market-release approval 20 or more years ago.

### **Returned Product Analysis Results**

Although the returned product analysis data is not used to generate the survival estimates, the data provides valuable insight into the causes of lead malfunction.

For reporting returned product analysis results, Medtronic CRHF considers a lead as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction for returned product analysis reporting, the lead must have been returned to Medtronic and analyzed.

The results of the analysis is presented in four categories. The lead reporting categories are:

**Conductor Fracture**: Conductor malfunction with complete or intermittent loss of continuity that could interrupt current flow (e.g., fractured conductors), including those associated with clavicle flex fatigue or crush damage.

**Insulation Breach**: A malfunction of the insulation allowing inappropriate entry of body fluids or inappropriate current flow between the conductors, or between the conductor and the body. Examples include cuts, tears, depressions, abrasions, and material degradation.

**Crimps/Welds/Bonds**: Any malfunction in a conductor or lead body associated with a point of connection.

**Other:** Malfunctions of specific lead mechanical attributes, such as sensors, connectors, seal rings, or malfunction modes not included in the three categories above.

A lead subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRHF and found, through analysis, to actually have performed outside the performance limits established by Medtronic.

For leads designed for either ventricular or atrial use, the numbers listed in the Returned Product Analysis tables include both.

The numbers of malfunctions listed in the Returned Product Analysis tables are the actual numbers confirmed in the returned product analysis. The numbers of complications listed in the complications tables are the actual numbers observed in the PSR centers around the world.

### US Reports of Acute Lead Observations (Occurring within First Month of Service)

In the first weeks following lead implantation, physiologic responses and lead performance can vary until long-term lead stability is attained. Acute (defined as the first month after implant) lead performance may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. After a period of time, the implant and the lead performance stabilizes. It is for this reason that the Product Surveillance Registry results, which are intended to measure long-term performance, do not include complications that occur within the first 30 days after implant.

Information about the clinical experience in the first month of service is included in our reporting. The source for this information is Medtronic's complaint handling system database. The information is summarized in tables titled "US Reports of Acute Lead Observations."

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Lead Observation categories. The categories used for this product performance reporting are drawn from the "FDA Guidance for Submission of Research and Marketing Applications for Permanent Pacemaker Leads and for Pacemaker Lead Adapter 510(k) Submissions." The categories are:

- 1. Cardiac Perforation
- 2. Conductor Fracture
- 3. Lead Dislodgement
- 4. Failure to Capture
- 5. Oversensing
- 6. Failure to Sense
- 7. Insulation Breach
- 8. Impedance Abnormal
- 9. Extracardiac Stimulation
- 10. Unspecified

Although multiple observations are possible for any given lead, only one observation is reported per lead. The observation reported is the observation highest on the list. For example, if an Event Report includes observations for both Lead Dislodgement and Failure to Sense, Lead Dislodgement is reported.

The lead event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The lead may have remained implanted and in service.

### Estimated Number of Implanted and Active Leads in the United States

In addition to providing the number of leads enrolled in the PSR, we also provide the number of leads registered as implanted and the number remaining active in the United States based on the status recorded in the Medtronic Device and Registrant Tracking system.

### Footnotes:

- 1: During the evolution of SLS, event adjudication was transitioned from a Medtronic technical review committee to an independent event adjudication committee in 2011. Data analyses include adjudication using both methods.
- 2: Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

30 SelectS	ecure				
US Market Release	03Aug2005	US Returned Produc	t Analysis	US Acute Lead Observat	ions
CE Approval	31Jan2003	Conductor Fracture	28	Cardiac Perforation	
Registered USA Implants	85,353	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Imp	,	Insulation Breach	49	Extracardiac Stimulation	
ixation Type	Fixed Screw	Other	8	Failure To Capture	
ace Sense Polarity	Bipolar			Failure To Sense	
teroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	

### **Atrial Placement**

### **Product Surveillance Registry Results**

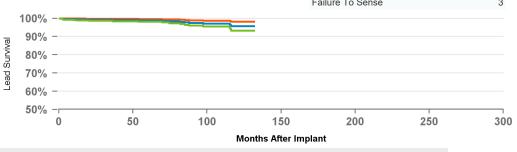
Number of Leads Enrolled in Study	1,346
Cumulative Months of Followup	65,274
Number of Leads Active in Study	608

## **Qualifying Complications**

Cardiac Perforation	1
Conductor Fracture	3
Extracardiac Stimulation	1
Failure To Capture	4
Failure To Sense	3

Cardiac Perforation	28
Conductor Fracture	2
Extracardiac Stimulation	7
Failure To Capture	284
Failure To Sense	26
Impedance Abnormal	5
Insulation Breach	1
Lead Dislodgement	325
Oversensing	69
Unspecified	2





upper	95	PCt	Confidence

- Cumulative Survival Probability
- Lower 95 Pct Confidence

		Months After implant									
Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
%	99.4%	99.2%	99.2%	99.0%	98.8%	98.6%	98.1%	97.4%	97.1%	95.7%	95.7%
#	991	796	684	544	460	391	328	285	211	100	55

### **His Bundle Placement**

## **Product Surveillance Registry Results**

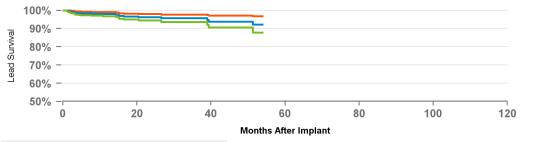
Number of Leads Enrolled in Study	1,012
Cumulative Months of Followup	15,103
Number of Leads Active in Study	831

### **Qualifying Complications**

Failure To Capture	е
Failure To Sense	



19	Lead Dislodgement	2
1	Oversensing	1
	Other Complication	2



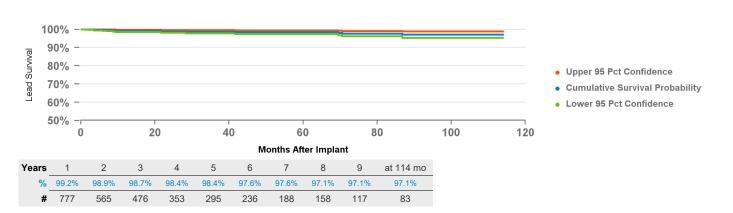
Years	1	2	3	4	at 54 mo
%	97.9%	96.2%	95.6%	93.7%	92.2%
#	488	211	109	73	50

- Cumulative Survival Probability
- Lower 95 Pct Confidence

### **Ventricular Placement**

Product Surveillance Registry Results		Qualifying Complication
Number of Leads Enrolled in Study	1,245	Failure To Capture
Cumulative Months of Followup	44,540	
Number of Leads Active in Study	719	





JS Market Release	23Jun2002	US Returned Product Analysis	US Acute Lead Observations
CE Approval	01Feb2002		
Registered USA Implants	771		
Estimated Active USA Implants	227		
ixation Type	Tines		
Pace Sense Polarity	Unipolar		
steroid Indicator	Yes		



074 CapSure Sen	ise				
US Market Release	23Jun2002	US Returned Produc	t Analysis	US Acute Lead Observat	ions
CE Approval	01Feb2002	Conductor Fracture	12	Cardiac Perforation	28
Registered USA Implants	143,224	Crimp Weld Bond		Conductor Fracture	2
Estimated Active USA Implants	85,840	Insulation Breach	49	Extracardiac Stimulation	3
Fixation Type	Tines	Other		Failure To Capture	136
Pace Sense Polarity	Bipolar			Failure To Sense	9
Steroid Indicator	Yes			Impedance Abnormal	4
				Insulation Breach	
				Lead Dislodgement	177
				Oversensing	7

### **Atrial Placement**

### **Product Surveillance Registry Results**

 Number of Leads Enrolled in Study
 227

 Cumulative Months of Followup
 26,500

 Number of Leads Active in Study
 94

### Qualifying Complications

Failure To Sense 1 Lead Dislodgement 1



### Ventricular Placement

### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study1,185Cumulative Months of Followup72,167Number of Leads Active in Study283

### **Qualifying Complications**

Conductor Fracture
Failure To Capture

111 Impedance Abnormal3 Insulation BreachLead Dislodgement

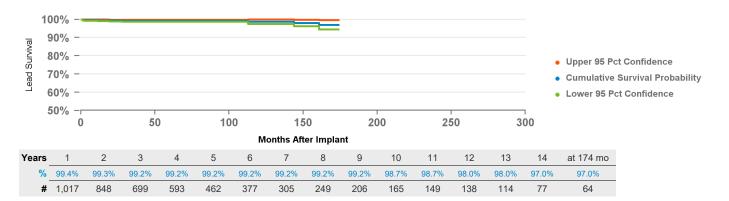
Other Complication

2

2

2

Unspecified



ŀC	)76 Cap	SureFix Novus	
	US Market Release		25Feb2004
	CE Approval	14Jun2004	
	Registered USA Im	702,945	
	Estimated Active U	SA Implants	484,065
	Fixation Type		Active Screw In
	Pace Sense Polarity		Bipolar
	Steroid Indicator		Yes

### **US Returned Product Analysis**

Conductor Fracture	111
Crimp Weld Bond	1
Insulation Breach	178
Other	20

### **US Acute Lead Observations**

Cardiac Perforation	179
Conductor Fracture	10
Extracardiac Stimulation	24
Failure To Capture	241
Failure To Sense	103
Impedance Abnormal	41
Insulation Breach	1
Lead Dislodgement	642
Oversensing	83
Unspecified	10

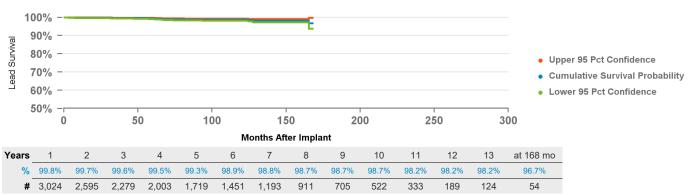
### **Atrial Placement**

### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	4,044
Cumulative Months of Followup	224,647
Number of Leads Active in Study	1,536

### Qualifying Complications 3

Cardiac Perforation	2	Insulation Breach	2
Conductor Fracture	2	Lead Dislodgement	8
Failure To Capture	10	Oversensing	1
Failure To Sense	3	Other Complication	3



### Ventricular Placement

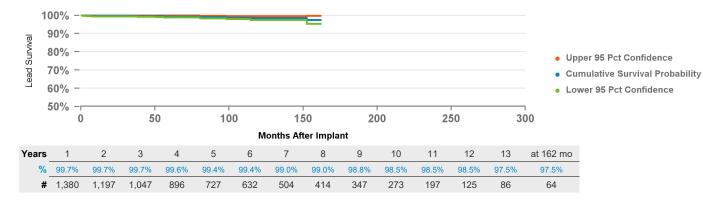
### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	1,698
Cumulative Months of Followup	103,442
Number of Leads Active in Study	426

### **Qualifying Complications**

Conductor Fracture	1	Impedance Abnormal	2
Extracardiac Stimulation	1	Lead Dislodgement	1
Failure To Capture	5	Other Complication	2
Failure To Sense	1		

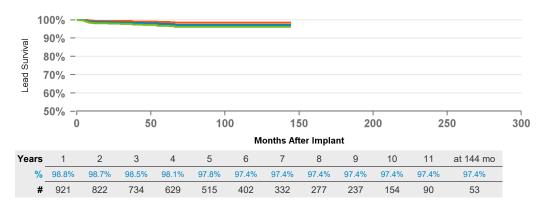
13



#### CapSure SP Novus 4092 US Market Release 17Sep1998 **US Returned Product Analysis US Acute Lead Observations** CE Approval 15Apr1998 Cardiac Perforation 4 Conductor Fracture Registered USA Implants 186,319 Crimp Weld Bond Conductor Fracture 4 Estimated Active USA Implants 59,310 Extracardiac Stimulation 1 Insulation Breach 93 Fixation Type Tines Other Failure To Capture 35 Pace Sense Polarity Bipolar Failure To Sense Steroid Indicator Yes 2 Impedance Abnormal Insulation Breach 1 Lead Dislodgement 35 Oversensing 1 Unspecified 1 **Product Surveillance Registry Results Qualifying Complications** 21 0

Number of Leads Enrolled in Study	1,200
Cumulative Months of Followup	69,579
Number of Leads Active in Study	30

Conductor Fracture	3	Impedance Abnormal	1
Extracardiac Stimulation	1	Lead Dislodgement	4
Failure To Capture	12		



- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

#### 4574 CapSure Sense **US Market Release** 23Jun2002 **US Returned Product Analysis US Acute Lead Observations** CE Approval 01Feb2002 Cardiac Perforation Conductor Fracture 101,871 Registered USA Implants Crimp Weld Bond Conductor Fracture Estimated Active USA Implants 66,347 Extracardiac Stimulation 1 Insulation Breach 21 Fixation Type J-shape, tines Other Failure To Capture 87 Pace Sense Polarity Bipolar Failure To Sense 41 Steroid Indicator Yes Impedance Abnormal 4 Insulation Breach Lead Dislodgement 207 Oversensing 10 Unspecified 4 **Product Surveillance Registry Results Qualifying Complications** 12 Number of Leads Enrolled in Study Conductor Fracture 1,342 2 Lead Dislodgement



Failure To Capture

56,046

647

Cumulative Months of Followup

Number of Leads Active in Study

4592	CapSure	SP	Novus
US Mark	et Release		

US Market Release	05Oct1998
CE Approval	15Apr1998
Registered USA Implants	88,958
Estimated Active USA Implants	29,971
Fixation Type	J-shape, tines
Pace Sense Polarity	Bipolar
Steroid Indicator	Yes

### **US Returned Product Analysis**

Conductor Fracture	12
Crimp Weld Bond	
Insulation Breach	31
Other	

### **US Acute Lead Observations**

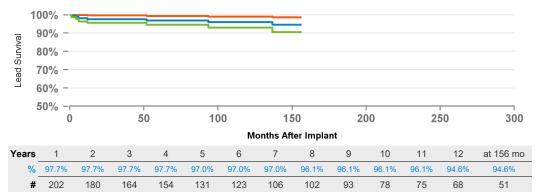
Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	
Failure To Capture	10
Failure To Sense	2
Impedance Abnormal	
Insulation Breach	1
Lead Dislodgement	37
Oversensing	2
Unspecified	2

### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	361
Cumulative Months of Followup	21,311
Number of Leads Active in Study	39

### **Qualifying Complications**

Failure To Capture	5	Lead Dislodgement	2
Failure To Sense	1	Other Complication	1



- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

50	)54	CapSure Z Novus		
	US Market I	Release	03Jun199	98
	CE Approva	al	05Jun199	97
	Registered	USA Implants	98,909	
	Estimated A	Active USA Implants	29,833	
	Fixation Typ	е	Tines	
	Pace Sense	Polarity	Bipolar	
	Steroid India	ator	Yes	

### **US Returned Product Analysis**

Conductor Fracture	16
Crimp Weld Bond	1
Insulation Breach	43
Other	

### **US Acute Lead Observations**

Cardiac Perforation	2
Conductor Fracture	2
Extracardiac Stimulation	
Failure To Capture	23
Failure To Sense	
Impedance Abnormal	4
Insulation Breach	1
Lead Dislodgement	30
Oversensing	
Unspecified	9

### **Atrial Placement**

### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	426
Cumulative Months of Followup	40,806
Number of Leads Active in Study	43

### Qualifying Complications





### **Ventricular Placement**

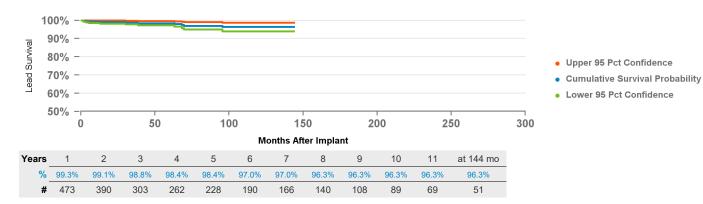
### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	988
Cumulative Months of Followup	34,569
Number of Leads Active in Study	26

### **Qualifying Complications**

Failure To Capture	7	Impedance Abnormal
Failure To Sense	2	Lead Dislodgement

11

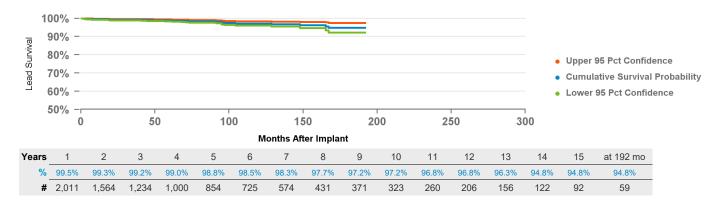


5076 CapSureFix No	VUS				
US Market Release	31Aug2000	US Returned Product	Analysis	US Acute Lead Obs	servations
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	12Aug1999 2,870,201 1,915,274 Active Screw In Bipolar Yes	Conductor Fracture Crimp Weld Bond Insulation Breach Other	1,207 1,299 187	Cardiac Perforation Conductor Fracture Extracardiac Stimulation Failure To Capture Failure To Sense Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified	1,277 26
Atrial Placement					
Product Surveillance Registry Result		Qualifying Complications	91		
Number of Leads Enrolled in Study	10,191	Cardiac Perforation		dance Abnormal	7
Cumulative Months of Followup	469,651	Conductor Fracture		ation Breach	3
Number of Leads Active in Study	4,323	Extracardiac Stimulation		Dislodgement	33
		Failure To Capture	14 Overs	9	5
70% - 60% -	1 1	ı		<ul> <li>Upper 95 Pct Confidence</li> <li>Cumulative Survival Probabi</li> <li>Lower 95 Pct Confidence</li> </ul>	6 lity
0 50	100 150	200 250	300		



### **Ventricular Placement**

Product Surveillance Registry Results		<b>Qualifying Complications</b>	;	33	
Number of Leads Enrolled in Study	3,164	Cardiac Perforation	1	Impedance Abnormal	5
Cumulative Months of Followup	134,658	Conductor Fracture	6	Lead Dislodgement	5
Number of Leads Active in Study	921	Failure To Capture	12	Oversensing	1
		Failure To Sense	1	Other Complication	2



# 5086MRI CapsureFix Novus MRI US Market Release 08Feb

US Market Release	08Feb2011
CE Approval	21Jan2009
Registered USA Implants	208,646
Estimated Active USA Implants	171,252
Fixation Type	Active Screw In
Pace Sense Polarity	Bipolar
Steroid Indicator	Yes

### **US Returned Product Analysis**

Conductor Fracture	96
Crimp Weld Bond	
Insulation Breach	170
Other	11

### **US Acute Lead Observations**

Cardiac Perforation	213
Conductor Fracture	2
Extracardiac Stimulation	18
Failure To Capture	142
Failure To Sense	28
Impedance Abnormal	9
Insulation Breach	1
Lead Dislodgement	310
Oversensing	31
Unspecified	

### **Atrial Placement**

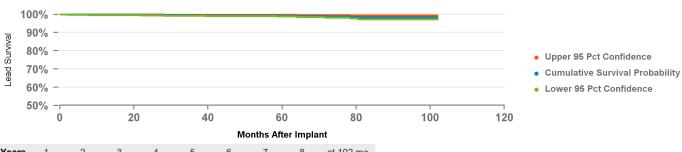
### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	3,120
Cumulative Months of Followup	134,691
Number of Leads Active in Study	1,433

### Qualifying Complications

Conductor Fracture	3 Lead Dislodg	ement 11	
Failure To Capture	3 Oversensing	2	
	Other Compli	ication 1	

20



Years	1	2	3	4	5	6	7	8	at 102 mo
%	99.8%	99.6%	99.6%	99.4%	99.3%	98.9%	98.3%	98.3%	98.3%
#	2,535	2,208	1,883	1,466	761	430	322	206	109

### **Ventricular Placement**

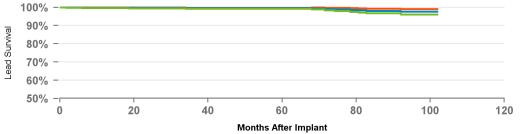
### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	3,059
Cumulative Months of Followup	132,885
Number of Leads Active in Study	1,417

### **Qualifying Complications**

Conductor Fracture	2 Impedance Abnormal
Failure To Capture	9 Lead Dislodgement
Failure To Sense	1 Oversensing
	Other Complication

20

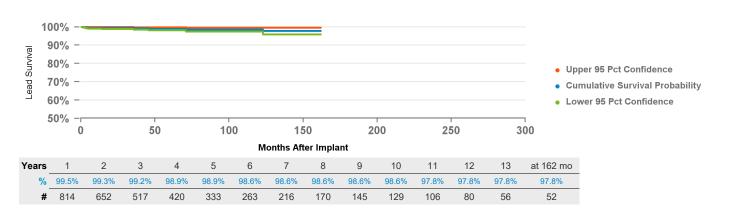


Years	1	2	3	4	5	6	7	8	at 102 mo
%	99.7%	99.7%	99.6%	99.6%	99.6%	99.1%	98.0%	97.6%	97.6%
#	2 532	2 188	1 856	1 433	734	401	311	199	108

### • Upper 95 Pct Confidence

- Cumulative Survival Probability
- Lower 95 Pct Confidence

5092 CapSure SP N	Novus				
US Market Release	03Jun1998	US Returned Product	t Analysis	US Acute Lead Obser	vations
CE Approval	25Sep1997	Conductor Fracture	25	Cardiac Perforation	7
Registered USA Implants	140,185	Crimp Weld Bond	20	Conductor Fracture	3
Estimated Active USA Implants	47,198	Insulation Breach	66	Extracardiac Stimulation	3
Fixation Type	Tines	Other	1	Failure To Capture	49
Pace Sense Polarity	Bipolar	Guiei		Failure To Sense	7
Steroid Indicator	Yes			Impedance Abnormal	1
				Insulation Breach	3
				Lead Dislodgement	72
				Oversensing	1
				Unspecified	8
Product Surveillance Registry Res	ults	Qualifying Complications	10	·	
Number of Leads Enrolled in Study	1,214	Extracardiac Stimulation	1 Impedan	ce Abnormal	1
Cumulative Months of Followup	53,820	Failure To Capture		lodgement	5
-				•	



29

Number of Leads Active in Study

55	554	CapSure Z Novus		
	US Market F	03Jun199	98	
	CE Approva	ıl	05Jun199	97
	Registered	USA Implants	64,454	
	Estimated A	Active USA Implants	21,565	
	Fixation Typ	e	Tines	
	Pace Sense	Polarity	Bipolar	
	Steroid Indic	ator	Yes	

<b>US Returned Product Analy</b>	/sis
Conductor Fracture	21
Crimp Weld Bond	
Insulation Breach	39
Other	

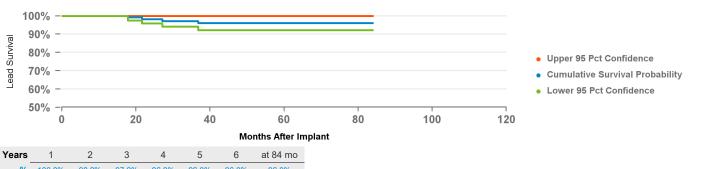
#### **US Acute Lead Observations** Cardiac Perforation Conductor Fracture 1 Extracardiac Stimulation Failure To Capture 31 Failure To Sense 2 Impedance Abnormal 1 Insulation Breach Lead Dislodgement 38 Oversensing Unspecified 3

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	366
Cumulative Months of Followup	9,176
Number of Leads Active in Study	11

#### **Qualifying Complications**

Failure To Capture	2	Impedance Abnormal	1
		Lead Dislodgement	1
		Oversensing	1



Years	1	2	3	4	5	6	at 84 mo
%	100.0%	98.2%	97.2%	96.0%	96.0%	96.0%	96.0%
#	141	107	84	77	63	55	55

55	692 CapSure SP Novus	
	US Market Release	03Jun1998
	CE Approval	25Sep1997
	Registered USA Implants	36,942
	Estimated Active USA Implants	15,259
	Fixation Type	Tines
	Pace Sense Polarity	Bipolar
	Steroid Indicator	Yes

#### **US Returned Product Analysis**

Conductor Fracture	6
Crimp Weld Bond	
Insulation Breach	7
Other	

#### **US Acute Lead Observations**

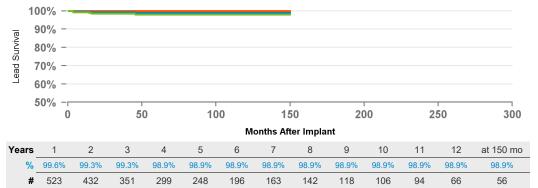
Cardiac Perforation	1
Conductor Fracture	
Extracardiac Stimulation	
Failure To Capture	4
Failure To Sense	3
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	43
Oversensing	1
Unspecified	1

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	718
Cumulative Months of Followup	38,176
Number of Leads Active in Study	40

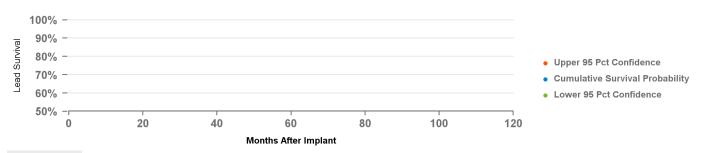
#### **Qualifying Complications**





- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

594 CapSure SP N	ovus				
US Market Release	25Jun2001	US Returned Product	Analysis	US Acute Lead Observ	vations
CE Approval	23Mar2001	Conductor Fracture	15	Cardiac Perforation	
Registered USA Implants	17,591	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	8,503	Insulation Breach	17	Extracardiac Stimulation	
Fixation Type	Tines	Other		Failure To Capture	
Pace Sense Polarity	Bipolar			Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
oduct Surveillance Registry Resu	ilts	Qualifying Complications	3		
mber of Leads Enrolled in Study	41	Conductor Fracture	1 Insulatio	on Breach	1



3,883

13



Cumulative Months of Followup

Number of Leads Active in Study

Oversensing

#### 6721 **Epicardial Patch** 31Mar1994 US Market Release **US Returned Product Analysis US Acute Lead Observations** CE Approval 01Jan1993 Cardiac Perforation Conductor Fracture Registered USA Implants 3,293 Crimp Weld Bond Conductor Fracture Estimated Active USA Implants 1,109 Extracardiac Stimulation Insulation Breach Fixation Type Suture Other Failure To Capture Pace Sense Polarity n/a Failure To Sense Steroid Indicator None Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	417
Cumulative Months of Followup	24,012
Number of Leads Active in Study	7

#### **Qualifying Complications**

Conductor Fracture	21 Impedance Abnormal	
Failure To Capture	8 Insulation Breach	
	Oversensing	

47

Unspecified

1

2

3

1

18

4



Years	1	2	3	4	5	6	7	8	at 108 mo
%	96.6%	94.6%	92.1%	91.0%	89.2%	84.6%	83.2%	83.2%	83.2%
#	348	319	273	219	186	133	99	64	56

69	930	Sprint Fidelis		
	US Market F	Release	02Sep2004	
	CE Approva	I		
	Registered	USA Implants	350	
	Estimated A	active USA Implants	103	
	Fixation Type	e	Tines	
	Pace Sense	Polarity	True Bipolar/One Coi	l
	Steroid Indic	ator	Yes	

#### **US Returned Product Analysis**

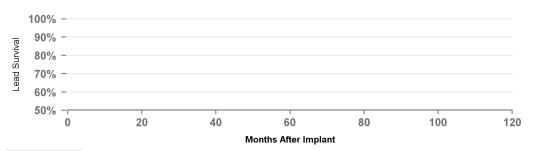
Conductor Fracture	5
Crimp Weld Bond	
Insulation Breach	
Other	

#### **US Acute Lead Observations**

Cardiac Perforation
Conductor Fracture
Extracardiac Stimulation
Failure To Capture
Failure To Sense
Impedance Abnormal
Insulation Breach
Lead Dislodgement
Oversensing
Unspecified

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	4
Cumulative Months of Followup	298
Number of Leads Active in Study	1



• Upper 95 Pct Confidence

- Cumulative Survival Probability
- Lower 95 Pct Confidence



931	Sprint Fidelis		
US M	arket Release	02Sep2004	
CE A	oproval		
Regis	stered USA Implants	8,060	
Estim	nated Active USA Implants	1,846	
Fixatio	on Type	Active Screw In	
Pace S	Sense Polarity	True Bipolar/One Co	il
Steroid	d Indicator	Yes	

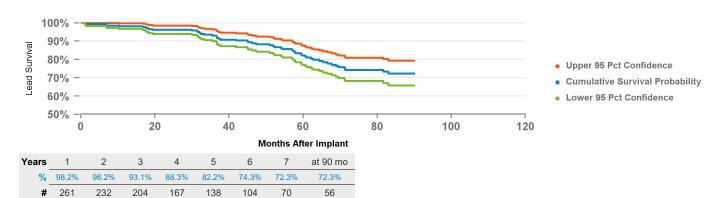
<b>US Returned Product</b>	Analysis
Conductor Fracture	655
Crimp Weld Bond	
Insulation Breach	1
Other	5

US Acute Lead Observations	
Cardiac Perforation	1
Conductor Fracture	2
Extracardiac Stimulation	
Failure To Capture	1
Failure To Sense	1
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	1
Oversensing	3
Unspecified	1

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	311
Cumulative Months of Followup	17,867
Number of Leads Active in Study	14

Qualifying Complications		58	
Conductor Fracture	35	Impedance Abnormal	10
Failure To Capture	3	Lead Dislodgement	2
Failure To Sense	1	Oversensing	7



US Market Release	01Nov2008	US Returned Produc	t Analysis	US Acute Lead Observ	ations
CE Approval	31Mar2008	Conductor Fracture	389	Cardiac Perforation	2
Registered USA Implants	63,040	Crimp Weld Bond	000	Conductor Fracture	_
Estimated Active USA Implants	46,817	Insulation Breach	12	Extracardiac Stimulation	
Fixation Type	Active Screw In	Other	41	Failure To Capture	2
Pace Sense Polarity	True Bipolar/One Coil	Other	71	Failure To Sense	1:
Steroid Indicator	Yes			Impedance Abnormal	2
				Insulation Breach	_
				Lead Dislodgement	6
				Oversensing	6
				Unspecified	
Product Surveillance Registry Result	ts	Qualifying Complications	53		
lumber of Leads Enrolled in Study	2,784	Cardiac Perforation	1 Impedano	ce Abnormal	7
umulative Months of Followup 140,379		Conductor Fracture	20 Lead Disl	odgement	7
lumber of Leads Active in Study	834	Extracardiac Stimulation	1 Oversens	1 Oversensing	
		Failure To Capture	6 Unspecifi	ed	1
		Failure To Sense	1 Other Co	mplication	2
100%					
<u></u>					
80% - 70% -				05.0 (0.5)	
ิ ช 70% -				pper 95 Pct Confidence	
60% -				umulative Survival Probability	
50% -			• L	ower 95 Pct Confidence	
0 50	100 150	200 250	300		

96.8%

95.4%

254

95.4%

121

95.4%

78

98.9%

1,534

98.6%

1,230

98.4%

1,046

98.0%

97.4%

99.2%

1,886

**%** 99.5%

# 2,292

US Market Release	02Aug2012	US Returned Produc	t Analysis	US Acute Lead Obse	rvations
CE Approval	12Jul2012	Conductor Fracture	452	Cardiac Perforation	12
Registered USA Implants	277,098	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	255,110	Insulation Breach	21	Extracardiac Stimulation	
Fixation Type	Active Screw In	Other	72	Failure To Capture	2
Pace Sense Polarity	True Bipolar/One Coil			Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	4
				Oversensing	2
				Unspecified	
roduct Surveillance Registry Result	S	<b>Qualifying Complications</b>	75		
umber of Leads Enrolled in Study	7,026	Cardiac Perforation	2 Impedan	ce Abnormal	6
umulative Months of Followup	248,474	Conductor Fracture	24 Insulatio	24 Insulation Breach	
umber of Leads Active in Study	4,033	Extracardiac Stimulation	1 Lead Dis	1 Lead Dislodgement	
		Failure To Capture	14 Oversen	sing	5
		Failure To Sense	1 Unspecif	ïed	1
100%			Other Co	omplication	2
90% -					
80% -				Jpper 95 Pct Confidence	
80% -				Opper 95 Pct Confidence Cumulative Survival Probability	
60% -				oumulative Survival Probability ower 95 Pct Confidence	/
			• 1	.ower 35 PCI Confidence	
50% - 20	40 60	80 100	120		
20	Months After Im		120		

98.9%

2,355

98.3%

1,337

97.7%

609

97.5%

178

97.5%

59

99.2%

3,405

99.5% 4,329

**%** 99.6%

#### Transvene SVC-CS 6937A US Market Release 06Apr2001 **US Acute Lead Observations US Returned Product Analysis** CE Approval Cardiac Perforation Conductor Fracture Registered USA Implants 2,757 Crimp Weld Bond Conductor Fracture 3 Estimated Active USA Implants 1,622 Extracardiac Stimulation Insulation Breach Fixation Type Passive Other Failure To Capture Pace Sense Polarity One Coil Failure To Sense Steroid Indicator None Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified 2 **Product Surveillance Registry Results Qualifying Complications** 14 Number of Leads Enrolled in Study Conductor Fracture 123 Impedance Abnormal Cumulative Months of Followup 13,887 Insulation Breach 2 Number of Leads Active in Study 8 Lead Dislodgement Unspecified Other Complication 100% 90% Lead Survival 80% Upper 95 Pct Confidence 70% Cumulative Survival Probability 60% Lower 95 Pct Confidence 50 100 150 200 250 300 Months After Implant

6

94.4%

82

5

95.4%

93

Years

99.1%

116

3

99.1%

110

99.1%

114

4

98.3%

104

8

91.9%

69

93.2%

76

9

89.2%

56

at 114 mo

89.2%

3	944	Sprint Quattro		
	US Mark	et Release	13Dec2000	
	CE Appr	oval	05Nov1999	
	Register	red USA Implants	44,792	
	Estimate	ed Active USA Implants	17,736	
	Fixation 7	Гуре	Tines	
	Pace Ser	nse Polarity	True Bipolar/Two Coils	,
	Steroid In	ndicator	Yes	

US Returned Product Ana	ııysıs
Conductor Fracture	215
Crimp Weld Bond	1
Insulation Breach	5

US Acute Lead Observations	
Cardiac Perforation	
Conductor Fracture	2
Extracardiac Stimulation	
Failure To Capture	17
Failure To Sense	3
Impedance Abnormal	10
Insulation Breach	
Lead Dislodgement	24
Oversensing	18
Unspecified	6

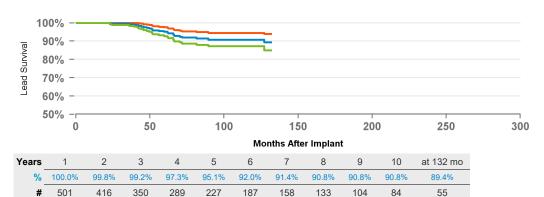
#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	623
Cumulative Months of Followup	35,226
Number of Leads Active in Study	109

#### **Qualifying Complications**

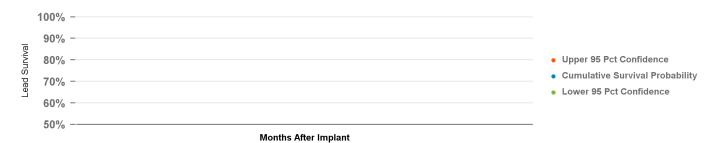
Other

Conductor Fracture	16	Impedance Abnormal	4
Failure To Capture	4	Oversensing	3
Failure To Sense	1	Unspecified	1



- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

#### 6946M **Sprint Quattro** US Market Release 05Jan2016 **US Returned Product Analysis US Acute Lead Observations** CE Approval 12Sep2013 Cardiac Perforation Registered USA Implants 2,745 Conductor Fracture Estimated Active USA Implants 2,609 Extracardiac Stimulation Fixation Type Tines Failure To Capture 3 Pace Sense Polarity True Bipolar/Two Coils Failure To Sense Steroid Indicator Yes Impedance Abnormal Insulation Breach 5 Lead Dislodgement



Years at mo

5

Oversensing Unspecified

US Market Release	12Nov2001	<b>US Returned Product</b>	t Analysis	<b>US Acute Lead Obse</b>	rvations
CE Approval	04Oct2001	Conductor Fracture	1,277	Cardiac Perforation	
Registered USA Implants	375,738	Crimp Weld Bond	4	Conductor Fracture	
Estimated Active USA Implants	183,414	Insulation Breach	98	Extracardiac Stimulation	
Fixation Type	Active Screw In	Other	190	Failure To Capture	
Pace Sense Polarity	True Bipolar/Two Coils			Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
oduct Surveillance Registry Results	<b>3</b>	Qualifying Complications	92	•	
mber of Leads Enrolled in Study	4,475	Conductor Fracture	34 Impedar	nce Abnormal	13
nulative Months of Followup	269,478	Failure To Capture	7 Insulatio		5
nber of Leads Active in Study	912	Failure To Sense	2 Lead Dis	slodgement	5
•			Oversen	•	19
			Unspeci		3
100% -				omplication	4
90% -				1	
80% -					
			• (	Jpper 95 Pct Confidence	
70% -			• (	Cumulative Survival Probability	/
60% -			• 1	ower 95 Pct Confidence	
50%	T T	T T			
0 50	100 150	200 250	300		

**%** 99.5%

99.0%

2,525

99.3%

2,879

98.7%

98.2%

1,990

97.9%

1,739

97.5%

97.0%

1,285

96.6%

1,054

96.0%

95.6%

95.1%

295

95.1%

94.6%

93.9%

135

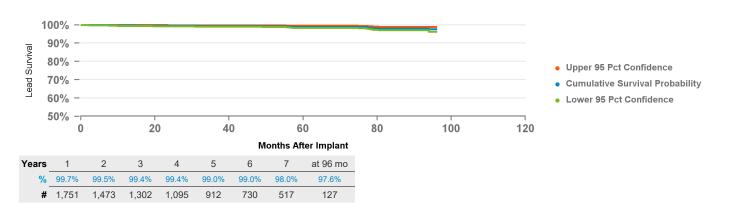
91.0%

91.0%

6947M Sprint Quattro Sec	cure					
US Market Release	13Feb2012	US Returned Produc	t Analys	sis	US Acute Lead Observ	/ations
CE Approval	12Mar2010	Conductor Fracture	1	90	Cardiac Perforation	36
Registered USA Implants	125,512	Crimp Weld Bond			Conductor Fracture	14
Estimated Active USA Implants	108,513	Insulation Breach		12	Extracardiac Stimulation	12
Fixation Type	Active Screw In	Other		31	Failure To Capture	102
Pace Sense Polarity	True Bipolar/Two Coils	•			Failure To Sense	38
Steroid Indicator	Yes				Impedance Abnormal	30
					Insulation Breach	
					Lead Dislodgement	215
					Oversensing	75
					Unspecified	
Product Surveillance Registry Results		<b>Qualifying Complications</b>		22		
Number of Leads Enrolled in Study	2,190	Conductor Fracture	11	Lead Dislodge	ement	1

Number of Leads Enrolled in Study	2,190
Cumulative Months of Followup	106,688
Number of Leads Active in Study	820

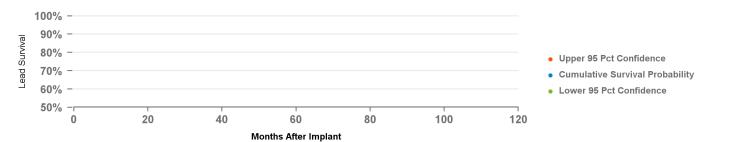
Conductor Fracture	11	Lead Dislodgement	1
Failure To Capture	4	Oversensing	1
Failure To Sense	3	Other Complication	2



6948 Sprin	t Fidelis					
US Market Release	02	2Sep2004	US Returned Product	Analysis	US Acute Lead Observati	ons
CE Approval			Conductor Fracture	212	Cardiac Perforation	
Registered USA Impl	ants 1	0,343	Crimp Weld Bond	2.12	Conductor Fracture	2
Estimated Active USA	A Implants 2	,657	Insulation Breach	3	Extracardiac Stimulation	_
Fixation Type	Tir	nes	Other	4	Failure To Capture	7
Pace Sense Polarity	Tro	ue Bipolar/Two Coils	Other	7	Failure To Sense	·
Steroid Indicator	Ye	es			Impedance Abnormal	
					Insulation Breach	
					Lead Dislodgement	7
					Oversensing	1
					Unspecified	3
Product Surveillance I	Registry Results		Qualifying Complications	4		
Number of Leads Enrolled	in Study	30 (	Conductor Fracture	3 Immedan	a Abnormal	1

Number of Leads Enrolled in Study	39
Cumulative Months of Followup	2,243
Number of Leads Active in Study	3

Conductor Fracture 3 Impedance Abnormal





US Market Release	02Sep2004	US Returned Product	t Analysis	US Acute Lead Obs	servations
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type	186,164 39,949 Active Screw In	Conductor Fracture Crimp Weld Bond Insulation Breach Other	8,031 3 37 105	Cardiac Perforation Conductor Fracture Extracardiac Stimulation Failure To Capture	1
Pace Sense Polarity Steroid Indicator	True Bipolar/Two Coils Yes	Other	105	Failure To Capture Failure To Sense Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified	
roduct Surveillance Registry Result imber of Leads Enrolled in Study imulative Months of Followup imber of Leads Active in Study	981 56,096 68	Qualifying Complications Conductor Fracture Failure To Capture Failure To Sense		nce Abnormal n Breach	19 2
100% -			Oversen		21 2
90% - 80% - 70% - 60% - 50% - 0 50	100 150	200 250	• (	Upper 95 Pct Confidence Cumulative Survival Probabil Lower 95 Pct Confidence	lity

66.4%

74

65.4%

56

68.7%

96

96.5%

624

93.4%

530

91.0%

456

88.2%

390

84.4%

342

81.5%

281

79.0%

235

78.2%

187

76.9%

152

71.2%

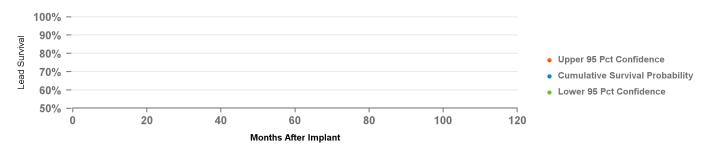
125

98.5%

6996	Sub-Q Lead					
US Market	t Release	11Jun2001	US Returned Product Analy	ysis	<b>US Acute Lead Observations</b>	
CE Approv		19Dec1997	Conductor Fracture	33	Cardiac Perforation	1
o .	d USA Implants	5,374	Crimp Weld Bond		Conductor Fracture	
	Active USA Implants	2,907	Insulation Breach		Extracardiac Stimulation	
Fixation Ty	•	Suture on Anchor Sleeve	Other		Failure To Capture	1
Pace Sens	e Polarity	One Coil			Failure To Sense	
Steroid Ind	icator	None			Impedance Abnormal	15
					Insulation Breach	1
					Lead Dislodgement	2
					Oversensing	1
					Unspecified	
Product Surv	veillance Registry Results	Qua	alifying Complications	3		

Number of Leads Enrolled in Study	53
Cumulative Months of Followup	2,367
Number of Leads Active in Study	6

Conductor Fracture 1 Impedance Abnormal





21	187	Attain LV		
	US Market I	Release	28Aug2001	
	CE Approval			
	Registered	USA Implants	11,931	
	Estimated A	Active USA Implants	1,602	
	Fixation Typ	е	Distal Continous (	Curve
	Pace Sense	Polarity	Unipolar	
	Steroid India	ator	None	

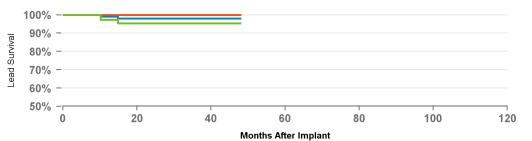
<b>US Returned Product Ana</b>	lysis
Conductor Fracture	1
Crimp Weld Bond	
Insulation Breach	3
Other	2

<b>US Acute Lead Observations</b>	
Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	1
Failure To Capture	3
Failure To Sense	1
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	9
Oversensing	
Unspecified	

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	140
Cumulative Months of Followup	7,019
Number of Leads Active in Study	6

### Qualifying Complications3Failure To Capture3



<ul> <li>Upper 95 Pct Confidence</li> </ul>	•	Upper	95	Pct	Confidence
---	---	-------	----	-----	------------

- Cumulative Survival Probability
- Lower 95 Pct Confidence

Years	1	2	3	at 48 mo
%	99.1%	98.0%	98.0%	98.0%
#	101	85	65	52

41	l <b>93</b> Attain OT	W
	US Market Release	03May2002
	CE Approval	22Dec2000
	Registered USA Implants	100,523
	Estimated Active USA Implan	ts 20,829
	Fixation Type	Double Curve
	Pace Sense Polarity	Unipolar
	Steroid Indicator	Yes

<b>US Returned Product Analy</b>	sis
Conductor Fracture	89
Crimp Weld Bond	
Insulation Breach	31
Other	12

US Acute Lead Observations	
Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	18
Failure To Capture	11
Failure To Sense	
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	45
Oversensing	1
Unspecified	2

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	805
Cumulative Months of Followup	41,354
Number of Leads Active in Study	48

Qualifying Complications	49	
Conductor Fracture	1 Impedance Abnormal	2
Extracardiac Stimulation	10 Lead Dislodgement	14
Failure To Capture	19 Unspecified	3



- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

41	94 Atta	ain OTW	
	US Market Releas	е	24Aug2004
	CE Approval		14Jul2003
	Registered USA In	mplants	114,945
	Estimated Active I	JSA Implants	46,896
	Fixation Type		Double Curve
	Pace Sense Polarit	У	Bipolar
	Steroid Indicator		Yes

US Returned Product An	alysis
Conductor Fracture	44
Crimp Weld Bond	
Insulation Breach	158
Other	2

Failure To Capture

US Acute Lead Observations	
Cardiac Perforation	2
Conductor Fracture	2
Extracardiac Stimulation	49
Failure To Capture	42
Failure To Sense	
Impedance Abnormal	9
Insulation Breach	
Lead Dislodgement	152
Oversensing	2
Unspecified	4

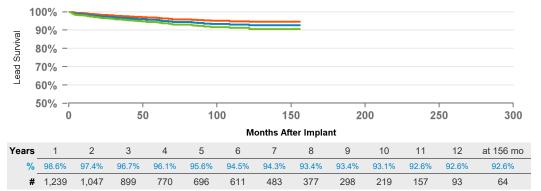
2 30

#### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	1,647
Cumulative Months of Followup	92,278
Number of Leads Active in Study	272

Qualitying Complications		67
Conductor Fracture	2	Insulation Breach
Extracardiac Stimulation	11	Lead Dislodgement

21 Insulation Breach Esc

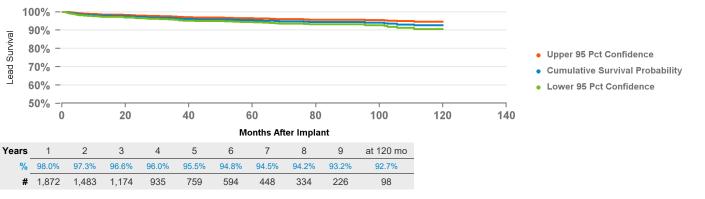


- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

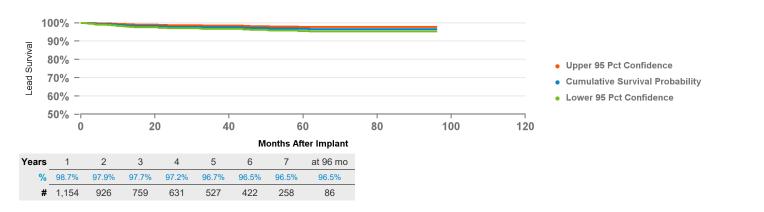
15Aug2008		<b>US Returned Product</b>	Analys	is US Acute Lead Ob	servations	;
13May2005		Conductor Fracture		10 Cardiac Perforation		
17,439		Crimp Weld Bond		Conductor Fracture		
9,888		Insulation Breach		3 Extracardiac Stimulatio	n	3
Deployable Lobe Fix	xation					2
Unipolar				Failure To Sense		
Yes				Impedance Abnormal		
				Insulation Breach		
				Lead Dislodgement		30
				Oversensing		
				Unspecified		
	Qua	lifving Complications		40		
1,486			4	Impedance Abnormal	2	
80,502	Extra	acardiac Stimulation		•		
278	Failu	re To Capture	8	Lead Dislodgement	5	
					1	
				outer complication		
<del>_</del>						
				Upper 95 Pct Confidence		
				<ul><li>Upper 95 Pct Confidence</li><li>Cumulative Survival Probab</li></ul>	ility	
	13May2005 17,439 9,888 Deployable Lobe Fi Unipolar Yes	13May2005 17,439 9,888 Deployable Lobe Fixation Unipolar Yes  Qua 1,486     Conc 80,502     Extra	13May2005  17,439 9,888  Deployable Lobe Fixation Unipolar Yes  Conductor Fracture Crimp Weld Bond Insulation Breach Other  Other  Qualifying Complications 1,486 Conductor Fracture 80,502  Extracardiac Stimulation	13May2005  17,439 9,888  Deployable Lobe Fixation Unipolar Yes  Conductor Fracture Crimp Weld Bond Insulation Breach Other  Other  Qualifying Complications  1,486 Conductor Fracture 4 80,502 Extracardiac Stimulation 15	13May2005  17,439 9,888 Conductor Fracture 10 Cardiac Perforation Conductor Fracture 9,888 Insulation Breach Other 2 Failure To Capture Failure To Sense Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified  Qualifying Complications 1,486 Conductor Fracture 4 Impedance Abnormal Insulation Breach	13May2005 17,439 9,888 Crimp Weld Bond Deployable Lobe Fixation Unipolar Yes  Cultifying Complications  Qualifying Complications  1,486 Conductor Fracture  10 Cardiac Perforation Conductor Fracture  12 Failure To Capture Failure To Sense Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Unspecified  40  1,486 Conductor Fracture 4 Impedance Abnormal 2 80,502 Extracardiac Stimulation 15 Insulation Breach 5 278 Failure To Capture  10 Cardiac Perforation Conductor Fracture  4 Impedance Abnormal 2 80,502 Insulation Breach 5 8 Lead Dislodgement 5

	0		50	)	100		150 Months After Implar		200		250
Years	1	2	3	4	5	6	7	8 8	9	10	at 126 mo
%	99.2%	98.6%	98.2%	97.7%	97.3%	96.9%	96.4%	95.6%	95.2%	95.2%	93.9%
#	1,244	1,073	924	743	613	494	373	254	161	82	57

US Market Release	15May2009	US Returned Product	t Analys	SIS US Acute Lead C	bservations	
CE Approval	24Jul2007	Conductor Fracture		25 Cardiac Perforation		3
Registered USA Implants	69,617	Crimp Weld Bond		Conductor Fracture		2
Estimated Active USA Implants	43,560	Insulation Breach		2 Extracardiac Stimulat	ion	95
Fixation Type	Double Curve	Other		9 Failure To Capture		63
Pace Sense Polarity	Bipolar	Other		Failure To Sense		1
Steroid Indicator	Yes			Impedance Abnormal		10
				Insulation Breach		1
				Lead Dislodgement		222
				Oversensing		1
				Unspecified		2
oduct Surveillance Registry Results		<b>Qualifying Complications</b>		87		
mber of Leads Enrolled in Study	2,303	Conductor Fracture	3	Impedance Abnormal	2	
mulative Months of Followup	107,956	Extracardiac Stimulation	14	Insulation Breach	1	
mber of Leads Active in Study	376	Failure To Capture	40	Lead Dislodgement	23	
				Other Complication	4	



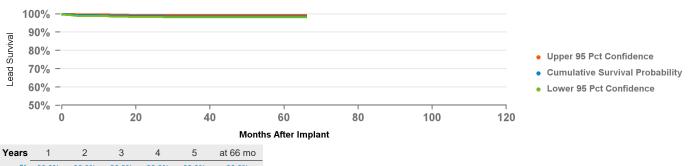
4296 Attain Ability Plus					
US Market Release	01Apr2011	US Returned Product	Analysis	US Acute Lead Observ	ations
CE Approval	18Dec2009	Conductor Fracture	3	Cardiac Perforation	2
Registered USA Implants	34,861	Crimp Weld Bond	2	Conductor Fracture	1
Estimated Active USA Implants	25,759	Insulation Breach	_	Extracardiac Stimulation	61
Fixation Type	Double Curve	Other	4	Failure To Capture	30
Pace Sense Polarity	<b>Dual Electrodes</b>	Other	7	Failure To Sense	00
Steroid Indicator	Yes			Impedance Abnormal	11
				Insulation Breach	4
				Lead Dislodgement	115
				Oversensing	
				Unspecified	
Product Surveillance Registry Results		Qualifying Complications	35		
Number of Leads Enrolled in Study	1,462	Extracardiac Stimulation	12 Lead Dis	slodgement	13
Cumulative Months of Followup	65,314	Failure To Capture		omplication	1
•		•		1	



397

Number of Leads Active in Study

98 Attain Performa					
US Market Release	01Aug2014	US Returned Product	Analysis	US Acute Lead Observ	ations
CE Approval	01Jan2013	Conductor Fracture	5	Cardiac Perforation	6
Registered USA Implants	92,417	Crimp Weld Bond	J	Conductor Fracture	1
Estimated Active USA Implants	83,954	Insulation Breach		Extracardiac Stimulation	195
Fixation Type	Double Curve	Other	21	Failure To Capture	109
Pace Sense Polarity	Bipolar	Otner	21	Failure To Sense	109
Steroid Indicator	Yes				34
				Impedance Abnormal	34
				Insulation Breach	
				Lead Dislodgement	194
				Oversensing	
				Unspecified	
Product Surveillance Registry Results		<b>Qualifying Complications</b>	21		
lumber of Leads Enrolled in Study	2,125	Extracardiac Stimulation	4 Lead Dis	lodgement	13
Cumulative Months of Followup	68,551	Failure To Capture	1 Other Co	emplication	3

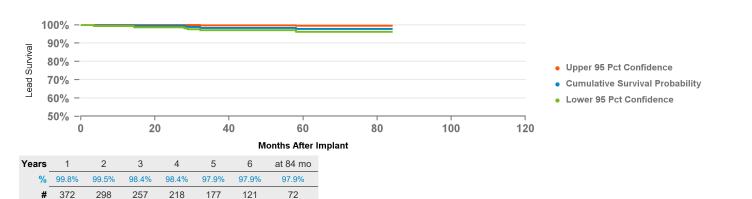


1,243

Years	1	2	3	4	5	at 66 mo
%	99.3%	98.9%	98.8%	98.8%	98.8%	98.8%
#	1,743	1,226	880	580	286	127

Number of Leads Active in Study

4396 Attain Ability Straig	ght				
US Market Release	31Mar2011	US Returned Product	Analysis	US Acute Lead Observ	ations
CE Approval	18Dec2009	Conductor Fracture	5	Cardiac Perforation	
Registered USA Implants	8,266	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	6,121	Insulation Breach	1	Extracardiac Stimulation	20
Fixation Type	Tines	Other		Failure To Capture	1
Pace Sense Polarity	Dual Electrodes				
Steroid Indicator	Yes	Yes Impedance Abnorr	Impedance Abnormal		
				Insulation Breach	
				Lead Dislodgement	3
				Oversensing	
				Unspecified	
Product Surveillance Registry Results		<b>Qualifying Complications</b>	8		
Number of Leads Enrolled in Study	469	Extracardiac Stimulation	1 Insulation	Breach	1



Failure To Capture

21,296

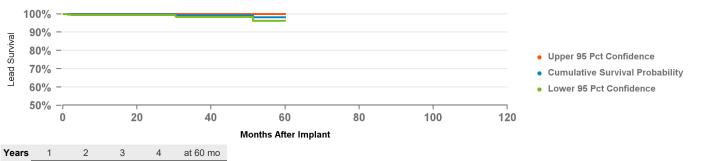
143

Cumulative Months of Followup

Number of Leads Active in Study

3 Lead Dislodgement

98 Attain Performa	Straight				
US Market Release	10Dec2014	US Returned Product	Analysis	US Acute Lead Observa	tions
CE Approval	01Jan2013	Conductor Fracture	2	Cardiac Perforation	
Registered USA Implants	30,000	Crimp Weld Bond	_	Conductor Fracture	
Estimated Active USA Implants	27,705	Insulation Breach		Extracardiac Stimulation	
Fixation Type	Tines	Other	6	Failure To Capture	
Pace Sense Polarity	Bipolar			Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
			Lead Dislodgement		
				Oversensing	
				Unspecified	
duct Surveillance Registry Results		<b>Qualifying Complications</b>	8		
nber of Leads Enrolled in Study	1,470	Failure To Capture	3 Impeda	nce Abnormal	1



31,110

1,056

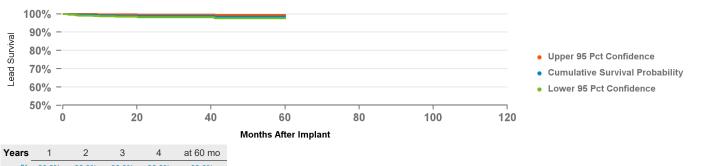
Years	1	2	3	4	at 60 mo
%	99.7%	99.7%	99.2%	99.2%	98.2%
#	933	541	277	136	56

Cumulative Months of Followup

Number of Leads Active in Study

Lead Dislodgement

4598 Attain Performa S	3				
US Market Release	10Dec2014	US Returned Product	Analysis	US Acute Lead Observations	
CE Approval	01Jan2013	Conductor Fracture	5	Cardiac Perforation	9
Registered USA Implants	54,424	Crimp Weld Bond	J	Conductor Fracture	1
Estimated Active USA Implants	50,376	Insulation Breach		Extracardiac Stimulation	92
Fixation Type	S-shape	Other	8	Failure To Capture	55
Pace Sense Polarity	Quad Pole	Other		Failure To Sense	00
Steroid Indicator	Yes			Impedance Abnormal	18
				Insulation Breach	
				Lead Dislodgement	59
				Oversensing	1
				Unspecified	
<b>Product Surveillance Registry Results</b>		Qualifying Complications	13		
Number of Leads Enrolled in Study	1,268	Extracardiac Stimulation	2 Lead	Dislodgement 10	
Cumulative Months of Followup	36,371	Failure To Sense	1		

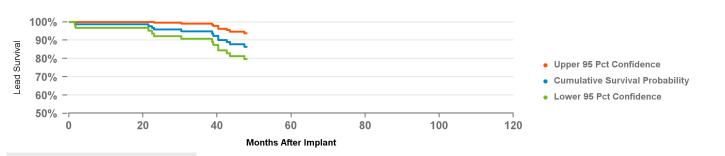


787

Years	1	2	3	4	at 60 mo
%	99.2%	98.9%	98.9%	98.6%	98.6%
#	1,018	713	423	221	87

Number of Leads Active in Study

5 CapSure Epi					
US Market Release	06Sep1996	US Returned Product	Analysis	US Acute Lead Observation	าร
CE Approval	01Jan1993	Conductor Fracture	288	Cardiac Perforation	
Registered USA Implants	23,572	Crimp Weld Bond	1	Conductor Fracture	
Estimated Active USA Implants	8,087	Insulation Breach	63	Extracardiac Stimulation	
Fixation Type	Suture	Other		Failure To Capture	
Pace Sense Polarity	Unipolar	ou.e.		Failure To Sense	
Steroid Indicator	Yes			Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
duct Surveillance Registry Results		<b>Qualifying Complications</b>	17		
ber of Leads Enrolled in Study	235	Conductor Fracture	10 Ins	sulation Breach 1	
nulative Months of Followup	7,405	Failure To Capture	3 Ov	versensing 2	
				=	



Failure To Sense

6

Years	1	2	3	at 48 mo
%	98.6%	95.8%	94.8%	86.4%
#	119	101	83	61

Number of Leads Active in Study

4968 CapSure Epi US Market Release	16Sep1999	IIO Determed Decided	4. A l :	in 110 Anna I 10	N 41
CE Approval	21Apr1998	US Returned Product			
Registered USA Implants	53,515	Conductor Fracture	1	18 Cardiac Perforation	
Estimated Active USA Implants	32,198	Crimp Weld Bond		Conductor Fracture	
Fixation Type	Suture	Insulation Breach	(	Extracardiac Stimulati	
Pace Sense Polarity	Bipolar	Other		1 Failure To Capture Failure To Sense	6
Steroid Indicator	Yes				
				Impedance Abnormal	
				Insulation Breach	
				Lead Dislodgement	0
				Oversensing	2
				Unspecified	
		Qualifying Complications		99	
Number of Leads Enrolled in Study	1,032	Conductor Fracture	27	Impedance Abnormal	5
Number of Leads Enrolled in Study Cumulative Months of Followup	1,032 62,657	Conductor Fracture Extracardiac Stimulation	27 2	Impedance Abnormal Insulation Breach	5 4
Number of Leads Enrolled in Study Cumulative Months of Followup	1,032	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30	Impedance Abnormal Insulation Breach Lead Dislodgement	4
Number of Leads Enrolled in Study Cumulative Months of Followup	1,032 62,657	Conductor Fracture Extracardiac Stimulation	27 2 30	Impedance Abnormal Insulation Breach	5 4 1 25
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement	4
Number of Leads Enrolled in Study Cumulative Months of Followup	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing	4 1 25
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing	4 1 25
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Other Complication	4 1 25
000/	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Other Complication  • Upper 95 Pct Confidence	4 1 25 2
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study  100% - 90% - 80% - 70% -	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Other Complication  Upper 95 Pct Confidence Cumulative Survival Proba	4 1 25 2
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study  100% -  90% -  70% -  70% -  60% -	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Other Complication  • Upper 95 Pct Confidence	4 1 25 2
Number of Leads Enrolled in Study Cumulative Months of Followup Number of Leads Active in Study  100%	1,032 62,657	Conductor Fracture Extracardiac Stimulation Failure To Capture Failure To Sense	27 2 30 3	Impedance Abnormal Insulation Breach Lead Dislodgement Oversensing Other Complication  • Upper 95 Pct Confidence • Cumulative Survival Proba • Lower 95 Pct Confidence	4 1 25 2

3

95.9%

630

Years

99.5%

803

97.4%

719

4

94.1%

536

5

93.0%

460

6

90.6%

378

7

88.8%

321

8

88.8%

273

9

84.0%

190

10

83.0%

147

11

79.6%

106

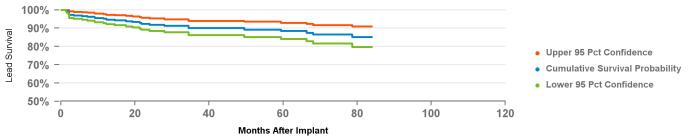
12

75.5%

at 156 mo

71.2%

US Market Release	03Dec1992	US Returned Produc	ct Analys	Sis US Acute Lead	Observations
CE Approval	01Jan1993	Conductor Fracture		29 Cardiac Perforation	
Registered USA Implants	55,416	Crimp Weld Bond		Conductor Fracture	
Estimated Active USA Implants	16,507	Insulation Breach		2 Extracardiac Stimula	ation
Fixation Type	Fixed Screw	Other		1 Failure To Capture	
Pace Sense Polarity	Unipolar			Failure To Sense	
Steroid Indicator	None			Impedance Abnorma	al
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified	
oduct Surveillance Registry Results		<b>Qualifying Complications</b>		33	
mber of Leads Enrolled in Study	453	Conductor Fracture	4	Impedance Abnormal	1
mulative Months of Followup	15,089	Extracardiac Stimulation	1	Lead Dislodgement	2
mber of Leads Active in Study	87	Failure To Capture	20	Oversensing	2
		Failure To Sense	2	Other Complication	1



Years	1	2	3	4	5	6	at 84 mo
%	95.2%	91.8%	90.0%	90.0%	88.4%	86.5%	85.1%
#	225	174	141	121	104	79	56

503	88	CapSure VDD-2
ı	JS Market R	elease
(	CE Approval	

US Market Release	10Sep1998
CE Approval	15Apr1997
Registered USA Implants	10,402
Estimated Active USA Implants	3,521
Fixation Type	Tines
Pace Sense Polarity	Quadripolar

#### **US Returned Product Analysis**

Conductor Fracture	8
Crimp Weld Bond	
Insulation Breach	2
Other	

#### **US Acute Lead Observations**

Cardiac Perforation	
Conductor Fracture	
Extracardiac Stimulation	1
Failure To Capture	3
Failure To Sense	3
Impedance Abnormal	
Insulation Breach	
Lead Dislodgement	7
Oversensing	1
Unspecified	

#### **Product Surveillance Registry Results**

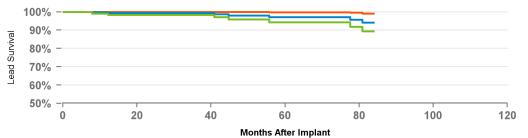
Steroid Indicator

Number of Leads Enrolled in Study	568
Cumulative Months of Followup	15,851
Number of Leads Active in Study	3

## Qualifying Complications8Conductor Fracture3Failure To Capture2Failure To Sense3



- Cumulative Survival Probability
- Lower 95 Pct Confidence



Years	1	2	3	4	5	6	at 84 mo
%	99.7%	99.3%	99.3%	97.9%	97.1%	97.1%	94.1%
#	288	218	160	132	105	78	56

#### **ICD and CRT-D Charge Time Performance**

Medtronic continues its commitment to providing updated information on charge time performance.

#### Introduction

Information on charge time performance of Medtronic products is presented in this section of the CRHF Product Performance Report. Medtronic implemented the collection of charge time data on July 1, 1999. The data are collected via our ongoing active clinical study of long-term system performance called the Product Surveillance Registry. The study protocol requests device data be routinely taken and sent to Medtronic at no more than 6-month intervals.

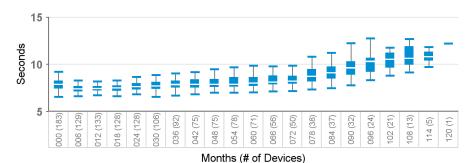
In our analysis performed for this report, only charge times resulting from full energy charges are considered. To ensure consistent reporting across devices, the charge time reported at implant represents the last charge time available from date of implant. When more than one charge time is available in a 6-month interval, a conservative approach has been adopted whereby only the maximum charge time in each 6-month interval is reported. As charge time is directly proportional to the time elapsed since the last capacitor reformation, charges occurring within 15 days of a previous charge are excluded. This precludes the reporting of overly optimistic results.

Data from over 20,000 devices contribute to the charge time data in this report. By tracking and reporting this charge time data, Medtronic is able to ascertain the actual performance of its charging circuitry. The insight gained through this information is applied to Medtronic's ongoing efforts to provide charge times that are short and consistent over the life of the product.

Charge time data for ICD and CRT-D models are presented using boxplots at 6-month intervals. The shaded box on the plots represents the middle half of the data – the Interquartile Range (IQR). The white line in the middle of each box is the median charge time. The top of the box representing the IQR is the third quartile or the 75th percentile (i.e., 75% of all charge times fall below this line), whereas the bottom of the box represents the first quartile or the 25th percentile. Vertical lines are drawn from the quartiles to the farthest value not more than 1.5 times the interquartile range. Any values more extreme than the vertical lines are considered outliers.

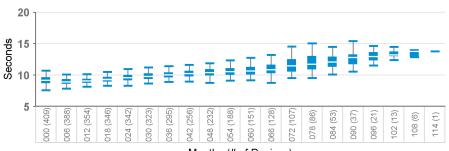
### 7232

Model Number	Brand
7232Cx	Maximo VR



#### **D154AWG, D164AWG**

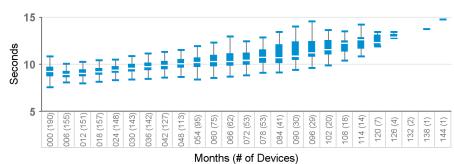
Model Number	Brand
D164AWG	Virtuoso DR



#### **D154VWC, D164VWC**

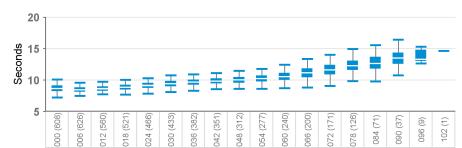
Model Number	Brand
D164VWC	Virtuoso VR

#### Months (# of Devices)



#### D204DRM, D214DRM, D224DRG, D234DRG

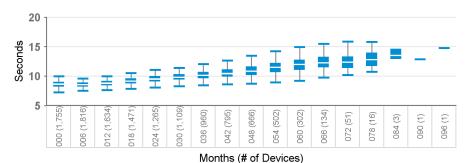
Model Number	Brand
D204DRM	Secura DR
D214DRM	Secura DR
D224DRG	Secura DR
D234DRG	Secura DR



#### Months (# of Devices)

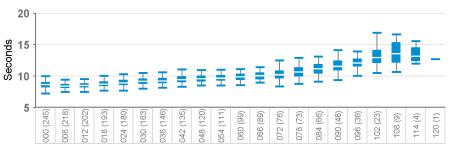
## D204TRM, D214TRM, D224TRK, D234TRK

Model Number	Brand
D204TRM	Consulta CRT-D
D214TRM	Consulta CRT-D
D224TRK	Consulta CRT-D
D234TRK	Consulta CRT-D



#### D204VRM, D214VRM, D224VRC, D234VRC

Model Number	Brand
D204VRM	Secura VR
D214VRM	Secura VR
D224VRC	Secura VR
D234VRC	Secura VR



## D264DRG, D284DRG, D384DRx, D394DRx

## D264TRM, D284TRK, D384TRx, D394TRx

Model Number	Brand
D264TRM	Maximo II CRT-D
D284TRK	Maximo II CRT-D
D384TRG	Cardia CRT-D
D394TRG	Egida CRT-D

#### D264VRM, D284VRC, D384VRx, <u>D394VRx</u>

Model Number	Brand
D264VRM	Maximo II VR
D284VRC	Maximo II VR
D384VRG	Cardia VR
D394VRG	Egida VR

#### **D274DRG, D294DRG**

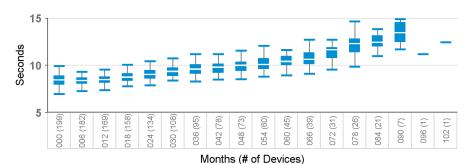
Model Number	Brand
D274DRG	Virtuoso II DR
D294DRG	Virtuoso II DR

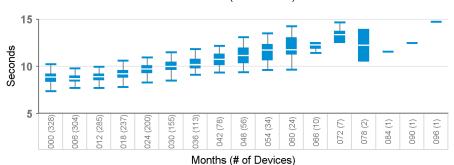
#### D274TRK, D294TRK

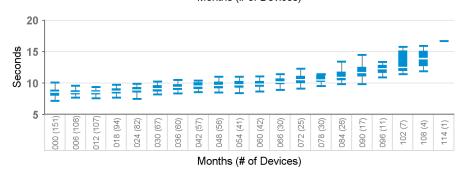
Model Number	Brand
D274TRK	Concerto II CRT-D
D294TRK	Concerto II CRT-D

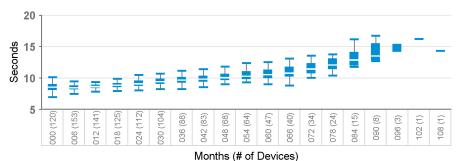
#### D274VRC, D294VRC

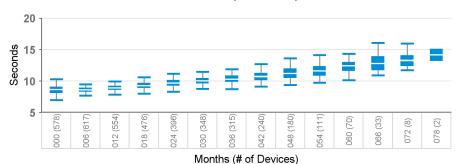
Model Number	Brand
D274VRC	Virtuoso II VR
D294VRC	Virtuoso II VR

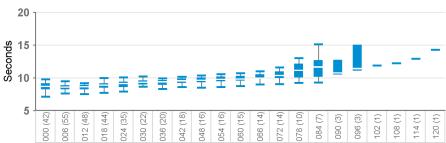




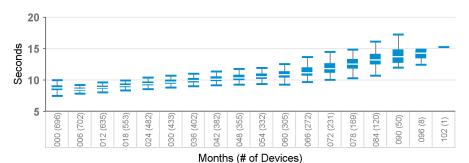




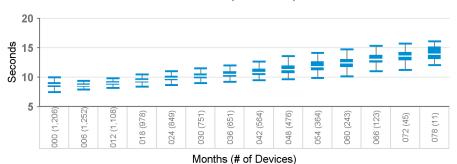




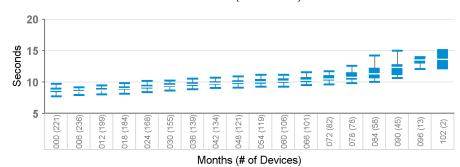
# Model Number Brand D314DRG Protecta XT DR D314DRM Protecta XT DR



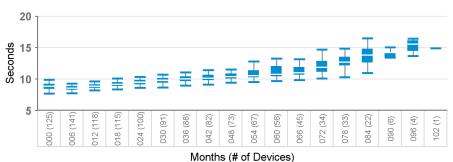
# D314TRxModel NumberBrandD314TRGProtecta XT CRT-DD314TRMProtecta XT CRT-D



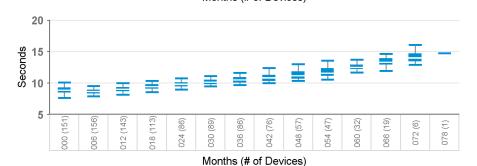
# D314VRxModel NumberBrandD314VRGProtecta XT VRD314VRMProtecta XT VR



# Model Number Brand D334DRG Protecta DR D334DRM Protecta DR D364DRG Protecta DR D364DRG Protecta DR Protecta DR D364DRM Protecta DR



# Model Number Brand D334TRG Protecta CRT-D D334TRM Protecta CRT-D D364TRG Protecta CRT-D D364TRG Protecta CRT-D D364TRM Protecta CRT-D



D334VRx, D364VRx	



D354DRx	
Model Number	Brand
D354DRG	Protecta XT DR
D354DRM	Protecta XT DR

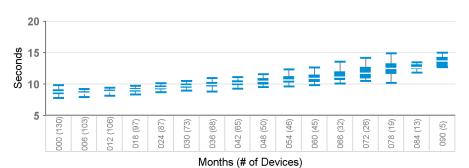
D354TRx	
Model Number	Brand
D354TRG	Protecta XT CRT-D

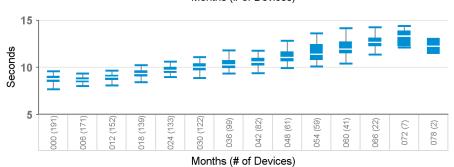
Protecta XT CRT-D

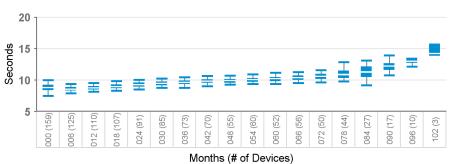
D354TRM

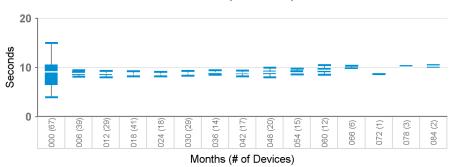
Brand
Protecta XT VR
Protecta XT VR

#### DDxxxxx, DR Model Number **Brand** DDBB1D1 Evera XT Evera XT DDBB1D4 DDBB2D1 Evera XT DDBB2D4 Evera XT DDBC3D1 Evera S DDBC3D4 Evera S DDMB1D1 Evera MRI XT DDMB1D4 Evera MRI XT DDMB2D1 Evera MRI XT DDMB2D4 Evera MRI XT Evera MRI S DDMC3D1 DDMC3D4 Evera MRI DDMD3D1 Primo DDMD3D4 Primo DDME3D1 Mirro DDME3D4 Mirro





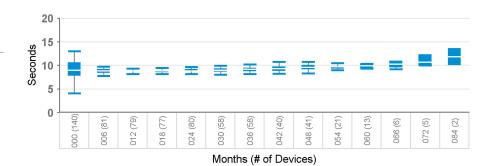




DTxxxxx, CR	T-D
Model Number	Brand
DTBA1D1	Viva XT
DTBA1D4	Viva XT
DTBA1Q1	Viva Quad XT
DTBA1QQ	Viva Quad XT
DTBA2D1	Viva XT
DTBA2D4	Viva XT
DTBA2Q1	Viva Quad XT
DTBA2QQ	Viva Quad XT
DTBB1D1	Viva S
DTBB1D4	Viva S
DTBB1Q1	Viva Quad S
DTBB1QQ	Viva Quad S
DTBB2D1	Viva S
DTBB2D4	Viva S
DTBB2QQ	Viva Quad S
DTBC2D1	Brava
DTBC2D4	Brava
DTBC2Q1	Brava Quad
DTBC2QQ	Brava Quad
DTBX1QQ	Viva Quad C
DTBX2QQ	Viva Quad C
DTMA1D1	Claria MRI
DTMA1D4	Claria MRI
DTMA1Q1	Claria MRI
DTMA1QQ	Claria MRI
DTMA2D1	Claria MRI
DTMA2D4	Claria MRI
DTMA2Q1	Claria MRI
DTMA2QQ	Claria MRI
DTMB1D1	Amplia MRI
DTMB1D4	Amplia MRI
DTMB1Q1	Amplia MRI
DTMB1QQ	Amplia MRI
DTMB2D1	Amplia MRI
DTMB2D4	Amplia MRI
DTMB2Q1	Amplia MRI
DTMB2QQ	Amplia MRI
DTMC1D1	Compia MRI
DTMC1QQ	Compia MRI
DTMC2D1	Compia MRI

DTMC2D4

DTMC2QQ



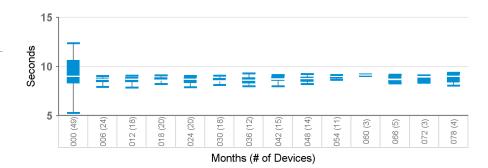
Compia MRI

Compia MRI

DVxxxxx, VR	
Model Number	Brand
DVAB1D1	Visia AF
DVAB1D4	Visia AF
DVAB2D1	Visia AF XT
DVAC3D1	Visia AF S
DVBB1D1	Evera XT
DVBB1D4	Evera XT
DVBB2D1	Evera XT
DVBB2D4	Evera XT
DVBC3D1	Evera S
DVBC3D4	Evera S
DVFB1D1	Visia MRI AF
DVFB1D4	Visia MRI AF
DVFB2D1	Visia MRI AF XT
DVFB2D4	Visia MRI AF XT
DVFC3D1	Visia MRI AF S
DVFC3D4	Visia MRI AF S
DVMB1D4	Evera MRI XT
DVMB2D1	Evera MRI XT
DVMB2D4	Evera MRI XT
DVMC3D1	Evera MRI S
DVMC3D4	Evera MRI S
DVMD3D1	Primo
DVMD3D4	Primo
DVME3D1	Mirro

Mirro

DVME3D4



# SmartSync Longevity Estimation Software Error

# Percepta MRI, Serena MRI and Solara MRI CRT-P devices

Original Date of Communication: April 2021

#### STATUS UPDATE - MAY 2021

Through 12<sup>th</sup> May 2021, Medtronic has received 5 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue.

This advisory has been addressed through release of new software to correct the longevity estimation error. Software updates are now available for SmartSync to correct this programmer display issue (Percepta™/Serena™/Solara™, D00U004, version 4.0). Clinicians may update their SmartSync App by connecting their tablet to the internet and accepting the update. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - APRIL 2021**

This notice provides information on the availability of a software update for CareLink SmartSync™ Device Managers (SmartSync) supporting Medtronic Percepta™, Serena™, Solara™ cardiac resynchronization therapy pacemakers (CRT-P). This update addresses a SmartSync software issue that results in an overestimation in the displayed longevity of these devices during an approximate 6-month window of time before the device triggers its Recommended Replacement Time (RRT).

Through 09 March 2021, Medtronic has received four (4) complaints due to this issue. No adverse events or permanent patient harm have been reported related to this issue. If the software update is not applied to SmartSync, confusion regarding device longevity could lead to a missed RRT alert and a potential delayed intervention. Battery performance is not affected by this programmer display error. RRT will alert appropriately, and if patients are followed per standard clinical practice, the risk to patients is minimal.

The SmartSync software application uses measured battery voltage to detect when the device is within approximately 6 months of its RRT voltage threshold. It is during this period prior to RRT that the software incorrectly calculates remaining longevity due to an error in the software algorithm.

An overestimation error only occurs when the device is interrogated with SmartSync and the device is within approximately 6 months of its RRT indicator. Correct remaining longevity estimates will be reported through interrogations done via a Model 2090 or Encore programmer, and through CareLink remote monitoring transmissions. Note, other devices supported by SmartSync are not affected by this error.

Software updates are now available for SmartSync to correct this programmer display issue (Percepta<sup>TM</sup> / Serena<sup>TM</sup> / Solara<sup>TM</sup>, D00U004, version 4.0). Clinicians may update their SmartSync App by connecting their tablet to the internet and accepting the update. Based on your facility's needs and accessibility, once the software is available, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account.

Once updated, SmartSync longevity estimates for these devices will no longer be affected by this issue. No change in patient management is necessary. There is no need to schedule patients to come in before their next regularly scheduled follow-up visit. The patient's device does not require an update.

# **Unipolar Longevity Estimation Software Error**

## Azure/Astra DR and SR Pacemakers and Percepta/Serena/Solara CRT-Ps

Original Date of Communication: April 2021

#### STATUS UPDATE - MAY 2021

Through 12<sup>th</sup> May 2021, Medtronic has received 13 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue.

This advisory has been addressed through release of new software to correct the longevity estimation error. Software updates are now available for Medtronic model 2090, Model 29901 Encore programmers, and SmartSync to correct this programmer display issue (see Table 1 below).

Medtronic 2090 and Encore Programmer Software Update	Medtronic SmartSync Software Update	
Azure™/Astra™ (SW030) v 8.2	Azure™/Astra™ (D00U003) v 4.0	
Percepta™/Serena™/ Solara™ (SW040) v 8.4	Percepta™/Serena™/Solara™ (D00U004) v 4.0	

Table 1: Software updates by device family and programmer

Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Until all SmartSync and Model 2090 and Encore programmers are updated, a difference in longevity estimates may be displayed between programmers and the CareLink network due to this software error. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - April 2021**

This notice is to inform you of the availability of software updates to address a potential inaccurate longevity estimate that may occur with the Azure<sup>TM</sup> and Astra<sup>TM</sup> family of pacemakers (IPGs) and the Percepta<sup>TM</sup>, Serena<sup>TM</sup>, Solara<sup>TM</sup> family of cardiac resynchronization therapy pacemakers (CRT-Ps). A longevity estimation error may occur in the early years of device life when a unipolar pacing vector is programmed in the right atrial (RA) lead and/or the right ventricular (RV) lead. No other device features or therapies are impacted. For devices programmed to bipolar pacing in both the RA and RV chambers, the longevity estimates are not affected by this issue.

Through 05 March 2021, Medtronic has received 13 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue. If the software update is not applied to the programmer, confusion regarding device longevity could lead to a missed RRT alert and a potential delayed intervention. Battery performance is not affected by this programmer display error. RRT will alert appropriately, and if patients are followed per standard clinical practice, the risk to patients is minimal.

The longevity estimation error associated with unipolar pacing configurations occurs only in the first half of the device life (prior to 50% depletion of the battery). During this phase, the estimator algorithm utilizes lead impedance as an input. When a unipolar pacing configuration is programmed, the algorithm incorrectly uses the bipolar lead impedance as input (rather than the appropriate unipolar pacing lead impedance). As a result, the algorithm will over-estimate the remaining longevity during this phase. At all times, RRT, Elective Replacement Indication, and End of Service will accurately display on programmers – even if the software update has not yet been installed.

Software updates are now available for Medtronic model 2090, Model 29901 Encore programmers, and SmartSync to correct this programmer display issue (see Table 1 below).

Medtronic 2090 and Encore Programmer Software Update	Medtronic SmartSync Software Update	
Azure™/Astra™ (SW030) v 8.2	Azure™/Astra™ (D00U003) v 4.0	
Percepta™/Serena™/ Solara™ (SW040) v 8.4	Percepta™/Serena™/ Solara™ (D00U004) v 4.0	

Table 1: Software updates by device family and programmer

As of 26 March 2021, Medtronic CareLink network has been updated and will display correct longevity estimates for devices affected by this issue. Azure IPG and Percepta/Serena/Solara CRT-P patients remotely monitored via the MyCareLink Heart<sup>TM</sup> mobile app will automatically receive an updated longevity estimate on their mobile app with their next scheduled transmission or within 92 days of their last longevity update, whichever occurs first.

No change in patient management is necessary. Per labeling, the RRT notification can be used to identify when device replacement should be scheduled. There is no need to schedule patients to come in before their next regularly scheduled follow-up visit. The corrective fix for this error is implemented in programmers and the CareLink network. The patient's implanted device does not require an update.

Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Until all SmartSync and Model 2090 and Encore programmers are updated, a difference in longevity estimates may be displayed between programmers and the CareLink network due to this software error.

#### Potential for Shortened RRT-to-EOS in Subset of ICDs and CRT-Ds

#### Subset of ICDs and CRT-Ds

Original Date of Communication: February 2021

#### STATUS UPDATE - MAY 2021

As of May 1, 2021, approximately 307,900 devices susceptible to this issue are estimated to still be active worldwide. Observed rate of occurrence is 0.07% and projected rate for the affected population of devices remains 0.22%. Devices with higher pacing outputs and high pacing percentages (e.g. CRT-D devices) have the lowest probability of occurrence (refer to Appendix A of the original communication for further details – see below. No permanent patient harms have been reported due to this issue.

#### **ORIGINAL COMMUNICATION - FEBRUARY 2021**

In February 2021, Medtronic informed physicians of a potential issue for a subset of Implantable Cardioverter Defibrillators (ICDs) and Cardiac Resynchronization Therapy Defibrillators (CRT-Ds). Medtronic has identified that a small percentage of implanted cardiac devices, from a well-defined subset, may experience a shortened Recommended Replacement Time (RRT) to End of Service (EOS) interval following an earlier-than-expected RRT observation. The subset of ICDs and CRT-Ds affected by this issue were last implanted in February 2019 and manufactured with a specific battery design that is no longer being distributed.

We have received no reports of permanent harm to patients as a result of this issue.

Approximately 339,900 devices susceptible to this issue are estimated to still be active worldwide. Through 4 January 2021, confirmed events (observed rate 0.07%) have involved a rapid drop in battery voltage ranging from days to months, with unexpected RRT as one of the primary reported observations. For those devices in which RRT triggered earlier than expected, the median time from RRT to the EOS observation was 14 days. In a small number of the cases, no output/no telemetry was reported prior to device replacement. Medtronic projects approximately 0.22% of the affected device population may experience this issue during their service life.

The rapid depletion is caused by a latent shorting mechanism involving lithium plating, resulting from a thermal gradient between the anode and cathode components of the battery. **Devices with higher pacing outputs and high pacing percentages (e.g. CRT-D devices) have the lowest probability of occurrence (refer to Appendix A – see below).** Conversely, devices with low current drain (evidenced by a longer overall service time from implant to RRT) have a higher probability of experiencing this issue. Importantly, the probability of this issue developing is constant after approximately three years of service time.

#### **Patient Management Guidance**

We realize that each patient requires unique clinical considerations. In consultation with our Independent Physician Quality Panel (IPQP), Medtronic <u>recommends</u> the following:

#### • Continue normal follow-up per local clinical protocol.

- Recognize that patients who require significant pacing support and high voltage therapy have the lowest risk for this issue See Appendix A for additional details.
- Where possible, take advantage of the CareLink<sup>TM</sup> home monitoring system and the wireless low battery voltage CareAlert.
- The low battery voltage audible alert is shipped On with high-urgency tones; Remind patients to contact their clinic if they hear an audible alert, particularly since patients may be opting to delay clinic visits due to COVID-19 guidance.
- Inform a Medtronic Representative of any unexpected device behaviors.
- Be aware that the inability to interrogate the device, or to transmit data, may be an indicator that the device has experienced this issue.

# • If unexpected RRT is observed, prompt replacement of the device should occur commensurate with the underlying clinical situation of the patient:

- For non-pacing dependent patients or for primary prevention ICD patients, replacement within 1 week of an unexpected RRT notification is recommended.
- For pacing dependent patients, immediate replacement is recommended following an unexpected RRT notification.

Note: For all patients, this issue can also manifest as an unexpected change in the remaining longevity estimate that cannot be attributed to programming changes, or changes in use conditions.

Medtronic medical staff in consultation with the IPQP **recommends against prophylactic replacement** due to the low rate of occurrence and the low potential for permanent harm when prompt replacement occurs in response to an unexpected RRT.

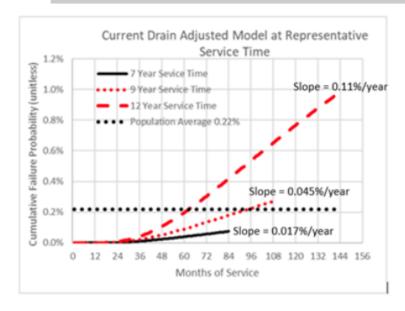
Patients and clinicians may determine if a specific device is affected by looking up the serial number on Medtronic's Product Performance website: http://wwwp.medtronic.com/productperformance/

#### **APPENDIX A**

The table below provides a comparison of sample use conditions and their associated, projected service time (Implant to Recommended Replacement Time), along with their cumulative and per-year risk of encountering rapid depletion due to a latent shorting mechanism in the battery. Devices with higher pacing outputs and high pacing percentages have the lowest probability of occurrence. There have been no reports of permanent harm to patients as a result of this issue.

#### Probability (Risk per Year) of Rapid Depletion due to this Issue as a Function of Service Time

Projected Service Time *  (based on sample programmed settings and use conditions)	Projected Risk per Year & Total Cumulative Risk at end of service time++	Notes/Example
12-year service time	0.11% per year, 0.98% cumulative	VR ICD patient with 0% pacing and no shocks delivered
10.25-year service time	0.070% per year, 0.50% cumulative	VR ICD patient with 50% pacing history and two (2) or fewer shocks per year
9-year service time	0.045% per year, 0.27% cumulative	DR ICD patient with little-to-no pacing history (e.g. 10%AP, 25%VP, and two (2) or fewer shocks per year)
8.25-year service time	0.033% per year, 0.18% cumulative	DR ICD patient with complete heart block (10% AP and 100% VP, and two (2) or fewer shocks per year)
7-year service time	0.017% per year, 0.075% cumulative	CRT-D patient with 15% AP, 90% RVP, 100% LVP, and two (2) or fewer shocks per year
* Assumes current drain remains stable throughout life of device (i.e. No change in remaining longevity	++ Per annum risk of issue becomes constant after approximately 3 years of service time. Cumulative risk =	A output = 1.5V, 0.4ms, 500 ohms
due to reprogramming or changes in use conditions)	early risk plus annual risk over the projected service time.	RV output = 2.0V, 0.4ms, 500 ohms
		LV output = 2.5V, 0.4ms, 500 ohms
		Average pacing rate = 75 bpm



Cumulative Probability is the expected risk for a device to experience this issue between implant and end of service. When risk is evaluated for a device that has reached a service life beyond 3 years, the remaining risk can be estimated based on the yearly risk value shown.

The Population Average (0.22%) is the cumulative probability for the full subset of devices susceptible to this issue. This value takes into account expected longevity and patient mortality. Not all devices with projected service time of 12 years will be in service all 12 years.

#### Key Points:

Slope of the curve reflects the risk per year based on sample service times of 7, 9, and 12 years.

Slope (risk per year) is constant after approximately 3 years of service time.

# Device Programming Information - Setting VF ATP During Charging Therapy

#### Cobalt™ XT, Cobalt and Crome™ ICDs and CRT-Ds

Original Date of Communication: September 2020

#### STATUS UPDATE - MAY 2021

As of 10-May-2021, Medtronic has received five (5) complaints (out of 27,954 devices sold worldwide) related to this issue. No serious adverse events have been reported.

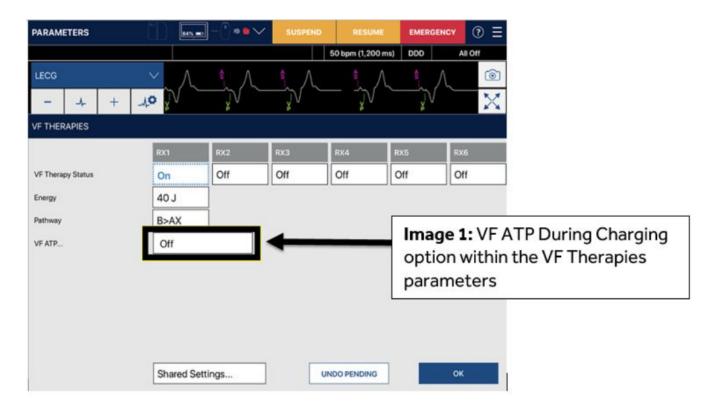
#### **ORIGINAL COMMUNICATION - SEPTEMBER 2020**

This communication provides information about the programming of *Ventricular Fibrillation Antitachycardia Pacing (VF ATP) During Charging*. When enabled, *VF ATP During Charging* allows the device to simultaneously deliver ATP therapy while charging to deliver a high-voltage VF therapy, if needed.

For Cobalt and Crome ICD and CRT-D devices, clinicians should confirm that the VF ATP parameterhas been set to the desired value. Depending on pre-implant programming sequences, the VF ATP parametermay not be automatically enabled and may require manual programming (see Image 1 below). In prior generations of Medtronic devices, the VF ATP parameter was automatically enabled with all VF therapies.

As of 21-Sept-2020, Medtronic has received one (1) complaint (out of 3,237 devices sold worldwide) related to this issue. No serious adverse events have been reported.

These devices will deliver all programmed high-voltage therapies as expected, regardless of the VF ATP parameter setting. Likewise, all device functions will operate as programmed. If the VF ATP is not enabled, there is risk for a high-voltage therapy to be applied for a Fast VT arrhythmia in the VF detection zone, which could have been treated with ATP During Charging.



#### **Clinician Actions**

We realize that each patient requires unique clinical considerations. With deference to those considerations, Medtronic recommends physicians follow normal clinical practices, including:

- At implant, as described in labeling, confirm the appropriate selection has been programmed for the VF ATP parameter.
- At routine follow-up, confirm that the VF ATP parameter is programmed to the desired setting for each patient.

# CFx Longevity Estimator Software Error - Software Updates Available June 2020

Subset of IPG, ICD, CRT-P, CRT-D, and Micra TPS devices - June 2020

#### Original Date of Communication: June 2020

#### STATUS UPDATE - MAY 1, 2021

This advisory has been addressed through release of several new software updates. The complete list of software applications available are listed in the table below. Medtronic representatives will work with local clinic and hospital staff to update programmers. Once a programmer has been updated, the correct longevity estimate for the affected devices will be displayed.

Note that as of September 2020 the Medtronic CareLink Network was updated for this advisory. All longevity estimates displayed on CareLink reflect accurate estimates (based on programmed settings and use conditions recorded by the device).

Phase 1 – June 2020	Phase 2 – January 2021
Azure™/Astra™ (SW030) v 8.1	Viva™/Brava™/ Evera (SW016) v8.4
Serena™/ Solara™/ Percepta™ (SW040) v 8.3	Evera™ MRI/ Primo™ MRI/ Mirro™ MRI(SW033) v8.5
Visia AF™/ Visia AF™ MRI (SW035) v 8.2	Micra <sup>™</sup> VR TPS (SW033) v8.2
Claria™/ Amplia™/ Compia™ (SW034) v 8.4 (US	Claria™/ Amplia™/ Compia™ (SW034) v 8.5
Only)	

**Table 1:** Device family updates by phases

Note: The availability of software releases is specific to countries that follow FDA and CE Mark approvals. Release timing may differ for other geographies. Check with your local Medtronic representative.

As of May 6, 2021, there have been 596 total complaints received related to the software displaying a lower-than-expected longevity estimate. Within the 596 complaints reported, no patient harm was reported and twelve (12) devices were prematurely explanted after observing an inaccurate longevity estimate.

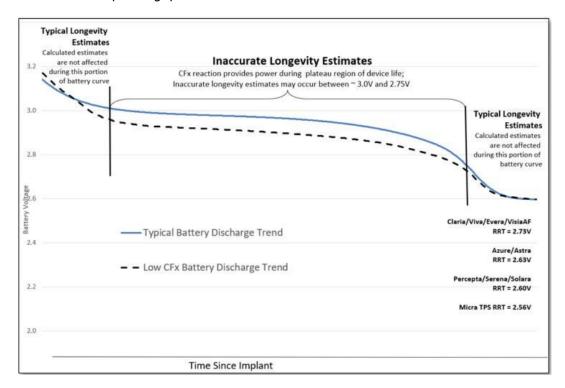
#### **ORIGINAL COMMUNICATION - JUNE 2020**

In October 2019, Medtronic identified the potential for Medtronic programmer and remote monitoring software applications to display an inaccurate remaining longevity estimate for a subset of implanted cardiac device models. This issue does not impact device functionality. Furthermore, the Recommended Replacement Time (RRT) remains an accurate indicator for device replacement.

Through September 18, 2019 there have been three (3) reported complaints and there have been no (0) serious adverse events or deaths.

The inaccurate longevity estimation is limited to a well-defined subset of devices manufactured between October 2018 and April 2019, and only occurs in the middle (plateau) phase of the device life, as illustrated in the graph below. Approximately 53,100 devices worldwide, out of 1.23 million distributed or sold from the identified device families, are susceptible to displaying inaccurate longevity.

The cause of the inaccurate longevity estimate is a slightly lower-than-typical discharge voltage during the plateau phase of the battery depletion curve (dashed line), compared to a typical voltage plateau (solid line), as illustrated in the graph below. During this plateau period, the Carbon Monofluoride (CFx) in the battery cathode is powering the device. Note, longevity estimates early after implantation and later in the device life are unaffected, as shown below. The battery remains within operating specifications.



The Independent Physician Quality Panel recommends routine follow up in accordance with standard practice for these devices, as RRT function is normal and the battery longevity is unaffected. There is no need to schedule patients to come in outside of their planned, scheduled visits due to this issue. The corrective fix is implemented in programmers, CareLink, and other systems which display device longevity. The patient's device does not require an update. Follow the steps below as applicable to your clinic or hospital. A local Medtronic Representative can assist in updating Model 2090/Encore programmers and SmartSync Device Managers in your facilities.

#### • Model 2090 and Encore™ Programmers

These programmers will require new software to be installed to correct the displayed longevity estimator error. The software applications and version are listed in Table 1 above and can be installed via Medtronic Software Distribution Network (SDN) or via secure USB.

#### SmartSync™ Device Managers

These tablet-based programmers will require a software update to be installed via the internet - refer to Appendix A (below) for detailed instructions on how to download and install the updated application software.

Completion of programmer updates may be delayed due to COVID 19 pandemic-related facility restrictions. Based on your facility's needs and accessibility, Medtronic Representative or authorized personnel will work with your facility as requested to complete the updates. Customers with Paceart systems should contact their support team to ensure the latest device update is applied.

Note: Once a programmer is updated, the correct longevity estimate will display at the patient's next regularly scheduled clinic visit. Until all SmartSync Device Managers and Model 2090 and Encore programmers are updated, a difference in longevity estimates between programmers and CareLink Network-displayed longevitymay be observed.

#### APPENDIX A – UPDATING SMARTSYNC™ DEVICE MANAGER

Until all SmartSync Device Managers and Model 2090 and Encore programmers are updated, you may observe a difference in longevity estimates between these programmers and CareLink-displayed longevity.

#### Updating Medtronic SmartSync™ Device Managers:

- 1) Connect tablet to internet and open the SmartSync App
  - The SmartSync App automatically checks for available updates each time it is opened.
- 2) If your tablet does not contain the most recent software, you will automatically receive a notification that a new version of the SmartSync App is available (3.2.01):
  - If pop-up messages appear with the option to "cancel" or to "update", select "update".
- o **Medtronic Managed Tablets:**If the App closes, find the Medtronic App Catalog, and **sect** "Install" to initiate the download.
- o **Customer Owned Tablets:**If the App closes, navigate to the AirWatch App Catalog or App Store and **select "Install" t**o initiate the download.
  - If you do not receive a notification that a new version of the SmartSync App is available, skip to Step 3.
- 3) Once you confirm the newest version of the SmartSync App is on your tablet, re-open the SmartSync App.
- The app will automatically provide pop-up notifications informing you if there are new versions of device software applications that must be installed (see table below).
- o Select CONTINUE for each pop-up window that appears. If you do not receive any pop-up notifications when you open the SmartSync App, then your tablet contains the most recent versions of all available software.

Device Family	SmartSync Application SW Version
Azure™/Astra™ DR and SR	D00U003, Version 3.2.02
Percepta™/Serena™/Solara™	D00U004, Version 3.2.02

# SmartSync Device Manager Telemetry Issue – Software Updates Available June 2020

Azure<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup>, Solara<sup>™</sup> CRT-pacemakers

Original Date of Communication: June 2020

#### STATUS UPDATE - MAY 2021

As of 10 May 2021, Medtronic has received thirty-one (31) complaints due to this issue. No adverse events or patient harm have been reported.

This advisory has been addressed through release of new software. As described in the original advisory communication (June 2020), updates are available for the CareLink SmartSync Device Manager to address this issue. The SmartSync Device Manager software version 3.2.01 (or higher) can be obtained by connecting the tablet to the internet and accepting all application updates if/when prompted. A local Medtronic Representative can assist or advise your staff on the SmartSync update process as needed.

#### **ORIGINAL COMMUNICATION – JUNE 2020**

This communication provides notice on software updates available for CareLink SmartSync<sup>™</sup> Device Managers supporting Medtronic Azure<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup>, Solara<sup>™</sup> cardiac resynchronization therapy pacemakers (CRT-P).

This update addresses a rare communication sequence during the first device interrogation with a SmartSync Device Manager that may result in the temporary suspension of some device features (i.e., battery measurements, Capture Management $^{\text{TM}}$ , Atrial Lead Position Check $^{\text{TM}}$ , EffectivCRT $^{\text{TM}}$  algorithms, and AdaptivCRT $^{\text{TM}}$ ). This rare interaction results in temporary suspension of automatic threshold testing and output adjustments, and suspension of auto-optimization of CRT therapy. The issue is unlikely to result in clinical impact to the patient, and features are restored upon next programmer device interrogation or presence of a magnet.

As of 8 May 2020, Medtronic has received sixteen (16) complaints due to this issue. The predicted rate of occurrence for this issue is 0.03% on first interrogation of an Azure, Percepta, Serena, or Solara device with a SmartSync programmer. No adverse events or patient harm have been reported. Based on consultation with the Independent Physician Quality Panel and considering that the issue is unlikely to result in clinical impact to the patient, routine patient follow-up in accordance with standard practice is recommended.

Updates are available for the CareLink SmartSync Device Manager to address this issue. The SmartSync Device Manager software version 3.2.01 update can be obtained by connecting the tablet to the internet and requesting all application downloads. The software update will modify the SmartSync Device Manager to prevent this issue from occurring; no patient actions are required.

A local Medtronic Representative can assist or advise your staff on the SmartSync update process as needed.

# Azure S DR Atrial Lead Position Check (ALPC) Incorrectly Enabled – Software Update Available June 2020

## Subset of Azure™ S DR pacemakers

Original Date of Communication: June 2020

#### STATUS UPDATE - MAY 2021

As of 10 May 2021, there have been eight (8) complaints reported due to the ALPC feature being enabled and over 45,000 devices distributed. No serious adverse events or patient harm have been reported.

This advisory has been addressed through release of new software to correct for the issue. Software application SW030 version 8.1 is available for installation on Model 2090 and Encore programmers. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating programmers in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION – JUNE 2020**

This communication provides notice on a software update available for a subset of Azure™ S DR pacemakers manufactured prior to February 2020 to addresses an issue in which the Atrial Lead Position Check (ALPC) was incorrectly enabled in a subset of this device model. ALPC is intended to operate as an optional feature in device models that offer atrial anti-tachy pacing therapies (ATP). Model Azure S DR does not offer atrial ATP. This update will ensure that ALPC is inactivated in all Azure S DR devices. Device therapies and battery performance are not affected by this issue.

As of 11 May 2020, there have been seven (7) complaints reported due to the ALPC feature being enabled and over 45,000 devices distributed. ALPC has the potential to pace at the programmed pacing rate for approximately 5 minutes at high output during its nightly assessment. No serious adverse events or patient harm have been reported.

Currently, updates are available for CareLink SmartSync™ Device Manager for this issue. The SmartSync Device Manager may receive software version 3.2.01 update by connecting the tablet to the internet. As of 4 June 2020, software application SW030 version 8.1 will be available via Medtronic Software Distribution Network (SDN) for Model 2090 and Encore programmers. In mid-June 2020, software application SW030 version 8.1 will be available via secure USB for Model 2090 and Encore programmers.

Completion of programmer updates may be delayed due to COVID 19 pandemic-related facility restrictions. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Once a programmer is updated, the ALPC feature will be automatically inactivated at the patient's next regularly scheduled interrogation if the device is in scope of this issue. There is no need to schedule patients to come in outside of their planned, scheduled visits due to this issue.

# Potential for Partial Reset During Programmer Interrogation

#### Claria MRI, Amplia MRI, Compia MRI and CRT-Ds

Original Date of Communication: March 2020

#### Model

CareLink™ 2090 Programmer with Software Application SW034 versions 8.3 and 8.4

CareLink™ 29901 Programmer with Software Application SW034 versions 8.3 and 8.4

#### STATUS UPDATE - MAY 2021

Medtronic has identified two versions of software that are susceptible to the one-time partial reset during programming interrogation – software applications SW034 version 8.3 and version 8.4. As documented in the original communication (March 2020), the risk for a partial reset on first interrogation with a programmer is approximately 2%. As of May 6, 2021, there are 434 complaints received due to this issue and zero (0) adverse events reported as a result of this behavior.

This advisory has been addressed through release of new software to correct for the issue. Software application SW034 version 8.5 is available for installation on Model 2090 and Encore programmers. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating programmers in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - MARCH 2020**

This notice provides information regarding the potential for a one-time loss of diagnostic information due to a partial electrical reset that may occur for patients implanted with a Medtronic ClariaMRI, Amplia MRI or Compia MRI Cardiac Resynchronization Defibrillator (CRT-D). Based on data available as of March 2020, the calculated occurrence rate of this one-time partial reset is approximately 2%. **Device therapy and programmed settings are not affected by a partial electrical reset.** 

A patient with a Claria MRI, Amplia MRI, or Compia MRI may experience a partial electrical reset when the patient has their device interrogated with a programmer that has been updated to software application SW034 version 8.3, and it is the **first** interrogation with this new software.

#### **Background Information**

Medtronic analysis identified that the 2% risk for a partial electric reset during the interrogation process is due to an uncommon scenario when a software update is installed simultaneously with routine critical memory scans. Should a reset occur, the clinician will be prompted to "Clear" the reset condition on the programmer (guidance to clear a partial reset is documented in the Instructions for Use for the above-named devices). When the "Clear" option is selected, the programmer will automatically interrogate the device again, and will successfully write the software enhancement to the device memory. Importantly, 98% of download attempts will successfully complete without an electrical reset. Once the software update has been successfully installed into the device, the potential for a future partial reset due to this interaction no longer exists.

#### **Additional Details**

As documented in the Instructions for Use, a partial electrical reset will result in the loss of stored diagnostic information and episodes. The device longevity estimator will show an "initializing" status for the next seven (7) days, and Recommended Replacement Time (RRT) status will continue to function as normal. Device programmed parameters, and all functions including detection and therapies are maintained. All Claria MRI, Amplia MRI and Compia MRI CRT-D devices are updated with the new software when interrogated for the first time by a programmer with software application SW034 version 8.3.

Medtronic recommends continued routine management of your patients. We recognize that a one-time loss of stored device information may limit your ability to assess your patient's clinical status – particularly when an audible alert, symptoms or VF shock delivery has been reported. Please work with your Medtronic Representative to identify data management options that may be available to your clinic.

# Performance Note: Potential for no output/no telemetry condition in subset of IPG and CRT-P products due to ceramic capacitor leakage pathway

Azure<sup>™</sup> and Astra<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup> and Solara<sup>™</sup> CRT-P

#### STATUS UPDATE - MAY 2021

As of 28 April 2021, there have been a total of 19 confirmed events worldwide associated with this failure mode. No additional deaths, beyond the two deaths previously disclosed\*, have been reported since the October 2020 update. Confirmed events included reports of no output, premature depletion and electrical reset that reverted to VVI 65 operation. The range of events have occurred between 2 and 28 months post-implant.

Medtronic's ongoing monitoring of these failures have allowed us to improve long-term modeling projections for future device failures. The overall projected lifetime rate of occurrence for the remaining active devices manufactured with the original low voltage capacitor is projected to be 0.026%. All products in distribution are unaffected. The specific low voltage capacitor susceptible to this issue was last used in manufacturing 01 June 2019. Patient management recommendations remain unchanged from the original posting (refer to the May 2019 text below).

\*Assessment for cause of death determined loss of pacing therapy could not be ruled out as a contributing factor.

#### **ORIGINAL COMMUNICATION: MAY 2019**

Medtronic has identified a rare but potentially serious failure mode in a population of Azure<sup>™</sup> and Astra<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup> and Solara<sup>™</sup> cardiac resynchronization therapy pacemakers (CRT-P), manufactured with a specific multilayer ceramic capacitor. These devices continue to perform within reliability projections.

While inherently very reliable, a known failure mode of these capacitors is the potential for internal cracking that can be caused by thermal-mechanical stress during manufacturing. Under rare conditions, internal cracking within a capacitor may result in the development of a leakage pathway, causing high current drain and leading to rapid battery depletion. While the issue presents as rapid battery depletion, this is not a battery performance issue.

As of April 26, 2019, three complaints out of ~266,700 devices distributed worldwide since February 2017, have been received that included a no output /no telemetry scenario resulting from rapid battery depletion. Battery depletion due to this issue can range from several days to several weeks. One of these reported events contributed to a patient death. The three confirmed failures occurred within 9 months post implant. The projected rate for this issue is 0.0028%, with the most susceptible period for a leakage pathway to develop in the capacitor being the first 12 months post implant.

Based on the low predicted rate of failure and the recent implementation of process and component enhancements, Medtronic expects few, if any, additional events to occur. Medtronic, in consultation with our Independent Physician Quality Panel, does not recommend device replacement. Physicians should continue normal patient follow-up in accordance with standard practice, and where possible, continue to utilize the low battery voltage wireless CareAlert<sup>TM</sup> (shipped ON), together with remote monitoring via CareLink<sup>TM</sup> home monitor or the MyCareLink Heart<sup>TM</sup> mobile app. Per the instructions for use, at each follow-up, verify the status of the implanted system as well as the clinical effectiveness of the device. Pay attention to any unexpected changes in remaining longevity estimates or the inability to interrogate the device and/or transmit data.

Contact Medtronic Technical Services if you have concerns on a specific patient. Brady Technical Services | rs.techservices@medtronic.com | 800-505-4636

#### Dual Chamber IPG Circuit Error

## Adapta, Versa, Sensia, Relia, Attesta, Sphera, and Vitatron A, E, G, Q series Original

Date of Advisory: January 2019

#### STATUS UPDATE - OCTOBER 2021

- In September 2019 Medtronic released several software updates to correct for this issue. These software applications are:
  - o For Adapta/Versa/Sensia IPGs Software model SW003 v8.2
  - o For Relia IPGs SW010 v8.2
  - o For Attesta/Sphera IPGs SW043 v8.2
  - For Vitatron IPGs VSF20 v8.2 and FSF21 v8.2
- Once a device is interrogated by a programmer with the updated software, any pacemaker programmed to a non-susceptible pacing mode, specifically to avoid a circuit error, may be reprogrammed to any pacing mode.
- Once a device is updated (update is installed onto devices via interrogation by a programmer with one of the above software applications), if the circuit error were to occur, the pacing cycle will automatically reset; this may be observed as a single dropped beat.
- As of May 10, 2021, 83,000 devices remain active out of an original population of 156,957 devices worldwide.

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>156,957</b> Worldwide	<b>36</b> Worldwide	83,000 Worldwide	0.02% Worldwide

#### **ORIGINAL COMMUNICATION - JANUARY 2019**

#### **Product**

A subset of Medtronic dual chamber pacemakers distributed worldwide between 10 March 2017 and 7 January 2019 under the brand names Adapta<sup>TM</sup>, Versa<sup>TM</sup>, Sensia<sup>TM</sup>, Relia<sup>TM</sup>, Attesta<sup>TM</sup>, Sphera<sup>TM</sup>, and Vitatron<sup>TM</sup> A, E, G, Q series may experience a circuit error that affects device functionality. Please note that not all devices within these brand names are affected by this recall. You may use the "Search for Information by Serial Number" tool on home page of this web site to determine if a specific device is affected.

#### Advisory

Devices in the affected subset, when programmed to a dual chamber mode with atrial-sensing, may experience a circuit error that affects device functionality. See Table 1 for modes that are susceptible to this circuit error. For this error to occur, a unique combination of events must take place while the device is processing an atrial-sensed event. If this error occurs, the device will be unable to provide pacing until a ventricular-sensed event (VS) is detected. Once a VS is detected, normal pacing functionality is restored immediately. If a VS is not detected, the device will withhold both atrial and ventricular pacing. In addition, until a VS is detected, the device will be unable to initiate a session with a programmer, initiate a session with a CareLink $^{\text{TM}}$  remote monitor, or respond to a magnet. Single chamber and dual chamber pacing modes that do not sense atrial activity are not susceptible to this circuit error (see Table 1).

Table 1:Identification of modes susceptible/not susceptible to circuit error

#### Modes susceptible to circuit error

DDD, DDDR
DDI, DDIR
VDD
ADI, ADIR
VDI, VDIR
ODO
OAO

MVP - when operating in DDD, DDDR, DDI or
DDIR mode

#### Modes NOT susceptible to circuit error

VVI, VVIR
DVI, DVIR
AAI, AAIR
VOO, VOOR
AOO, AOOR
DOO, DOOR
OVO
VVT, AAT

Through 4 January 2019, Medtronic is aware of four (4) reported occurrences in two (2) patients where a pause in pacing therapy was clinically apparent due to this circuit error. These reported events occurred in three (3) devices from a total of 156,957 devices sold worldwide. No deaths have been reported as a result of this issue.

Patient risk is determined by the patient's underlying cardiac rhythm and whether the device is in a susceptible pacing mode as described above. Through our analysis of this issue, Medtronic estimates that on average, a device in a susceptible pacing mode has a 2.8% chance per month of experiencing a pacing pause of 1.5 seconds or longer. Risk is minimized in patients who have an escape rhythm adequate to prevent syncope during a loss of ventricular pacing, since a VS restores full device functionality. No risk of a pause due to this circuit error exists for patients programmed to a non-susceptible pacing mode.

The root cause for this issue is related to a design change to an integrated circuit in a subset of devices that were distributed between 10 March 2017 and 7 January 2019.

Medtronic is developing a software update that can be installed into affected devices to correct this issue. Medtronic estimates submission of this software update to regulatory agencies by the 2nd half of 2019. Upon subsequent regulatory approval, Medtronic will notify customers of its availability. Until that time, Medtronic is providing the patient management recommendations described below and depicted in Appendix A.

#### Patient Management Recommendations

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic recommends programming to a non-susceptible pacing mode as the primary mitigation for patients implanted with an affected device until the software update has been installed. Specific patient risk assessment and programming recommendations are outlined below and provided in Appendix A.

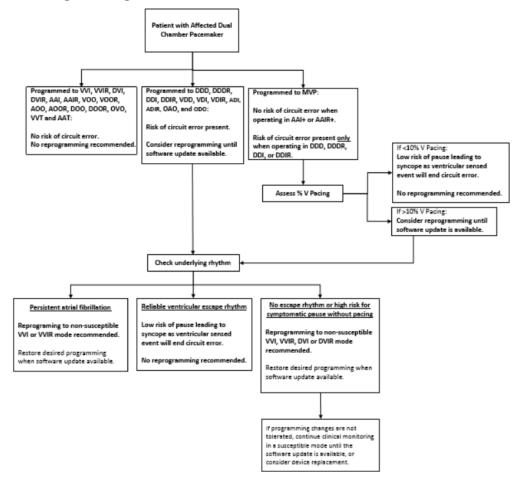
- For patients whose device is programmed to a non-susceptible mode (see Table 1), no action is needed at this time. Continue routine clinical monitoring.
- For patients whose device is programmed to a susceptible mode and are continually in persistent atrial fibrillation, reprogramming the device to the non-susceptible VVI or VVIR mode is recommended to eliminate risk due to this issue until the software update has been installed. Continue routine clinical monitoring.
- For patients whose device is programmed to a susceptible mode and either: have no underlying ventricular escape rhythm; or are at risk for a symptomatic pause until a ventricular escape beat occurs, programming to a non-susceptible mode is recommended to eliminate risk due to this issue until the software update has been installed. Continue routine clinical monitoring.
- For patients who do not tolerate programming to a non-susceptible pacing mode and either: have no underlying ventricular escape rhythm; or are at risk for a symptomatic pause until a ventricular escape beat occurs, continue clinical monitoring in a susceptible mode until the software update is available, or consider device replacement.
  - o The estimated per patient mortality risk due to this issue is 0.021% when programmed to a susceptible pacing

mode over the estimated time until the software update becomes available. This risk is comparable to the Medtronic estimated per-patient mortality risk associated with a device replacement (0.027%) \*.

o If a patient reports symptoms consistent with a pacing pause, and you would like assistance assessing whether a patient had a pause due to this issue, contact your Medtronic representative.

- Advise patients remaining in a susceptible mode to seek immediate medical attention if they experience new or unexpected symptoms consistent with a pacing pause.
- Other than reprogramming to a non-susceptible pacing mode, no additional programming options have been identified to mitigate this issue.
- \*Medtronic Data on File. MDT2260884-CRHF CIED Infection Report; MRCS: MDT2260884, Version 2.0, 11/02/2015.

#### Appendix A: Programming decision flow chart



# Potential Loss of Device Functionality Lower Risk Subset

# Amplia, Claria, Compia, and Viva CRT-D, and Evera and Visia ICD

Original Date of Advisory: March 2018

#### **STATUS UPDATE - MAY 2021**

Within the 752 lower-risk devices, there have been zero confirmed failures (0%) through May 10, 2021. An estimated 480 devices remain active

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
752 Worldwide (all in USA, Puerto Rico or US Virgin Islands.)	0	480	0% Worldwide

#### **ORIGINAL COMMUNICATION - MARCH 2018**

#### **Product**

In January 2018, Medtronic completed notification to physicians about a subset of 48 Medtronic Cardiac Resynchronization Therapy Defibrillators (CRT-Ds) and Implantable Cardioverter Defibrillators (ICDs) underwent a specific sequence of manufacturing processes that could result in an unexpected loss of device functionality, including high-voltage therapy.

Within this Lower-Risk Subset of 752 devices, if the device delivered the maximum number of shocks until battery depletion, we estimate 0.5% of these devices would experience arcing during high voltage charging, with failure occurring within the first two (2) high-voltage charges in 0.18% of the devices. See table below for comparison of device subsets.

Through 8 March 2018, there have been zero (0) complaints related to internal arcing in these 752 devices. While the risk for failure is lower in this group of devices, it is not possible to identifywhich of these 752 devices may fail or when they may fail. Successful delivery of previous high-voltage therapy does not ensure future performance.

You may use the "Search for Information by Serial Number" tool at http://wwwp.medtronic.com/productperformance/ to determine if a specific device is affected.

**Table - Device Subsets** 

January 2018 48 Implanted Higher-Risk Devices	March 2018 752 Lower-Risk Devices
One field failure has been observed with no deaths reported	No field failures have been observed
7.7% of these devices are projected to fail during the first two high-voltage charges	0.18% of these devices are projected to fail during the first two high-voltage charges
Medtronic communicated a recommendation to strongly consider prophylactic replacement in these devices.	Patient management recommendations follow below.

#### Patient Management Recommendations – Lower Risk Subset

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic provides the following recommendations to physicians for patients who have been implanted with one of the identified devices:

- Prophylactic device replacement should be considered for patients at higher risk, including patients whose clinical history indicates prior need for high-voltage therapy and/or for pacemaker-dependent patients.
- Physicians should carefully weigh the risks and benefits of device replacement. The estimated per patient risk for mortality due to this issue is 0.02% to 0.04% considering the risk of device failure and the likelihood of a patient requiring high voltage therapy. This is comparable to the estimated per patient mortality risk of complications associated with a device replacement (0.04%)[i],[ii].
- For patients in whom it is determined that replacement is not warranted:
  - O Consider programming changes to reduce the potential for high-voltage charges associated with arrhythmia detection and therapies, such as enabling ATP before charging for fast ventricular rhythms or programming a separate fast VT via VF zone with ATP. For assistance with patient-specific programming needs, contact Medtronic Technical Services at 800-723-4636.
  - o -Continue three-month in-clinic or remote follow-ups to verify device functionality. Inability to interrogate a device or a failed remote monitoring transmission may be an indication that internal arcing has occurred. Devices that have failed will not send an alert as telemetry and all device functionality is immediately lost if internal arcing occurs.
  - O Advise patients to seek medical attention immediately if they experience new or unexpected symptoms suspicious for a ventricular arrhythmia.
- Medtronic Data on File. MDT2260884-CRHF CIED Infection Report; MRCS: MDT2260884, Version 2.0, 11/02/2015.
- Birnie, D et al. Complications associated with defibrillation threshold testing: The Canadian experience. Heart Rhythm, Volume 5, Issue 3, Pages 387-390.

# Potential Loss of Device Functionality

#### Amplia, Claria, Compia, and Viva CRT-D, and Evera and Visia ICD

#### Original Date of Advisory: January 2018

#### **STATUS UPDATE - MAY 2021**

Within the 48 devices, there has been 1 confirmed failure (2.1%) through May 10, 2021. An estimated 0 devices remain active. Due to low estimated remaining active population, this advisory will be removed at our next semi-annual publish of this product performance website.

	,	Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>48</b> Worldwide (all USA)	1	0	2.1% Worldwide

#### **ORIGINAL COMMUNICATION - JANUARY 2018**

#### **Product**

A subset of 48 Medtronic Cardiac Resynchronization Therapy Defibrillators (CRT-Ds) and Implantable Cardioverter Defibrillators (ICDs) underwent a specific sequence of manufacturing processes that could result in an unexpected loss

of device functionality, including high-voltage therapy. You may use the "Search for Information by Serial Number" tool on home page of this web site to determine if a specific device is affected. No other Medtronic devices are included in this advisory.

#### Advisory

These 48 devices were sent through a manufacturing sequence that introduced the potential for internal arcing during highvoltage charging, leading to the immediate and permanent loss of device functionality. Through 12 January 2018, Medtronic has confirmed one (1) implanted device failure resulting in loss of high-voltage therapy related to this issue, where the patient was rescued with external defibrillation.

Due to the nature of this issue, it is not possible to identify which of these 48 devices may fail or when they may fail. Further, we cannot predict how many high-voltage charges can occur prior to a potential failure. Based on testing of a limited number of available devices that underwent this manufacturing sequence, this failure was observed during high-voltage cycle testing to battery depletion in 23% of these devices, with failure observed within the first two (2) high-voltage charges in 7.7% of the tested devices. Successful delivery of previous high-voltage therapy does not quarantee future performance.

#### PATIENT MANAGEMENT RECOMMENDATIONS

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic provides the following recommendation:

Prophylactic device replacement should be strongly considered for patients who have been implanted with one of the devices in the affected subset.

# Potential Rapid Battery Depletion Due To Circuit Component

#### Viva™ CRT-D and Evera™ ICD

Original Date of Advisory: August 2016

#### **STATUS UPDATE - MAY 2021**

Within the 78 devices, there have been 10 confirmed failures (13%) through May 10,2021. Medtronic modeling predicts an additional three (3) failures may occur in the remaining active population. An estimated 25 devices remain active.

Initial Affected Population		Estimated Remaining Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>78</b> Worldwide	10 Worldwide	25 Worldwide	13% Worldwide

#### **ORIGINAL COMMUNICATION - AUGUST 2016**

#### Product

A specific subset of 78 Viva CRT-D and Evera ICD may experience rapid battery depletion due to a low resistance path developing within a circuit component. You may use the "Search for Information by Serial Number" tool at http://wwwp.medtronic.com/productperformance to determine if a specific device is affected.

#### **Advisory**

Devices in the affected population may experience rapid battery depletion due to a low resistance path developing within a circuit component. This is not related to a failure within the battery.

Development of a low resistance path in the circuit component in some cases has been reported to cause battery depletion in seven (7) days or less and may present clinically during a patient follow-up visit as:

- One or more electrical resets, which will display as an observation on the programmer.
- No pacing or defibrillation therapy output.
- No telemetry.
- Programmer screen display of "SERIOUS DEVICE MEMORY FAILURE."

Patient audible alertsand CareAlerts™ may not reliably notify the patient or clinician, due to this issue.

 $Reported complications \ have included shortness \ of breath, pocketheating, low heart rate, and early device explant.$ 

#### **Patient Management Recommendations**

We realize that each patient requires unique clinical consideration and we support your judgment in caring for your patients. After consultation with Medtronic's Independent Physician Quality Panel, Medtronic offers the following options for managing patients implanted with an affected device:

Advise patients to seek medical attention immediately if they experience symptoms (e.g., fainting or lightheadedness) or if the audible patient alert sounds.

For pacemaker-dependent patients or those at a higher risk of Ventricular Tachycardia (VT) or Ventricular Fibrillation (VF):

Physicians shouldconsider device replacement.

For patients where the physician does not believe device explant is the best course of action, Medtronic offers these additional options:

- Program the audible alertsfor "LowBatteryVoltage RRT" to "On-High". It is possible that alerts may not sound if the battery is depleted. Therefore physicians should so consider one of the following:
  - o Provide a handheld magnet to patients to frequently check device status.
    - Requires one or more audible alertsbe programmed ON.
    - Device operation may be monitored frequently (e.g., daily) by patients placing the magnet over the device for 1-2 seconds and then removing the magnet. If the device is functional, a steady tone will sound for approximately 10 seconds. If no tone or an oscillating high/low tone is heard, advise patients to seek care immediately.
  - o Prescribe either a CareLink™ transmission be performed by the patient, or a maintenance transmission by the clinic, on a more frequent basis (e.g., weekly or daily) based on the unique patient considerations. The clinic should review these transmissions upon receipt.
    - If the transmission is unsuccessful the patient should be brought into the clinic for immediate follow-upas this may be an indication that the device battery has depleted to a level where it can no longer support telemetry.
    - Review transmissions for any signs of this issue (e.g., one or more electrical resets, or notification that a device alert has occurred).
    - Each transmissionwilldecrease batterylongevityby approximatelyone day

# Potential High Battery Impedance

#### InSync® III Model 8042

Original Date of Advisory: November 2015

#### **STATUS UPDATE - MAY 2021**

As of May 10, 2021, approximately 750 devices remain active worldwide, from an original implant population of 96,800. In the United States, 200 active devices remain. Our modeling predicts an estimated failure rate between 0.16% and 0.6% for the remaining active devices. Due to the unpredictable nature of this issue, it is not possible to identify which devices might fail or when they might fail. The issue cannot be mitigated by programming changes or increasing patient follow-up frequency. InSync III CRT-pacemakers are no longer distributed.

Due to low estimated remaining active population, this advisory will be removed at our next semi-annual publish of this product performance website.

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active	Current Malfunction Rate (confirmed malfunctions over total population)
96,800 Worldwide (39,900 United States)			<b>0.18%</b> Worldwide ( <b>0.24%</b> United States)

#### **ORIGINAL COMMUNICATION - NOVEMBER 2015**

#### **Product**

All InSync® III Model 8042 Pacemakers

#### **ADVISORY**

Medtronic has identified an issue related to long-term battery performance. Through 27 October 2015, Medtronic has confirmed 30 devices (0.03%) worldwide have been impacted by this issue, for which the root cause is unexpected high battery impedance.

Unexpected high battery impedance can result in the battery's inability to supply sufficient electrical current, impacting device function. Twelve (12) of the 30 devices had reports of unexpected loss of pacing capture. The other 18 devices experienced some form of erratic behavior, including early elective replacement indication (ERI), significant fluctuations in remaining longevity estimates, and inaccurate lead impedances. Through 27 October 2015, events associated with this issue have occurred in devices with implant durations of 53 months or more. Medtronic has received one report of a patient death, where it is possible, but unconfirmed, that this issue was a contributing factor.

If pacing capture is compromised, some patients may experience a return of heart failure symptoms due to loss of biventricular pacing. In cases involving pacemaker-dependent patients, a loss of pacing capture could result in serious injury or death.

The Physician Letter for this issue is available at http://www.medtronic.com/insync-iii-crt-p

#### PATIENT MANAGEMENT RECOMMENDATIONS (AS OF NOVEMBER 2015)

We realize that each patient requires unique clinical consideration. After consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic offers the following recommendations for patients with an InSync III CRT-pacemaker:

- Prophylactic device replacement in non-pacemaker-dependent patients is not recommended.
- For pacemaker-dependent patients, physicians should carefully weigh the risks and benefits of device replacement to mitigate this issue on an individual patient basis
  - The estimated per patient mortality risk of this issue (0.007% to 0.02%) is comparable to the
    estimated per patient mortality risk of complications associated with an incremental, early
    device replacement (0.005%).
- Continue routine patient follow up in accordance with standard practice, and advise patients to seek medical attention immediately if they experience new or unexpected symptoms.

#### Potential Conductor Wire Fracture

#### 6930, 6931, 6948, 6949 Sprint Fidelis Defibrillation Leads

Original Date of Advisory: October 2007

#### STATUS UPDATE - MAY 2021

As of May 10, 2021, of the initial implant population of 205,600 in the United States, approximately 44,000 remain implanted. According to Product Surveillance Registry results, lead survival is estimated to be 65.4% (+6.3/-5.7%) at 162 months. As the implanted population ages and the sample size increases for each time interval, the accuracy of the estimated survival probability will increase as shown by tighter confidence intervals.

Initial Affected Population		Estimated Remaining Active Population
<b>279,500</b> Worldwide ( <b>205,600</b> United States)	1 -	<b>60,000</b> Worldwide ( <b>44,000</b> United States)

#### **Product**

All Model 6930, 6931, 6948, and 6949 implantable defibrillation leads.

#### Advisory

There are two primary locations where chronic conductor fractures have occurred on Sprint Fidelis leads: 1) the distal portion of the lead, affecting the anode (ring electrode) and 2) near the anchoring sleeve tie-down, predominantly affecting the cathode (helix tip electrode), and occasionally the high voltage conductor. These two locations account for approximately 90% of the chronic fractures identified in Returned Product Analysis (RPA). The remaining 10% of chronic fractures occurred in the DF-1 connector leg and the proximal portion of the RV coil. High voltage conductor fractures could result in the inability to deliver defibrillation therapy. Anode or cathode conductor fractures (at either location) may present clinically as increased impedance, oversensing, increased interval counts, multiple inappropriate shocks, and/or loss of pacing output.

#### Patient Management Recommendations (Updated April 2011)

The Lead Integrity Alert (LIA) provides three days advance notice prior to inappropriate therapy to 76% of patients with lead fractures 1. As a result, we strongly recommend that all Sprint Fidelis patients who have the ability to upgrade to Lead Integrity Alert do so promptly. Also ensure that high voltage lead impedance alerts (maximum of 100 ohms) are programmed. When a lead fracture is suspected or confirmed, immediate patient attention is strongly recommended. Physicians should inform their patients to seek medical attention without delay if they experience unexpected shocks.

- If a Fidelis lead fracture of any type has occurred, we recommend implanting a new high voltage lead with or without extraction of the Fidelis lead.
- In patients with normal device function and no manifestation of lead fracture, no action is recommended. The risk of prophylactic intervention appears to be greater than serious injury resulting from lead fracture even for pacemaker dependent patients, except in select individual patient circumstances as determined by the physician.
- In the event of a device change-out or upgrade procedure, with no manifestation of lead fracture, consider the patient age and lead model data above, as well as patient life expectancy, co-morbidities, ease of extraction related to implant time, patient preference, etc., for the following options:
  - o Leave a properly performing lead intact.
  - o Implant a new ICD lead without extraction of the existing lead.
  - o Carefully consider all factors before prophylactic placement of a pace-sense lead. Data shows an increased risk of high voltage conductor fracture if a pace-sense conductor fracture has previously occurred. This data is available at <a href="https://www.medtronic.com/us-en/healthcare-professionals/products/product-performance/sprint-fidelis-11-2015-update.html">https://www.medtronic.com/us-en/healthcare-professionals/products/product-performance/sprint-fidelis-11-2015-update.html</a>
  - o Individual patient circumstances may warrant extracting and implanting a new ICD lead. If warranted, Medtronic's Independent Physician Quality Panel recommends the lead extraction procedure be performed by a physician with extensive lead extraction experience.<sup>2</sup>

#### Footnotes:

- 1: Swerdlow C, Gunderson, B, et al. "Downloadable Algorithm to Reduce Inappropriate Shocks Caused by Fractures of Implantable Cardioverter-Defibrillator Leads", Circulation, November 2008, 118: 2122-2129.
- 2: "Transvenous Lead Extraction: Heart Rhythm Society Expert Consensus on Facilities, Training, Indications, and Patient Management", Heart Rhythm, Vol 6, No 7, July 2009.

# Mailer Kits Available for Returning Product

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRT, ICD, IPG, and leads to Medtronic's CRHF Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of devices from non-clinical sources, such as funeral homes, and will assume responsibility

for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab.

CRHF Returned Product Analysis Laboratory Phone: 1 (800) 328-2518. ext. 44800

Email: crdm.returnedproduct@medtronic.com



Medtronic 710 Medtronic Parkway Minneapolis, MN 55432-5604 USA

Tel: (763) 514-4000 Fax: (763) 514-4879 Toll-free:1 (800) 328-2518 (24-hour technical support for physicians and medical professionals)

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